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**Service Director – Legal, Governance and
Commissioning**

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Monday 12 March 2018

Notice of Meeting

Dear Member

Cabinet

The **Cabinet** will meet in the **Council Chamber - Town Hall, Huddersfield** at **4.00 pm** on **Tuesday 20 March 2018**.

This meeting will be live webcast. To access the webcast please go to the Council's website at the time of the meeting and follow the instructions on the page.

The items which will be discussed are described in the agenda and there are reports attached which give more details.

A handwritten signature in black ink, appearing to read 'Julie Muscroft', on a light-colored background.

Julie Muscroft

Service Director – Legal, Governance and Commissioning

Kirklees Council advocates openness and transparency as part of its democratic processes. Anyone wishing to record (film or audio) the public parts of the meeting should inform the Chair/Clerk of their intentions prior to the meeting.

The Cabinet members are:-

Member

Councillor David Sheard

Councillor Shabir Pandor

Councillor Peter McBride

Councillor Naheed Mather

Councillor Musarrat Khan

Councillor Erin Hill (Currently on Maternity Leave)

Councillor Viv Kendrick

Councillor Masood Ahmed

Councillor Graham Turner

Councillor Cathy Scott

Responsible For:

Leader of the Council with shared Portfolio for Strategy and Strategic Resources, New Council & Regional Issues (Cabinet Lead on Democracy Commission)

Deputy Leader of the Council with shared Portfolio for Strategy and Strategic Resources, New Council & Regional Issues

Cabinet Member - Economy (Strategic Planning, Regeneration and Transport)

Cabinet Member - Economy (Strategic Housing, Regeneration and Enforcement)

Cabinet Member - Corporate (Place, Environment and Customer Contact Services)

Cabinet Member - Currently on Maternity Leave

Cabinet Member - Children (Statutory responsibility for Children)

Cabinet Member - Children

Cabinet Member - Corporate (Place, Environment and Customer Contact Services)

Cabinet Member - Adults and Public Health (Statutory Responsibility for Adults & Public Health)

Agenda

Reports or Explanatory Notes Attached

Pages

1: Membership of the Committee

To receive apologies for absence of Members who are unable to attend this meeting. Cllr Hill is currently granted leave of absence.

2: Minutes of previous meeting

1 - 6

To approve the Minutes of the meeting of the Committee held on 20 February 2018.

3: Interests

7 - 8

The Councillors will be asked to say if there are any items on the Agenda in which they have disclosable pecuniary interests, which would prevent them from participating in any discussion of the items or participating in any vote upon the items, or any other interests.

4: Admission of the Public

Most debates take place in public. This only changes when there is a need to consider certain issues, for instance, commercially sensitive information or details concerning an individual. You will be told at this point whether there are any items on the Agenda which are to be discussed in private.

5: Deputations/Petitions

The Committee will receive any petitions and hear any deputations from members of the public. A deputation is where up to five people can attend the meeting and make a presentation on some particular issue of concern. A member of the public can also hand in a petition at the meeting but that petition should relate to something on which the body has powers and responsibilities.

In accordance with Council Procedure Rule 10 (2), Members of the Public should provide at least 24 hours' notice of presenting a deputation.

6: Public Question Time

The Committee will hear any questions from the general public.

7: Member Question Time

To consider questions from Councillors.

8: Recommendations from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review - Specialist Provision Capital Fund 9 - 14

A report recommending how the Department for Education's (DfE) £1m Specialist Provision Capital Fund allocation to Kirklees should be used to develop local provision for children and young people with Special Educational Needs and Disability (SEND).

Wards: All

Contact: Mandy Cameron, Head of Service, Education Safeguarding and Inclusion, Learning and Early Support Tel: 01484 221000

9: 2018/2019 Road Surfacing Programme (large schemes) 15 - 40

A report seeking approval for a programme of road resurfacing schemes for 2018/19.

Wards: Batley East, Batley West, Greenhead, Kirkburton, Mirfield and Newsome.

Contact: Graham Mallory, Group Engineer Tel: 01484 221000

10: Highways 2 Year Capital Plan 2018/19 and 2019/20 41 - 56

A report for Cabinet to consider the detailed 2 year Highways Capital Plan for 2018/19.

Wards: All

Contact: Graham Mallory, Group Engineer Tel: 01484 221000

11: Risk Management Statement 57 - 74

A report to consider the draft updated Risk Management Statement.

Wards: All

Contact: Martin Dearnley, Head of Risk Tel: 01484 221000

12: Outline proposals to support social care in 2018/19 75 - 80

A report setting out indicative areas of investment in 2018/19 that reflect the one-off nature of funding available subject to approval of Council in the next municipal year.

Wards: All Wards

Contact: Amanda Evans, Service Director for Adult Social Care and Eamonn Croston, Head of Finance and Accountancy Tel: 01484 221000

13: North Kirklees Growth Zone 81 - 104

The North Kirklees Growth Zone strategy, delivery and financial plans are due for consideration by Cabinet in summer 2018, post consideration of the Local Plan. The report seeks authorisation to progress some of the opportunities that have arisen as a result of external funding announcements.

Wards: Dewsbury South, Dewsbury East and Dewsbury West

Contact: Stephen Jagger, Housing Commissioning Manager Tel: 01484 221000

14: The HD One Snow Sports Centre Provision of Loan Facility 105 - 112

A report seeking approval to amended terms for the above loan facility previously approved by Cabinet on 20th September 2016.

Wards: N/A

Contact: Paul Kemp, Service Director Economy, Regeneration and Culture Tel: 01484 221000

15: Dewsbury Townscape Heritage Initiative DTHI-010 – '28-30 Northgate' Grant Application 113 - 120

A report seeking delegated authority for the Strategic Director, Economy and Infrastructure to authorise payment of the grant upon receipt of a completed application and all supporting information from the applicant.

Wards: Dewsbury East

Officer: John Lambe, Dewsbury Townscape Heritage Initiative (THI) Officer

16: Exclusion of the Public

To resolve that under Section 100(A)(4) of the Local Government Act 1972, the public be excluded from the meeting during consideration of the following item of business, on the grounds that they involve the likely disclosure of exempt information, as defined in Part 1 of Schedule 12A of the Act.

17. The HD One Snow Sports Centre Provision of Loan Facility 121 - 130

A private appendix relating to item 14.

Wards: N/A

Contact: Paul Kemp, Service Director Economy, Regeneration and Culture Tel: 01484 221000

18. Dewsbury Townscape Heritage Initiative DTHI-010 – '28-30 Northgate' Grant Application 131 - 132

A private appendix relating to item 15.

Wards: Dewsbury East

Officer: John Lambe, Dewsbury Townscape Heritage Initiative (THI) Officer

Contact Officer: Andrea Woodside

KIRKLEES COUNCIL

CABINET

Tuesday 20th February 2018

Present: Councillor David Sheard (Chair)
Councillor Shabir Pandor
Councillor Peter McBride
Councillor Naheed Mather
Councillor Musarrat Khan
Councillor Masood Ahmed
Councillor Graham Turner
Councillor Cathy Scott

Observers: Councillor Mohan Sokhal, Labour - Group Business
Manager
Councillor Rob Walker

Apologies: Councillor Erin Hill (Currently on Maternity Leave)
Councillor Viv Kendrick

419 Membership of the Committee

Apologies for absence were received on behalf of Councillors Hill and Kendrick.

420 Minutes of previous meeting

Approved as a correct record.

421 Interests

There were no declarations of interest.

422 Admission of the Public

It was noted that all agenda items would be considered in public session.

423 Deputations/Petitions

Cabinet received a deputation from Mr Ken Shaw with regards to highway safety matters at Waingate, Newsome.

A response was provided by the Cabinet Member for Corporate Services, Councillor Khan.

424 Public Question Time

No questions were asked.

425 Member Question Time

No questions were asked.

426 Corporate Financial Monitoring Report - Quarter 3 for 2017-18

Cabinet received a report which set out financial monitoring information for the quarter 3 period, 2017/2018.

The report provided key information in relation to the general revenue fund, housing revenue account and capital plan. With regards to the general revenue fund, it was noted that there was a forecast underspend of £3.3m against the £307.8m revised budget at quarter 3, which equated to a minus 1.1% variance against budget and included a number of overspends and offsetting underspends.

With regards to general revenue fund reserves, it was reported that reserves were forecast to reduce through 2017/2018 by £24.3m, from £90.1m to £65.8m and that this reduction included a £13.1m earmarked reserves drawdown, plus £11.2m set aside to help achieve a balanced budget in 2017/18. It was noted that the general balances was forecast at £7.3m and that, within this figure, there was an assumed £5m minimum balances requirement.

The report advised that council tax was projecting an in-year surplus of £2.5m, mainly due to improved performance of income collection and that the housing revenue account forecast revenue outturn was a surplus of £411k, equivalent to 0.4%.

The revised capital budget for 2017/2018 was £111.7m and at quarter 3 the forecast capital outturn was £68.2m, resulting in an underspend equivalent to 39%.

RESOLVED –

- 1) That the use of additional Better Care Funding monies in 2017-2018, as set out in the considered, be noted.
- 2) That the Quarter 3 forecast £3.3m revenue monitoring underspend.
- 3) That the forecast reduction in general fund reserves in-year at £24.3m and year end position at £65.8m.
- 4) That the overall favourable in-year financial performance on the Collection Fund be noted.
- 5) That the Quarter 3 forecast HRA surplus at £411k and forecast reserves position at year end at £55.4m be noted.
- 6) That approval be given to the transfer of Revenue contributions to Capital (RCCOs) totalling £125k, in accordance with Financial Procedure Rules 3.10 – 3.12.
- 7) That the additional Homelessness Reduction Act: New Burdens Funding of £92k in 2017/18, £85k in 2018/19 and £105k in 2019/20 be noted.

427 Corporate Performance Monitoring - Quarter 3 2017-18

Cabinet received a report which provided an overview of the Council's corporate performance at the end of quarter 3, 2017/2018. The report focussed upon key strategic issues in relation to the delivery themes of (i) Ambitious Kirklees (ii) Inclusive Kirklees and (iii) Resilient Kirklees, and advised that 57% of all actions had been assessed as being on track.

Cabinet noted the key issues which included regeneration progress in Dewsbury, the development of the citizen account, housing of vulnerable people and casework management of children's safeguarding operations.

The Quarter 3 performance monitoring report was appended to the considered report.

RESOLVED - That the Quarter 3 Corporate Performance Report be noted.

428 Determination of Admission Arrangements for 2019/20

Cabinet gave consideration to a report which set out the results of the annual consultation regarding school admission arrangements for 2019/2020. The report advised that no major changes had been made to the proposed schemes, which were attached as an appendix to the considered report. It was noted that 12 responses to the consultation had been received, which were set out at paragraph 4.

The report sought approval from Cabinet to approve the co-ordinated admissions schemes, admission arrangements for community and voluntary controlled schools, and the proposed published admission numbers.

RESOLVED –

- 1) That approval be given to the Kirklees Co-Ordinated Admission Schemes for 2019/20, including in-year admissions as set out at Appendix 2 to the considered report.
- 2) That the admission arrangements for Kirklees Community and Voluntary Controlled Schools, as detailed at Appendix 1 of the considered report, including the schedule of Published Admission Number, be approved.

429 Freehold Asset Transfer of Slaithwaite Civic Hall, New Street, Slaithwaite, Huddersfield, HD7 5AB

Cabinet gave consideration to a report which set out a proposal for the freehold transfer of land and buildings which comprise Slaithwaite Civic Hall to Slaithwaite Civic Trust.

The report advised that the building was in an acceptable state of repair, but that a condition survey had identified works totalling £133, 466, and that, in transferring the asset, the Council would avoid financing costs of £7,396 associated with the capital works, and achieve a revenue saving of £15,691.

Cabinet - 20 February 2018

Cabinet noted that, in accordance with the 2017 Community Asset Transfer Policy, the Trust had requested payment equivalent to 15% of the average of the previous two years running costs for this facility which would have a one off revenue implication for the Council of £2,626. It was noted that the Trust had requested a match funding loan of £100,000 to part fund a programme of refurbishment and improvements.

RESOLVED -

- 1) That approval be given to the freehold transfer of Slaithwaite Civic Hall to the Trustees of Slaithwaite Civic Hall Trust under the 2017 Community Asset Transfer Policy for nil consideration, and to include covenants for community use with the exception of up to 30% commercial use, as detailed at paragraph 2.9.3 of the considered report.
- 2) That authorisation be given to a payment of £2,626 to Slaithwaite Civic Hall Trust on completion of the transfer, being 15% of the average of the previous two years running costs in line with the 2017 Community Asset Transfer Policy.
- 3) That approval be given to a match funding secured loan of £100,000, in accordance with the 2017 Community Asset Transfer Policy, to be repaid over a term of 20 years, and that the loan only be released when the Trust has demonstrated that match funding has been secured.
- 4) That approval be given to the Service Director (Economy, Regeneration and Culture) negotiating and agreeing the terms of the transfer for Slaithwaite Civic Hall (including the extent of the land transferred) and the match funding loan agreement to Slaithwaite Civic Hall Trust and the Service Director (Legal, Governance and Commissioning) entering into and executing all documents necessary to effect the transfer and loan agreement.

430 Disposal of development site in Quarmby, Huddersfield

RESOLVED - That the report be withdrawn, in accordance with paragraph 1.2 of the report, due to no objections having been received following the statutory notice under S123 of the Local Government Act 1972, and that the disposal of the site be dealt with under Delegated Powers for asset disposals, as approved by Council on 23 March 2011.

431 Disposal of Public Open Space at North Rd/Nevins Rd, Ravensthorpe

Cabinet received a report which requested that consideration be given to the disposal of public open space at North Road and Nevins Road, Ravensthorpe. The report advised that an objection to the proposed disposal of the land had been received, which was attached at appendix two of the considered report.

As the objection had not been withdrawn, it was necessary for the matter to be determined by Cabinet.

RESOLVED - That approval be given to the disposal of public open space at North Road/Nevins Road, Ravensthorpe.

432 Summary of Findings from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review

Cabinet received a report which set out a summary of findings arising from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review. The report set out the findings of the data collection and analysis, and non-statutory consultation, to inform strategic planning for provision for children and young people with special educational needs and disability. It advised that the review focussed on the main areas of (i) the range of SEND data, including recent trends and likely changes in the future and (ii) the effectiveness of the current pattern of provision in meeting needs using feedback from parents and young people, providers and partners, with a view to identifying any gaps in provision and future opportunities.

The report explained that the overall purpose of the review was to consider the effectiveness of local provision with a view to ensuring sufficient quality of local provision, which is at the right place, at the right time, and effectively meets the needs of children and young people with SEND, enabling access to learning in the local area and reducing the need to travel out of the area in order to have needs met.

The report set out details of the current context in Kirklees, an analysis of the responses received to the consultation, conclusions from the high needs review, and emerging priorities for improvement. Cabinet were advised that the DfE had instructed local authorities to publish how they will utilise their specialist provision capital fund and that the Council's allocation of £1m will then be allocated in three tranches between 2018 and 2021.

RESOLVED -

- 1) That the findings of the data collection and analysis, and non-statutory consultation, to inform the strategic planning of provision for children and young people with special educational need and disability be noted.
- 2) That a further report be submitted to the meeting of Cabinet on 20 March 2018 setting out details of proposals to utilise the £1m capital funding allocation from the DfE.

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KIRKLEES COUNCIL			
COUNCIL/CABINET/COMMITTEE MEETINGS ETC			
DECLARATION OF INTERESTS			
Name of Councillor			
Item in which you have an interest	Type of interest (eg a disclosable pecuniary interest or an "Other Interest")	Does the nature of the interest require you to withdraw from the meeting while the item in which you have an interest is under consideration? [Y/N]	Brief description of your interest

Signed: Dated:

NOTES

Disclosable Pecuniary Interests

If you have any of the following pecuniary interests, they are your disclosable pecuniary interests under the new national rules. Any reference to spouse or civil partner includes any person with whom you are living as husband or wife, or as if they were your civil partner.

Any employment, office, trade, profession or vocation carried on for profit or gain, which you, or your spouse or civil partner, undertakes.

Any payment or provision of any other financial benefit (other than from your council or authority) made or provided within the relevant period in respect of any expenses incurred by you in carrying out duties as a member, or towards your election expenses.

Any contract which is made between you, or your spouse or your civil partner (or a body in which you, or your spouse or your civil partner, has a beneficial interest) and your council or authority -

- under which goods or services are to be provided or works are to be executed; and
- which has not been fully discharged.

Any beneficial interest in land which you, or your spouse or your civil partner, have and which is within the area of your council or authority.

Any licence (alone or jointly with others) which you, or your spouse or your civil partner, holds to occupy land in the area of your council or authority for a month or longer.

Any tenancy where (to your knowledge) - the landlord is your council or authority; and the tenant is a body in which you, or your spouse or your civil partner, has a beneficial interest.

Any beneficial interest which you, or your spouse or your civil partner has in securities of a body where -

- (a) that body (to your knowledge) has a place of business or land in the area of your council or authority; and
- (b) either -

the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or

if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which you, or your spouse or your civil partner, has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

Name of meeting: Cabinet
Date: 20 March 2018

Title of report: Recommendations from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review – Specialist Provision Capital Fund

1. Purpose of report

The report is an addendum to the report entitled “Summary of Findings from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review” and specifically recommends how the Department for Education’s (DfE) £1m Specialist Provision Capital Fund allocation to Kirklees should be used to develop local provision for children and young people with special educational needs and disability (SEND).

The purpose of this report is to allocate a specific capital grant allocation, acknowledging that it is not intended to, nor would it be able to, address overall need in the area.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Yes
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports?)	Yes
The Decision - Is it eligible for call in by Scrutiny?	Yes
Date signed off by <u>Strategic Director</u> & name Is it also signed off by the Service Director for Finance IT and Transactional Services? Is it also signed off by the Service Director for Legal Governance and Commissioning Support?	<i>Date 5 March 2018</i> Steve Walker <i>Date 9 March 2018</i> Debbie Hogg (Eamonn Croston) <i>Date 8 March 2018</i> Julie Muscroft
Cabinet member portfolio	Cllr Viv Kendrick Cllr Masood Ahmed Cllr David Sheard

Electoral wards affected: All wards

Ward councillors consulted: Yes (included in the public consultation)

Public or private: Public

2. Summary

All Local Authorities have been required to carry out a high quality collaborative review of their high needs provision for children and young people in their local area with complex Special Educational Needs and Disability (SEND).

3. Information required to take a decision

3.1 Introduction

The overarching aim of the review considers the effectiveness of local provision with a view to ensuring sufficient quality of local provision which is in the right place at the right time and effectively meets the needs of children and young people with SEND. As a consequence this will enable children and young people to access learning in their local area and will reduce the need for children and young people to travel out of area to have their needs met.

The Department for Education have instructed Local Authorities to publish the planned use of Specialist Provision Capital Funding by March 2018 in order to draw down the funding. The Kirklees allocation of £1m will then be allocated in 3 tranches between 2018 and 2021.

Findings from the High Needs Review are being used to determine the most efficient way of using the capital.

3.2 Background

Please reference the Cabinet Report “Summary of Findings from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review” (Appendix A) submitted to Cabinet on 20 February 2018

<https://democracy.kirklees.gov.uk/documents/s21928/2018%2002%2020%20HNR%20redacted%20version%20of%20report.pdf>

The Summary of Findings report presented data and information on:

- Existing provision for children and young people with SEND in Kirklees
- Funding arrangements for supporting SEND in educational settings
- Overarching High Needs Assessment data including the SEN Support profile
- School premises and buildings

The report also presented outcomes from the non-statutory public consultation, gathering views from children and young people with SEND, their parent/carers, providers and partners across early years, schools and Post 16 settings.

3.3 Conclusions from the High Needs Review

Responses from the non-statutory consultation reflected a number of key issues, particularly in relation to the growing numbers of children and young people with SEND and increasing levels of complexity of need, particularly around social, emotional and mental health needs and complex communication and interaction needs. This is supported by findings from the data.

3.4 Recommendations for specialist provision capital funding

The High Needs Review shows pressures on specific areas of SEMH and Communication and Interaction, specifically ASD. It has also noted an overall increase in the complexity of need of children and young people across all types of SEND. The capital funding available through this allocation is not sufficient to address all the improvements needed. Further work has been

undertaken to ensure the maximum benefit is extracted from this specialist provision capital funding alongside other funding streams and opportunities.

Following the presentation of key findings and recommendations in the High Needs Plan Survey of Property for SEND Provision in Kirklees, the Capital Development Team carried out feasibility studies:

Ravenshall School – to create additional accommodation
Newsome High School – to resolve identified shortcomings
Honley High School – to resolve identified shortcomings

i) RAVENSHALL SCHOOL: Summary of the Feasibility Study Brief

Continued incremental growth has created additional pressures on accommodation. The increase in pupil numbers will be addressed through the High Needs Strategy and Action Plan.

The objective of the proposals is not to increase the overall number of places in school, rather it is to reduce class size so as to enable the school to meet the more complex needs of children identified through the data. It is important to note that the High Needs Strategy and Action Plan will address how some children with less complex needs, who might previously have attended Ravenshall, can access appropriate provision within their local mainstream school.

Proposals

The preferred solution is the construction of an extension that would provide additional classroom space. Consideration should be given to improving storage accommodation for pupils' mobility equipment and alleviating the overcrowding that occurs during lunchtimes in the dining hall.

Notional budget £400k

ii) NEWSOME HIGH SCHOOL: Summary of the Feasibility Study Brief

Newsome High School is a mainstream High School. The school has a total SEND population of about 85 at present which represents about 15% of the NOR. This is slightly higher than the national average. There are well-established dedicated Hearing Impairment and Physical Impairment (PI) Specialist Provisions which are located separately on the ground floor. Both the Hearing and Physical Impairment provisions run with fixed term and transitional places.

Proposals

The preferred option would be to improve teaching spaces and re-develop existing space to create accessible WCs.

Storage across all parts of the provision needs to be carefully considered especially considering the size of equipment and resources in the Physical Impairment facility.

Notional budget £300k

iii) HONLEY HIGH SCHOOL: Summary of the Feasibility Study Brief

Honley High School is a large mainstream High School. The school has a total SEND population of 250 of which 20 are within their Specialist Provision (SP) for Children and Young People with Communication and Interaction Needs (ASD). This represents a SEND cohort of 19% which is slightly higher than the national average.

The Specialist Provision caters for those students with ASD who benefit from the additionality of dedicated staff, space and facilities that enable them to succeed in a mainstream school. At present, the school has dedicated Specialist Provision rooms and students also use a room which is notionally part of the SEND /Inclusion portfolio, causing capacity issues.

Proposals

The preferred solution is to create a designated space that provides the flexibility for small group work, 1:1 support and break out space specifically for Specialist Provision which can ensure a clear focus on meeting the very significant and specific social and communication needs of these students.

Notional budget £300k

4. Implications for the Council

4.1 Early Intervention and Prevention (EIP)

The proposals will be part of a wider development programme to ensure that our specialist schools and settings are able to meet the needs of children and young people with SEND locally and in a timely fashion.

4.2 Economic Resilience (ER)

The proposals will afford more flexible accommodation to the identified schools and allow them to further personalise the curriculum offer for their pupils to ensure that they are able to make progress in all areas of the curriculum and transition successfully into adult life.

4.3 Improving Outcomes for Children

The proposals' aim is to improve the space available at each identified school which will facilitate a higher level of personalisation of the curriculum to meet all of the needs of the pupils who attend, leading to improved outcomes.

4.4 Reducing demand of services

The aim of the proposals is to ensure that wherever possible, the majority of our children and young people with SEND can attend a local provision with the appropriate level of specialist support, thereby reducing the need for specialist provision outside of Kirklees, and enabling those pupils to remain within their local community.

4.5 Other (e.g. Legal/Financial or Human Resources)

The proposal will help us to increase capacity of appropriate specialist school places within Kirklees, thereby supporting the overall intention of reducing the need for out of Authority placements. It is anticipated that this while this will in time enable us to invest that money in our own provision, it has to be seen in context of being part of a much wider, current strategic review of high needs in the area.

5. Next steps

- To publish the planned use of Specialist Provision Capital Funding to meet the DfE requirement
- To use findings from the data, non-statutory consultations and inspections of school's premises to inform the SEND High Needs Strategic Plan and supporting action plan, to demonstrate how proposals will be implemented.

6 Officer recommendations and reasons

It is important that Kirklees invests this DfE allocation as efficiently as possible in order to derive maximum benefit for our children and young people, and that this initiative is viewed in conjunction with other ongoing Capital projects.

The recommendation is to approve the £1m capital fund allocation as set out in this report.

7 Cabinet portfolio holder's recommendations

It is important that Kirklees invests this DfE allocation as efficiently as possible in order to derive maximum benefit for our children and young people, and that this initiative is viewed in conjunction with other ongoing capital projects.

8 Contact officers

Mandy Cameron, Head of Service, Education Safeguarding and Inclusion, Learning and Early Support

9 Relevant Papers

App A: Summary of Findings from the Special Educational Needs and/or Disability (SEND) High Needs Strategic Review

<https://democracy.kirklees.gov.uk/documents/s21928/2018%2002%2020%20HNR%20redacted%20version%20of%20report.pdf>

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Name of meeting CABINET
Date: 20th March 2018

Title of report 2018/19 Road Surfacing Programme (large schemes)

Purpose of Report This is a key decision to seek approval for a programme of road resurfacing schemes for 2018/19. These are schemes that each requires spend of more than £250,000 on the road network

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Yes Greater than £250k
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports)?	Yes
The Decision - Is it eligible for call in by Scrutiny ?	Yes
Date signed off by Strategic <u>Director</u> & name	Karl Battersby - 6.03.18
Is it also signed off by the Service Director, Finance, IT, and Transactional Services?	Debbie Hogg - 7.03.18 <i>Eamonn Croston for and on behalf of</i>
Is it also signed off by the Service Director Legal, Governance and Commissioning?	Julie Muscroft - 9.03.18
Cabinet member portfolio	Corporate - Cllr Graham Turner/Cllr Musarrat Khan

Electoral [wards](#) affected: Batley East, Batley West, Greenhead, Kirkburton, Mirfield, Newsome.

Ward councillors consulted: Cllr Mahmood Akhtar, Cllr Fazila Loonat, Cllr Habiban Zaman, Cllr Gwen Lowe, Cllr Marielle O'Neil, Cllr Shabir Pandor, Carole Pattison, Cllr Mohan Sokhal, Cllr Sheikh Ullah, Cllr Bill Armer, Cllr Richard Smith, Cllr John Taylor, Cllr Martyn Bolt, Cllr Vivien Lees-Hamilton, Cllr Kath Taylor, Cllr Karen Alison, Cllr Andrew Cooper, Cllr Julie Stewart-Turner

Public or private: PUBLIC

1. Summary

Road resurfacing works are proposed within the Highways Capital plan for the following roads. The extent of works is shown on the attached plans.

Scheme	From	To	Ward	Scheme Estimate	Programme	Plan
A 62 Castlegate, Huddersfield	Manchester Rd	Trinity St	Newsome	£420,000	Principal Roads	DS/49/99999/CAB
A652 Bradford Road, Batley	North Street	Rouse Mill Lane	Batley East	£680,000	Principal Roads	DS/25/23673/CAB
A644 Huddersfield Road, Mirfield	Steanard La	Knowl Rd	Mirfield	£400,000	Principal Roads	DS/49/48337/CAB
C641 Luck Lane, Paddock	Church St	Link Road	Greenhead	£270,000	Roads Connecting Communities	DS/25/64492/CAB
C574 Farnley Rd, Farnley Tyas	Storthes Hall Lane	Manor Rd	Kirkburton	£310,000	Roads Connecting Communities	HM25/64480/CAB
C996 Newsome Road South, Newsome	Jackroyd La	Bridge St	Newsome	£250,000	Roads Connecting Communities	25/64491/CAB
Healey Lane, Batley	Batley Rd	B6123 Healey La	Batley West	£370,000	Local Community Roads	DS/25/43259/CAB

Table 1

2. Information required to take a decision

2.1 Annual surveys of road condition identify the backlog of road repairs. The current backlog by road classification is shown in table 2. These schemes will repair roads and improve the backlog of repair.

Road type	backlog	Year on year performance
A Roads	7km (3%)	improving
B Roads	3km (3%)	improving
C Roads	6km (4%)	improving
Other roads (U)	220km (15%)	declining

Table 2

2.2 The funding source is a grant through the Local Transport Plan (LTP) Grant allocation. Road surfacing schemes consider the needs of all road users hence elements of road safety e.g improved skid resistance, network management, drainage etc are included in the schemes.

2.3 The schemes will be discussed during Utility Liaison Meetings by the Streetscene - Streetworks Co-ordinator and any necessary utility works will be carried out prior to the resurfacing. A Section 58 Protection has been issued for the extents of the proposed surfacing, which will provide a 5 year protection from utility excavations unless in an emergency situation.

2.4 We are aware that these schemes may cause significant difficulties for through traffic. Officers will be in discussions with local councillors about the proposed improvements, and how delay and disruption can be minimised

where possible. Early contractor involvement on the resurfacing works should help to mitigate some of the problems which the works will cause.

2.5 A62 Castlegate, Huddersfield

2.5.1 The works comprise road resurfacing, re-lining/re-signing to improve highway capacity. The scheme is estimated to cost a total of £420k, of which £300k is funded from the Principal Roads Programme 1A, £100k is funded through Network Management and £20k is funded through the Safer Roads programme to improve skid resistance, all within the approved Highways Capital Plan.

2.5.2 It has been identified that drivers travelling clockwise on the Castlegate section of Huddersfield Ring Road between Chapel Hill and the A629 slip tend to 'hog' the nearside lane, with spare capacity available in lanes two and three. This results in unnecessary queueing and congestion and wasted traffic signal capacity, in particular at the Outcote Bank and Merton Street junctions.

In order to address this imbalance, in flows a scoping study was undertaken by the council's Urban Traffic Control (UTC) team, which:

- Reviewed the current operation of this section of the Ring Road;
- Assessed the performance of the Ring Road in 2016 (baseline year)
- Identified a short term highway capacity improvement scheme.

The outcome of this study (attached) supports a lane drop scheme with the nearside lane being dedicated to the A640 (where it is currently a slip from Castlegate) with left turners to Merton Street also accommodated at the preceding junction. The study also highlights that in order to direct traffic into the new lane allocations it will be necessary to resurface the Castlegate approach to the Trinity Street junction. This area is currently heavily rutted and the new lane markings would require drivers to cross the rutting. For further information, please see the attached Technical Note - *A62 Huddersfield Ring Road - Highway Capacity Improvements*

2.5.3 Subject to Cabinet Approval, works are programmed to start June 2018.

2.5.4 The A62 Castlegate is part of the Ring Road for Huddersfield, providing a vital link from the A616, A62, A640 and A629 for access to the Town Centre.

2.5.5 Proposed actions to minimise disruption:

- Advanced vehicle messaging signs will be erected informing drivers about the intended works and to expect delays.
- Working at off-peak times to minimise the impact of the work to through traffic.
- A temporary road closure of the outer Ring Road will be necessary to allow the works to be undertaken safely and for the programme to be expedited, reducing disruption to a minimum. Traffic will be diverted along the inner Ring Road whilst works take place.

- Some sections of the work will require junctions onto Castlegate to be closed to allow work to be carried out safely. The timings of these closures will be considered in order to minimise disruption to local residents and through traffic.
- The works will be phased, and access to the maximum amount of the Outer Ring Road will be maintained as much as is possible. Local and digital media will be used to inform road users of the progress and potential for delay and disruption.
- The method of work will be twilight shifts between the hours of 7pm and 11pm.

2.6 A652 Bradford Road, Batley

2.6.1 The works comprise road resurfacing with minor footway repairs and drainage repairs.

2.6.2 Subject to Cabinet Approval, works are programmed to start June 2018.

2.6.3 Proposed actions to minimise disruption:

- Advanced vehicle messaging signs will be erected informing drivers about the intended works and to expect delays.
- Working at off-peak times to minimise the impact of the work to through traffic.
- Local and digital media will be used to inform road users of local road closures, the progress and potential for delay and disruption.
- The method of work will involve a mixture of weekend work, weekday work through the off peak period and twilight shifts between the hours of 7pm and 11pm.
- Side roads will be closed as necessary

2.7 A644 Huddersfield Road, Mirfield

2.7.1 The works comprise road resurfacing with minor footway repairs and drainage repairs.

2.7.2 Subject to Cabinet Approval, works are programmed to start June 2018.

2.7.3 Proposed actions to minimise disruption:

- Advanced vehicle messaging signs will be erected informing drivers about the intended works and to expect delays.
- Working at off-peak times to minimise the impact of the work to through traffic.
- The method of work will involve a mixture of weekend work, weekday work through the off peak period and twilight shifts between the hours of 7pm and 11pm.
- Side roads will be closed as necessary

2.8 C641 Luck Lane, Paddock

2.8.1 The works comprise drainage repairs, road resurfacing and reconstruction of the existing footways and traffic calming features.

2.8.2 Subject to Cabinet Approval, works are expected to start May 2018.

2.8.3 Luck Lane, Paddock provides a vital link for residents in Paddock and the surrounding area to the A640 Westbourne Road, Marsh.

2.8.4 Proposed actions to minimise disruption:

- Advanced vehicle messaging signs will be erected informing drivers about the intended works and to expect delays.
- Working at off-peak times to minimise the impact of the work to through traffic.
- The resurfacing works will be undertaken during the School Holidays.

2.9 C574 Farnley Road, Farnley Tyas

2.9.1 The works comprise drainage and road resurfacing and will complement the previously completed footway reconstruction scheme providing improved safety.

2.9.2 Subject to Cabinet Approval, works are programmed to start May 2018.

2.9.3 The C574 Farnley Road is an important link between local communities and serves local business and farming. It carries a well-used bus service.

2.9.4 Proposed actions to minimise disruption:

- Advanced information signs will be erected informing drivers about the intended works and to expect delays.
- Working at off peak times to minimise the impact of the work to through traffic.
- A road closure will be put in place to allow work to be carried out safely. The timings of this closure will be considered in order to minimise disruption to local residents and through traffic.
- Works will be phased, and access to the maximum amount of businesses/properties will be maintained as much as is possible. Residents and businesses within the road closure will be updated regularly.
- The method of work will be daytime off peak working.
- Temporary traffic lights will also be required.

2.10 C996 Newsome Road South

2.10.1 The works comprise drainage repairs, road resurfacing and reconstruction of the existing traffic calming features.

2.10.2 Subject to Cabinet Approval, works are expected to start May 2018.

2.10.3 Newsome Road South provides a vital link to Huddersfield Town Centre and the Holme Valley for local communities.

2.10.4 Proposed actions to minimise disruption:

- Advanced vehicle messaging signs will be erected informing drivers about the intended works and to expect delays.
- Working at off-peak times to minimise the impact of the work to through traffic.
- The method of work will be a split between daytime off-peak working and twilight shifts, between the hours of 7pm and 11pm.

2.11 Healey Lane, Batley

2.11.1 The works comprise drainage repairs, kerb and footway works and road resurfacing. Precast concrete flags will be replaced by bituminous footway surfacing.

2.11.2 Subject to Cabinet Approval, works are expected to start autumn 2018.

2.11.3 Proposed actions to minimise disruption:

- Working at off-peak times to minimise the impact of the work to through traffic.
- Road closure of Healey Lane through narrower sections.
- Use of temporary traffic signals.

3. Implications for the Council

3.1 Early Intervention and Prevention (EIP)

There will be no impact.

3.2 Economic Resilience (ER)

A well maintained road network supports the development of local businesses and helps develop Kirklees as a quality place where people want to live, work and visit.

3.3 **Improving Outcomes for Children**

There will be no impact.

3.4 **Reducing demand for Services**

The scheme contributes to providing real help for communities by halting the deterioration and reducing the need for reactive maintenance.

4. **Consultees and their opinions**

Consultation will be undertaken with local businesses/residents and the local ward Councillors. A further information letter will go out to all frontage properties/businesses in advance of each scheme starting, detailing the programme for the works.

5. **Next steps**

Officers will progress the design and construction of the works.

6. **Officer recommendations and reasons**

That Cabinet approves the large scheme road surfacing programme.

Reasons:

The schemes improve important local routes and reduce the maintenance backlog.

7. **Cabinet portfolio holder's recommendation**

These schemes support the National Asset Management Strategy network and allows the Authority to meet the criteria for a level 3 Highways Efficiency self-assessment. The incentive fund introduced in April 2016 is a system of penalisation and limits Councils from making decisions on a local needs basis. We are faced with penalties and possible loss of adhoc grants if the criteria for the incentive fund are not met. In practice, this means, that limited resources are directed to the maintenance of principal A, B and C roads and not in residential streets. The incentive fund is dependent upon compliance with the Governments directive to divert resources from unclassified roads to principal roads. Under this regime a Council loses its ability to respond to residents requests to fix streets in total disrepair.

8. **Contact officer**

Graham Mallory
Tel: 01484 221000
E-mail: graham.mallory@kirklees.gov.uk

9. **Background papers and History of Decisions**

Papers: Appendix 1 Location Plans
A62 Huddersfield Ring Road - Highway Capacity Improvements

10. Service Director responsible

Joanne Bartholomew
Service Director
Commercial, Regulatory and Operational Services
Tel: 01484 221000
Email: joanne.bartholomew@kirklees.gov.uk

Technical Note

Project:	A62 Huddersfield Ring Road – Highway Capacity Improvements
Subject:	Technical Note Assessing Options
Prepared by:	Louise Hewlett

Introduction

It has been identified that drivers travelling clockwise on the Castlegate section of Huddersfield Ring Road between Chapel Hill and the A641 slip tend to ‘hog’ the nearside lane with spare capacity available in lanes two and three. The outcome of this is unnecessary queuing and congestion and wasted traffic signal capacity, in particular at the Outcote Bank and Merton Street junctions.

In order to address this imbalance in flows a scoping study has been undertaken to:

- Review the current operation of the Ring Road;
- Assess the performance of the Ring Road in 2016 (baseline year);
- Determine any short term highway capacity improvement schemes for the Ring Road.

The outcome of this study supports a lane drop scheme with the nearside lane being dedicated to the A640 (where it is currently a slip from Castlegate), with left turners to Merton Street also accommodated at the preceding junction.

A review of traffic figures has been undertaken for the existing operation of Castlegate and two proposed lane configurations. The results of this exercise are discussed later in this note.

Data Used

The traffic data used for this assessment was collected for the City Connect cycle scheme with the surveys undertaken in late November and early December 2015. The fully classified turning counts were then converted to Passenger Car Units (PCUs).

Whilst an extensive origin – destination survey for the study area would give comprehensive data regarding vehicle routes on Castlegate, the time and budget constraints were prohibitive.

Options

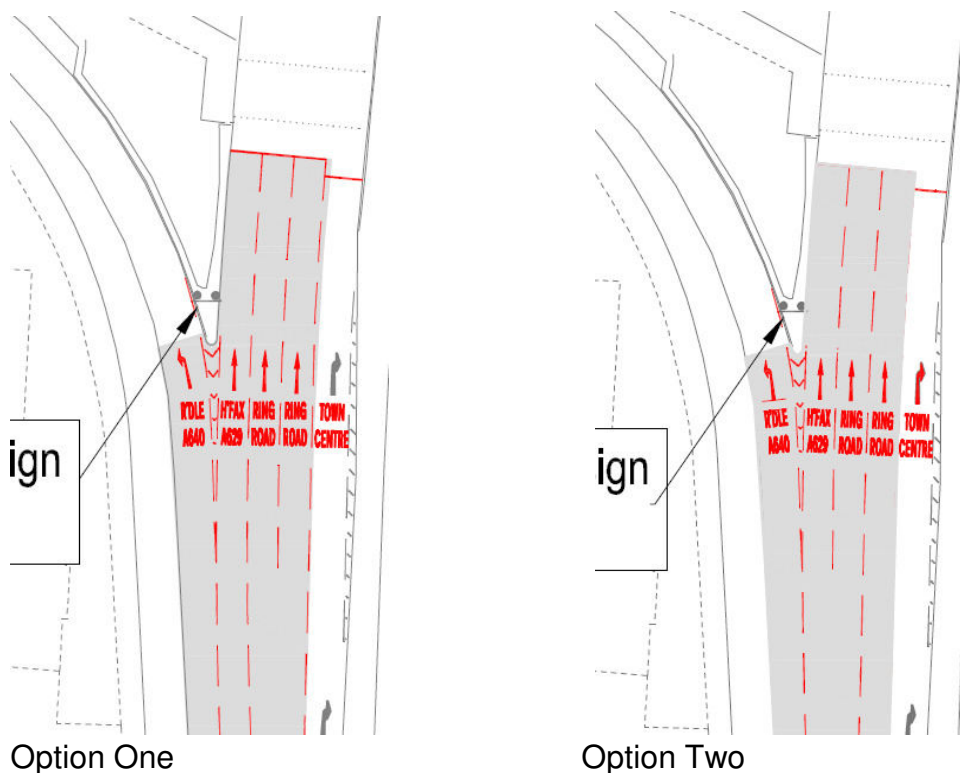
Two options for lining with an associated signing strategy, have been prepared for assessment. Both have the nearside lane as a dedicated lane for the A640 whilst also accommodating the left turn to Merton Street.

Option one has lane two as a dedicated lane for the A629 Halifax Road with lane three opening into lanes three and four at the Castlegate / Trinity Street stopline with lanes three and four being for Castlegate. Lane five, the right turn into the Town Centre also opens off lane three.

Technical Note

Option two has lane two carrying traffic for the A629 Halifax Road and Castlegate, and opens into lanes two and three at the Trinity Street stopline with lane two for the A629 Halifax Road and lane three for Castlegate. The remaining Castlegate lane (lane three approaching the junction, lane four at the stopline) continues to be for Castlegate. Lane five, as for the existing and option one, opens off lane three.

The two proposed layouts are demonstrated below and larger copies are shown at Appendix A.



For both options one and two, there is no change from the existing lane designations at the stop line position at the Trinity Street junction, lane one is the A640, lane two is the A629 Halifax Road, lanes three and four are Castlegate and lane five is the right turn into the Town Centre. It is the configuration of lanes up to this point that will change.

Assessment

It was agreed that due to time and budget constraints, no capacity modelling of the junctions would be undertaken. The assessment would be purely based on a comparison of the changing lane flows.

The lane allocations for both the existing and proposed layouts have been determined from the turning count information (giving some destination information) and on-site observations. Traffic turning into the network (from Outcote Bank, Merton Street and Market Street) has been allocated to lanes based on existing proportions.

Technical Note

The flows on Castlegate for the three scenarios (existing, option one, option two) have been tabulated at the following locations:

- The stop line at the junction with Outcote Bank;
- The exit from the Outcote Bank junction, before the right turn lane splits off;
- The stop line at the junction with Merton Street / Market Street;
- The exit from the junction with Merton Street / Market Street before the right turn lane splits off;
- The stop line at the junction with Trinity Street.

These locations are shown on the plan at Appendix B.

		AM PEAK					PM PEAK				
		Lane 1	Lane 2	Lane 3	Lane 4	Lane 5	Lane 1	Lane 2	Lane 3	Lane 4	Lane 5
1	Outcote Bank Stop Line - Existing	725	353	538	-	-	681	322	412	-	-
	Outcote Bank Stop Line - Option 1	477	248	892	-	-	430	251	734	-	-
	Outcote Bank Stop Line - Option 2	477	601	538	-	-	430	574	412	-	-
2	Outcote Bank Exit - Existing	930	453	690	-	-	862	408	521	-	-
	Outcote Bank Exit - Option 1	612	318	1143	-	-	544	318	929	-	-
	Outcote Bank Exit - Option 2	612	771	690	-	-	544	726	521	-	-
3	Merton Street Stop Line - Existing	930	453	448	242	-	862	408	316	205	-
	Merton Street Stop Line - Option 1	612	318	901	242	-	544	318	724	205	-
	Merton Street Stop Line - Option 2	612	771	448	242	-	544	726	316	205	-
4	Merton Street Exit - Existing	838	510	503	-	-	874	510	394	-	-
	Merton Street Exit - Option 1	481	357	1013	-	-	476	398	904	-	-
	Merton Street Exit - Option 2	481	867	503	-	-	476	908	394	-	-
5	Trinity Street Stop Line - Existing	481	357	510	214	289	476	398	510	218	176
	Trinity Street Stop Line - Option 1	481	357	510	214	289	476	398	510	218	176
	Trinity Street Stop Line - Option 2	481	357	510	214	289	476	398	510	218	176

The above table shows that option one would move the queues from lane one to lane three, with predicted lane three traffic volumes significantly larger than those currently experienced in lane one, in particular at locations two and four. Whilst moving a large volume of traffic from lane one to lane three would help to move traffic for the A640 around Castlegate, it would create additional problems over and above the ones currently experienced. Long queues in lane three would lead to the right turn lanes for Market Street and the Town Centre being blocked, which in turn would add to the queues. The right turns are heavily used by buses that would incur additional delay.

Technical Note

Any scheme which has a negative impact on the buses, especially in such close proximity to the bus station, is not acceptable and cannot be supported.

The results for option two show that the traffic flows would become more balanced across all three lanes, especially at the stop lines. This may allow a small amount of green time to be reallocated to a conflicting approach as the required green time shouldn't be as long as the flows across the lanes will be more balanced.

It is considered that if a lane drop scheme is to proceed, then it should be option two.

Other Considerations

A number of other schemes / improvement works are taking place in the Castlegate area in the upcoming months. These include:

- UTC upgrading the SCOOT operation;
- City Connect facilities at Outcote Bank and Trinity Street;
- Replacement of existing 'snow' signs.

UTC are relocating a number of SCOOT loops so that they are able to provide better information to better inform the green splits at the Castlegate junctions. Whilst this will have some beneficial impact on the traffic travelling on Castlegate it will not be enough to alleviate the existing queues in lane one. This work is currently progressing and should not require any further on-street work as a result of any lane drop scheme being undertaken. It will require some modification to the database in the office as the some of the lane destinations will change, but no loops should require re-cutting.

It is also proposed to introduce cycle crossing facilities at both the Outcote Bank and Trinity Street junctions. Both of these facilities are to be 'cycle with traffic' and mimic the existing 'walk with traffic' pedestrian facilities and will therefore have no negative impact on the operation of the junctions from a traffic point of view. It is envisaged that work on these City Connect cycle facilities will commence in summer 2017. Due to the widening of the central island at the Trinity Street junction, there will be some resurfacing work required – it has been confirmed that the extent of the resurfacing will not impact on the cutting and location of the new UTC SCOOT loops.

UTC are leading a project to replace the existing 'snow' signs around the Kirklees district with free text variable message signs (VMS). It has been noted during the preliminary work on this scheme that the location of the new VMS will clash with the required location of new signage associated with the lane drop scheme. In order to allow the signing positions for the new Castlegate signs it will also be necessary to remove an existing car park sign to enable the new VMS to be located in its place.

Coordination of Works

If the Castlegate lane drop scheme is to proceed, the works must be coordinated with the works detailed above.

Technical Note

In order to direct traffic into the new lane allocations it will be necessary to resurface an area on the Castlegate approach to the Trinity Street junction. This area is currently heavily rutted and the new lane markings would require drivers to cross the rutting. This has been identified as a problem and it will be rectified by completing an area of resurfacing. As detailed above, there will also be a resurfacing element to the City Connect cycle scheme due to the widening of the central island, therefore the City Connect cycle scheme must be completed first, then when the resurfacing is completed the new lining can be laid and the new advanced direction signs unveiled. It is important that the signing is in place and bagged off before the new lining is laid in order to reduce confusion for motorists. It is anticipated that the existing car parking sign will be removed to allow the siting of the new VMS, this will then allow the siting of the new advanced direction signs around the existing signs. The new signs can be bagged off until the lining is completed at which time the existing advanced direction signs will also be removed.

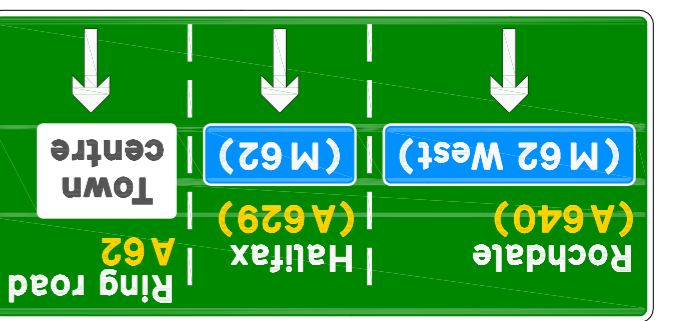
Conclusion

The works undertaken have demonstrated that if a lane drop scheme is to be implemented, then it should be option two as option one simply moves the long queues to lane three which not only wouldn't solve any problems, it would also introduce further problems for buses.

If the lane drop scheme is going to go ahead then the implementation must be scheduled to coordinate with the other schemes in the vicinity.

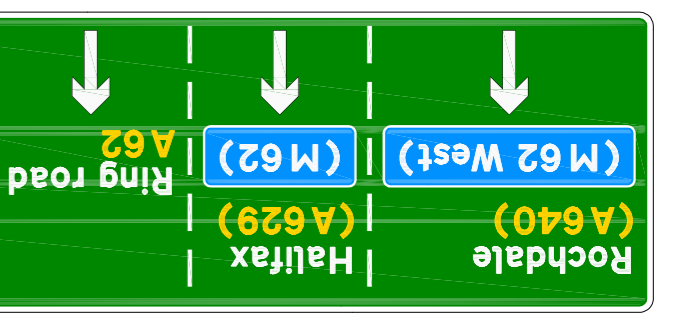
APPENDIX A

Material	Class RA2 (12899-1-2007)
Border	WHITE
Background	DARK GREEN
Width	357mm
Height	157mm
Area	5.9m ²



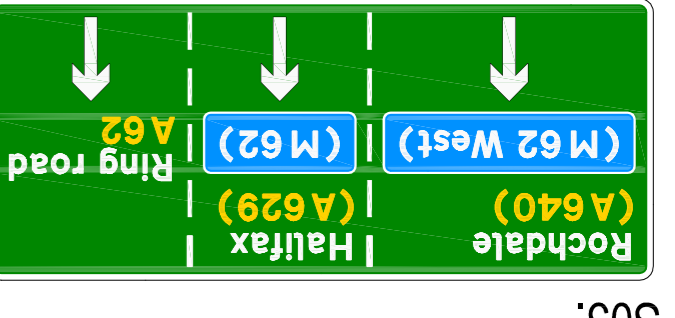
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Background	DARK GREEN
Width	466mm
Height	161mm
Area	7.2m ²



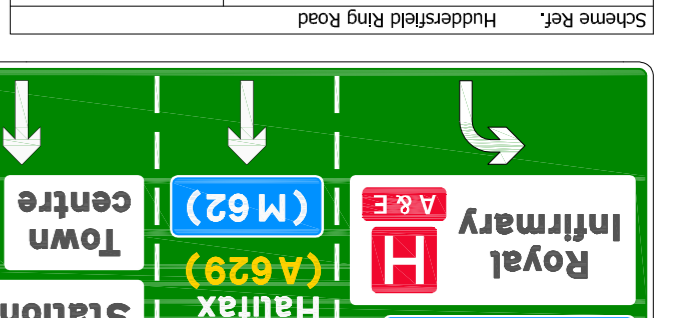
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Background	DARK GREEN
Width	357mm
Height	157mm
Area	5.1m ²



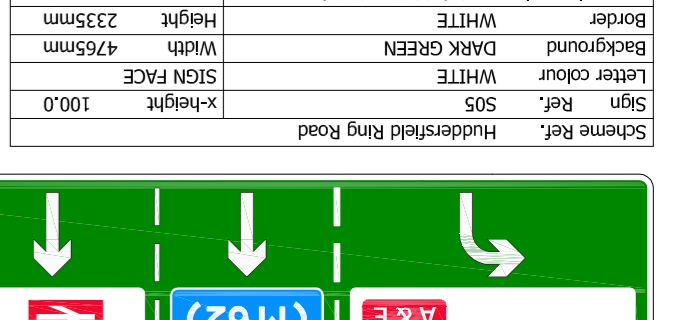
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Background	DARK GREEN
Width	416mm
Height	226mm
Area	9.3m ²



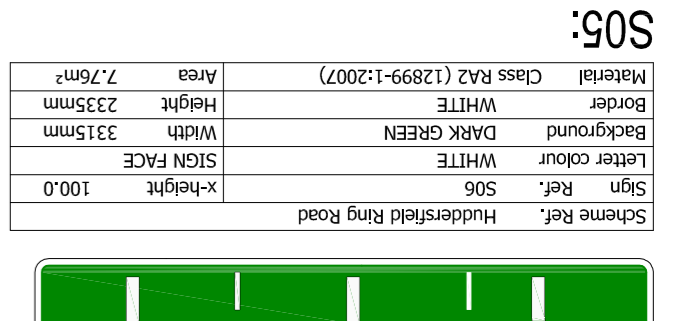
S04:

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Width	475mm
Height	233mm
Area	11.1m ²



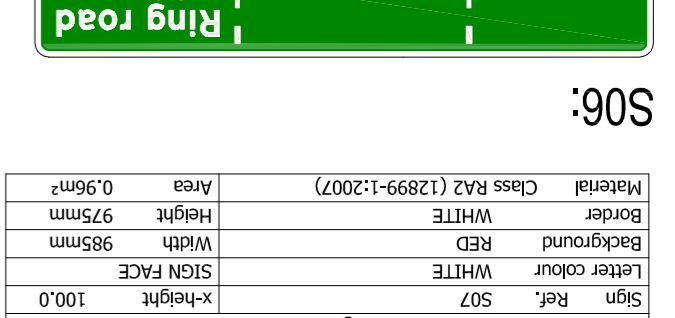
S05:

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Width	331mm
Height	233mm
Area	7.7m ²

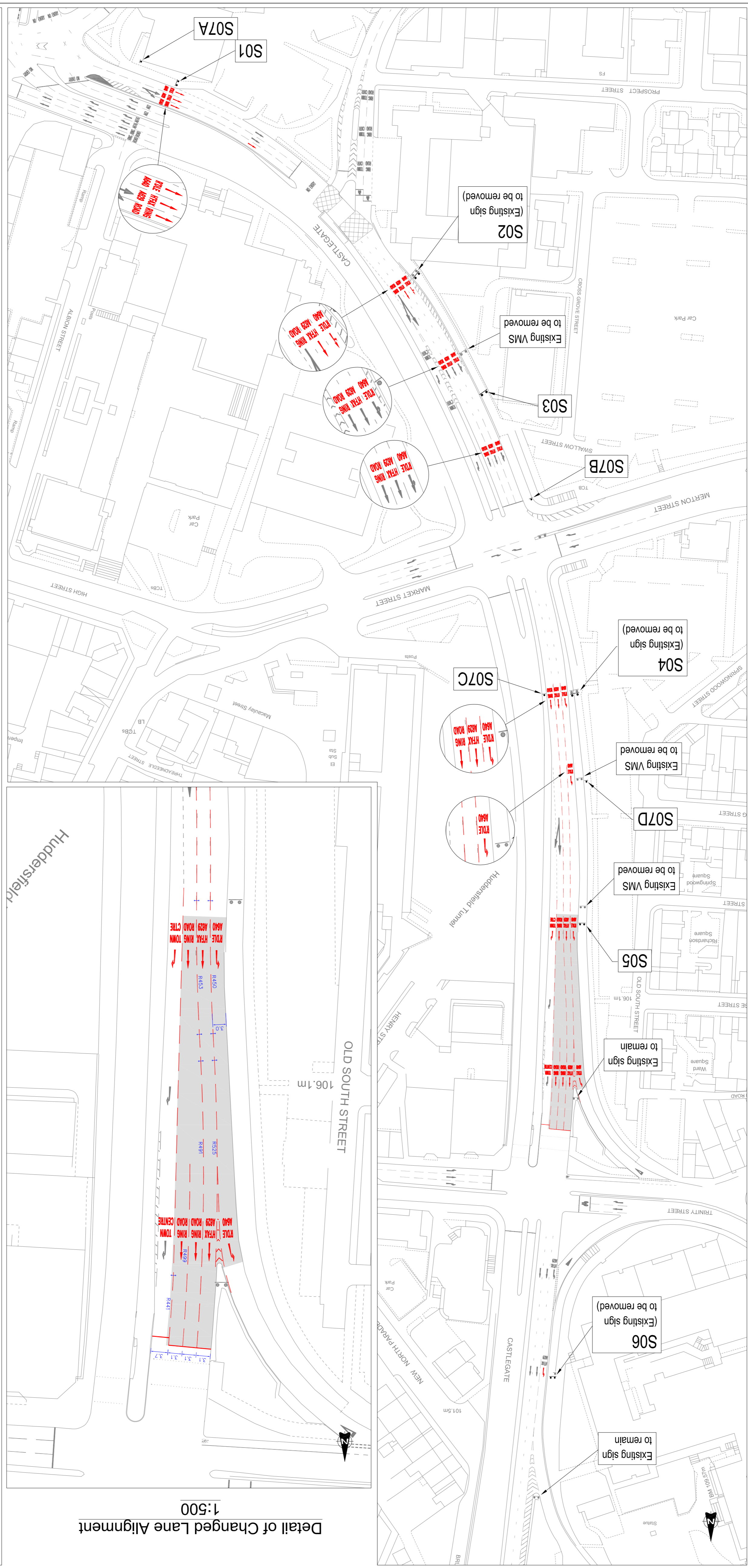


S06:

Material	Class RA2 (12899-1-2007)
Border	WHITE
Background	DARK GREEN
Width	985mm
Height	975mm
Area	0.9m ²



S07:



Detail of Changed Lane Alignment
1:500

KEY:

- 1. This plan should be read in conjunction with scheme plan TS/01A
- 2. New road markings are shown in red on this plan.
- 3. Dimensions shown are in metres.
- 4. Insets showing road marking details at 1:500. Lane Regulations and signs shown in Diagram 1035 of the Traffic Regulations and General Directions. Text 1.8m, arrows 4m.
- 5. Temporary sign S07 to be installed for 6 months at locations: A - ring road lighting mast by corner of LIDL store B - ring road lighting mast on corner of Merton S1 with Castlegate C - on new road in central reservation in line with S04 D - on ring road lighting mast between S04 and S05
- 6. New signs to be installed in conjunction with new road markings.

Area of existing carriageway to be resurfaced to remove rutting at new lane alignment



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Directorate of Place
Civic Centre 3, Market Street
Huddersfield, HD1 2TG

Kirklees COUNCIL

SECTION: HIGHWAY DEVELOPMENT
DRAWN: BV
CHECKED:

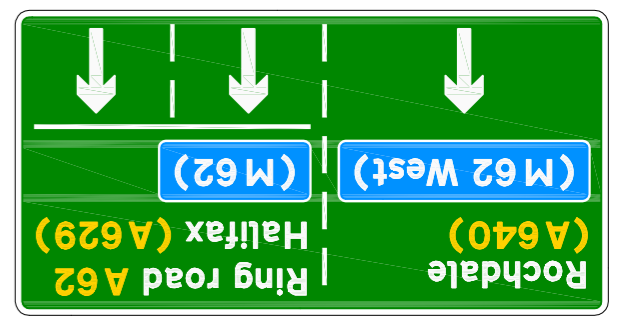
SCALE: 1:1000 @A1
PROJECT NO.: 25/64000
DATE: 08/11/16

PROJECT: A62 HUDDERSFIELD RING ROAD
HIGHWAY CAPACITY IMPROVEMENTS
A640 LANE DROP

TITLE: Option 1 Concept
Proposed Road Markings and
Traffic Signage

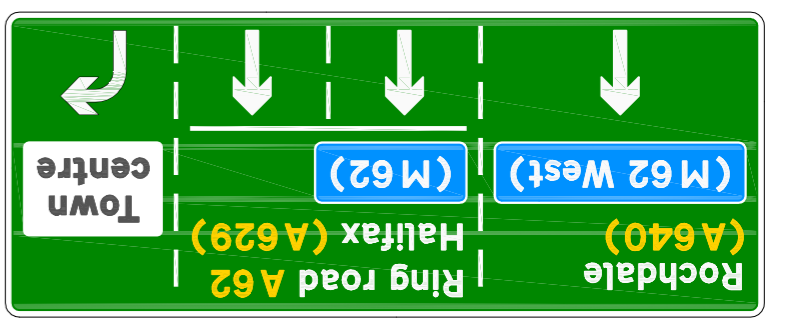
Drawing No: 25/64000/TS/02A
CAD No.:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S01
Letter colour	WHITE
Sign FACE	WHITE
Width	3180mm
Height	1635mm
Border	WHITE
Material	Class RA2 (12899-1-2007)
Area	5.21m ²



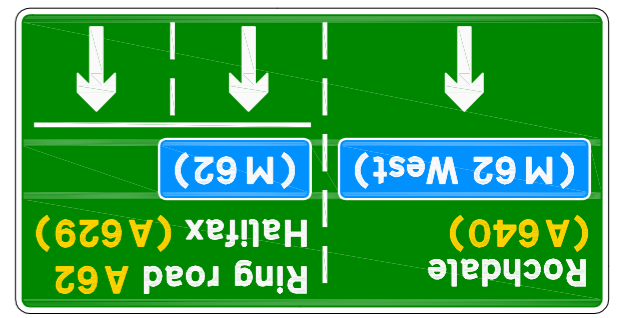
S01:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S02
Letter colour	WHITE
Sign FACE	WHITE
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Height	1635mm
Border	DARK GREEN
Material	Class RA2 (12899-1-2007)
Area	6.67m ²



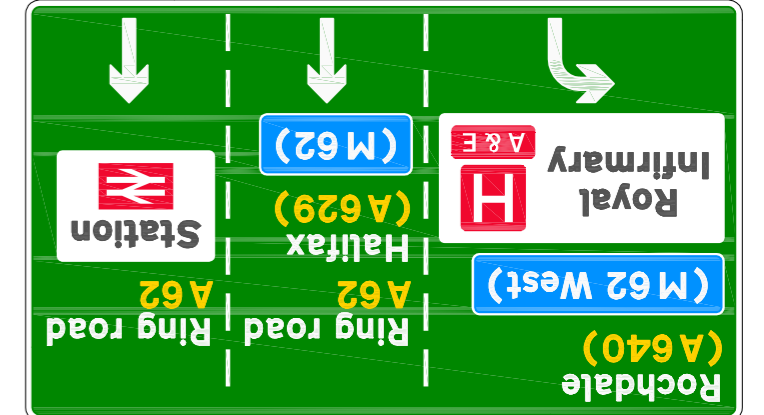
S02:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S03
Letter colour	WHITE
Sign FACE	WHITE
Width	3845mm
Height	2260mm
Border	DARK GREEN
Material	Class RA2 (12899-1-2007)
Area	8.70m ²



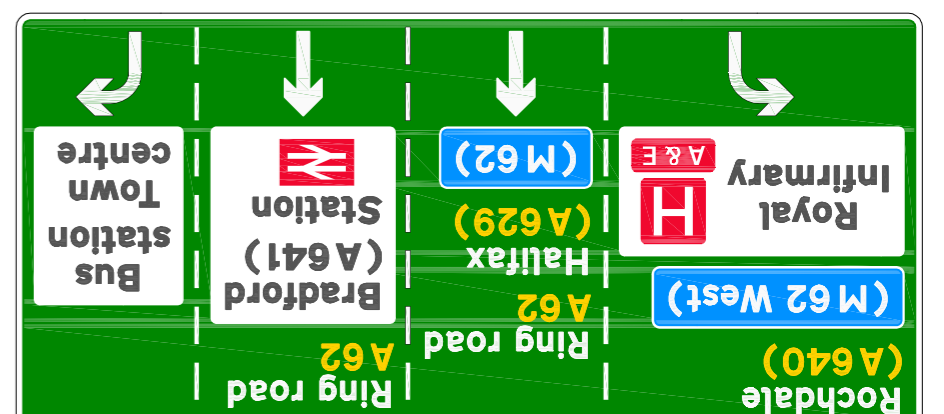
S03:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S04
Letter colour	WHITE
Sign FACE	WHITE
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Height	2260mm
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Area	8.70m ²



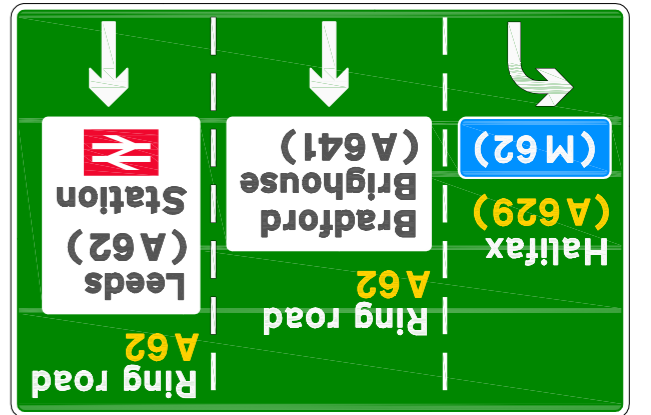
S04:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S05
Letter colour	WHITE
Sign FACE	WHITE
Width	4860mm
Height	2300mm
Border	DARK GREEN
Material	Class RA2 (12899-1-2007)
Area	11.00m ²



S05:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S06
Letter colour	WHITE
Sign FACE	WHITE
Width	3315mm
Height	2230mm
Border	DARK GREEN
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Area	7.38m ²

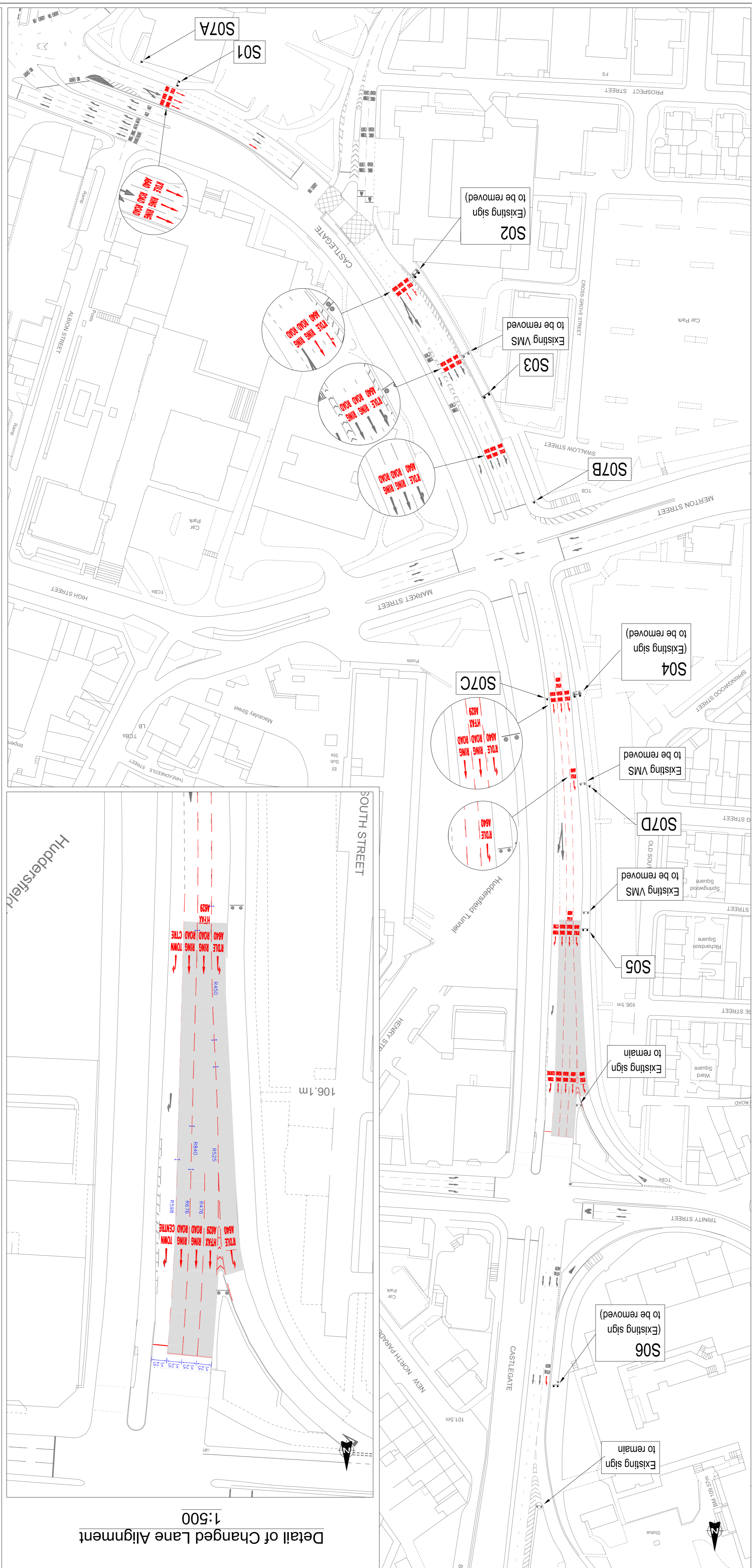


S06:

Scheme Ref.	Huddersfield Ring Road
Sign Ref.	S07
Letter colour	WHITE
Sign FACE	WHITE
Width	985mm
Height	975mm
Border	WHITE
Material	Class RA2 (12899-1-2007)
Area	0.96m ²



S07:



Detail of Changed Lane Alignment
1:500

KEY:

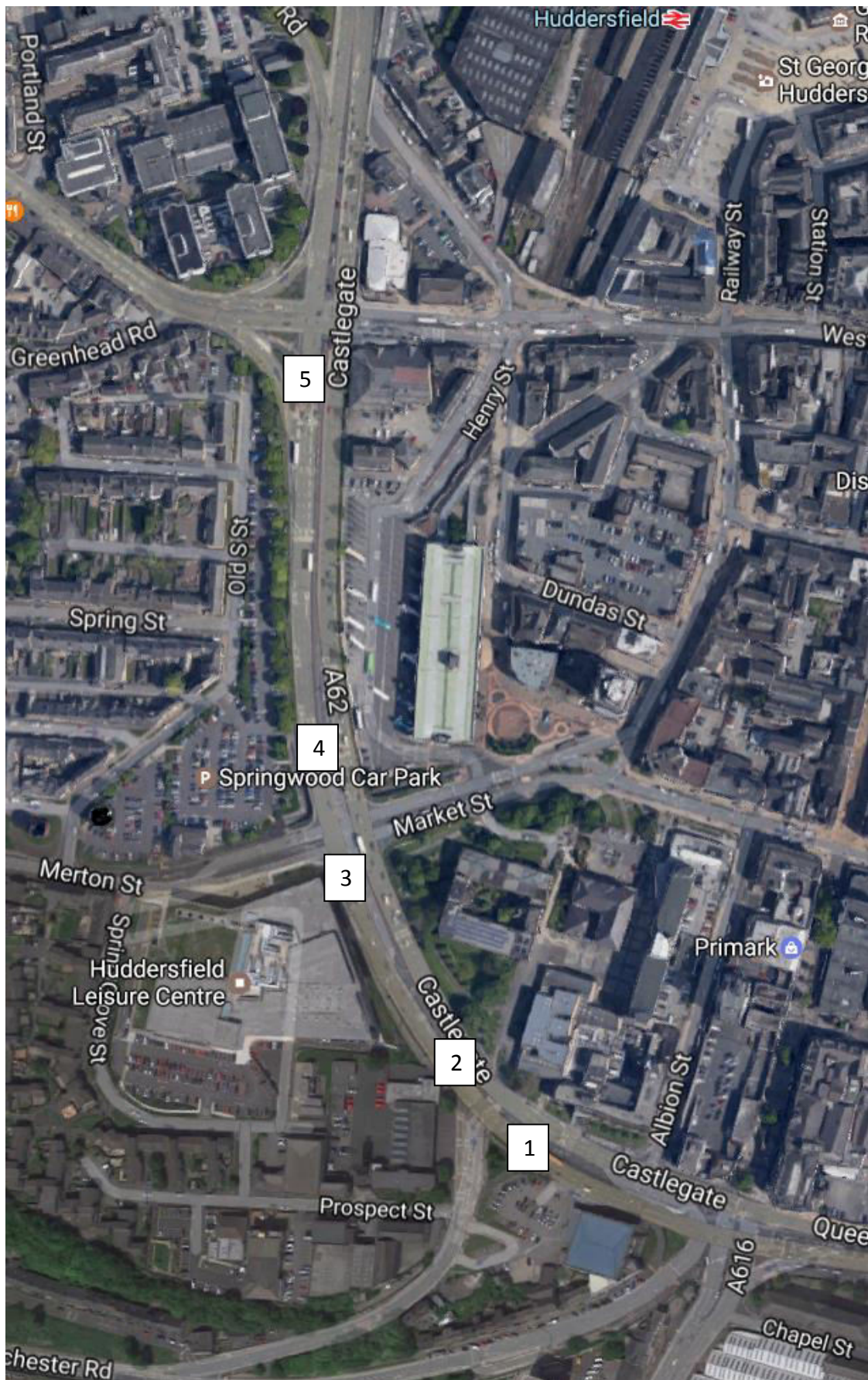
- 1. This plan should be read in conjunction with scheme plan TS/01A showing existing road markings and traffic signs.
- 2. Proposed road markings are shown in red on this plan.
- 3. Dimensions shown are in metres.
- 4. Insets showing road marking details at 1:500. Lane Regulations and arrows to Diagram 1035 of the Traffic Signs Regulations and General Directions. Text 1.8m, arrows 4m.
- 5. Temporary sign S07 to be installed for 6 months at locations:
 - A - ring road lighting mast by corner of LIDL store
 - B - ring road lighting mast on corner of Merton St with Castlegate
 - C - on new post in central reservation in line with S04
 - D - on ring road lighting mast between S04 and S05

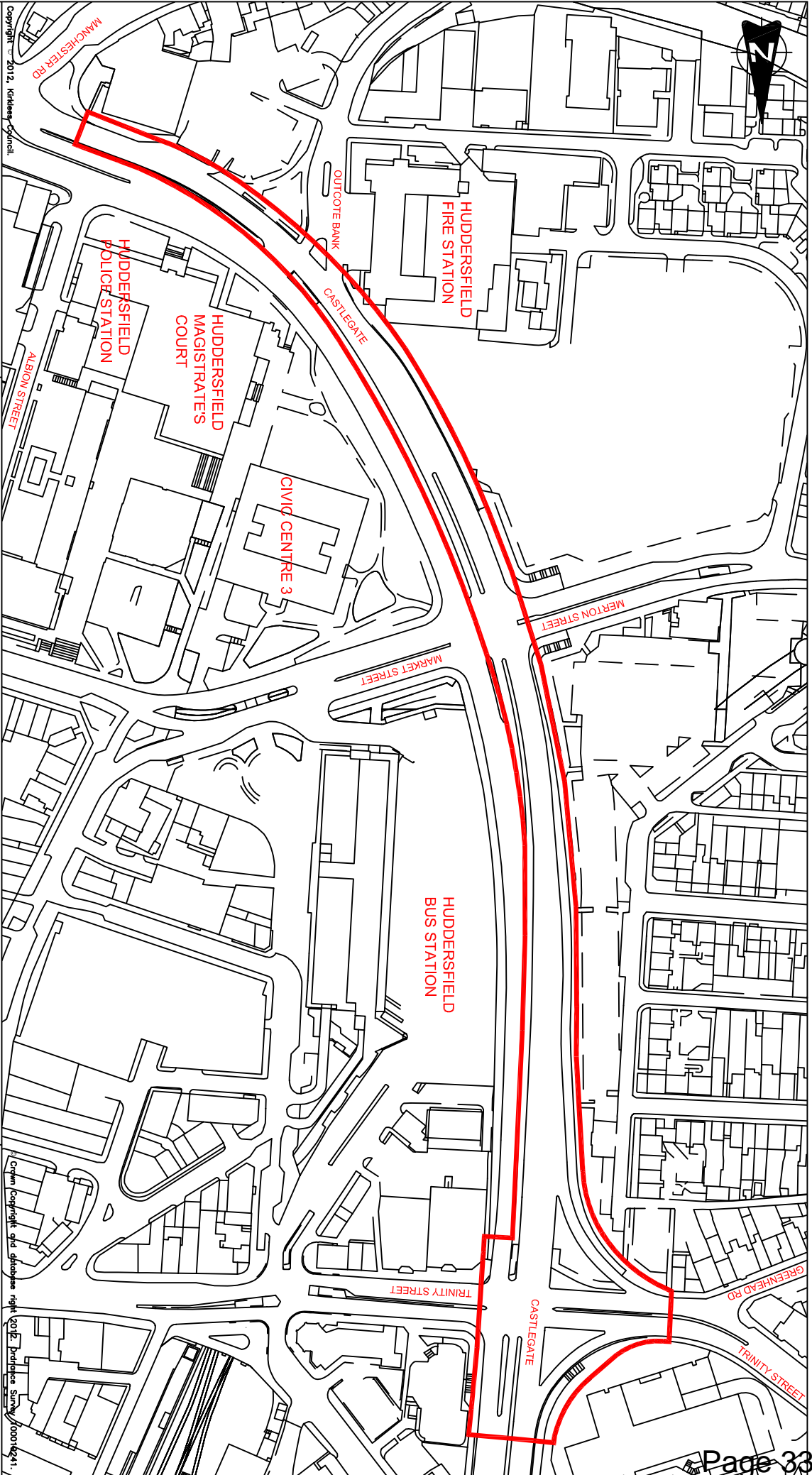
NOTES:

<p>© Crown Copyright and database right. 2012. Ordnance Survey. 100019242.1</p>	<p>Kirklees COUNCIL</p> <p>Directorate of Place Civic Centre 3, Market Street Huddersfield, HD1 2TG</p>						
<p>SECTION HIGHWAY DEVELOPMENT</p> <p>DRAWN BV</p> <p>CHECKED</p>	<p>REVISIONS</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><th>REF.</th><th>DATE</th><th>REVISIONS</th></tr> <tr><td> </td><td> </td><td> </td></tr> </table>	REF.	DATE	REVISIONS			
REF.	DATE	REVISIONS					
<p>SCALE 1:1000 @A1</p> <p>PROJECT A62 HUDDERSFIELD RING ROAD HIGHWAY CAPACITY IMPROVEMENTS</p> <p>TITLE Option 2 Concept Proposed Road Markings and Traffic Signing</p> <p>Drawing No. 25/64000/TS/02B</p> <p>CAD No.</p>	<p>PROJECT NO. 25/64000</p> <p>DATE 03/10/16</p>						

APPENDIX B

Tabulated Flow Locations





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Directorate of Place
 Civic Centre 3, Market Street
 Huddersfield, HD1 2TG

Project
 A62 CASTLEGATE,
 HUDDERSFIELD

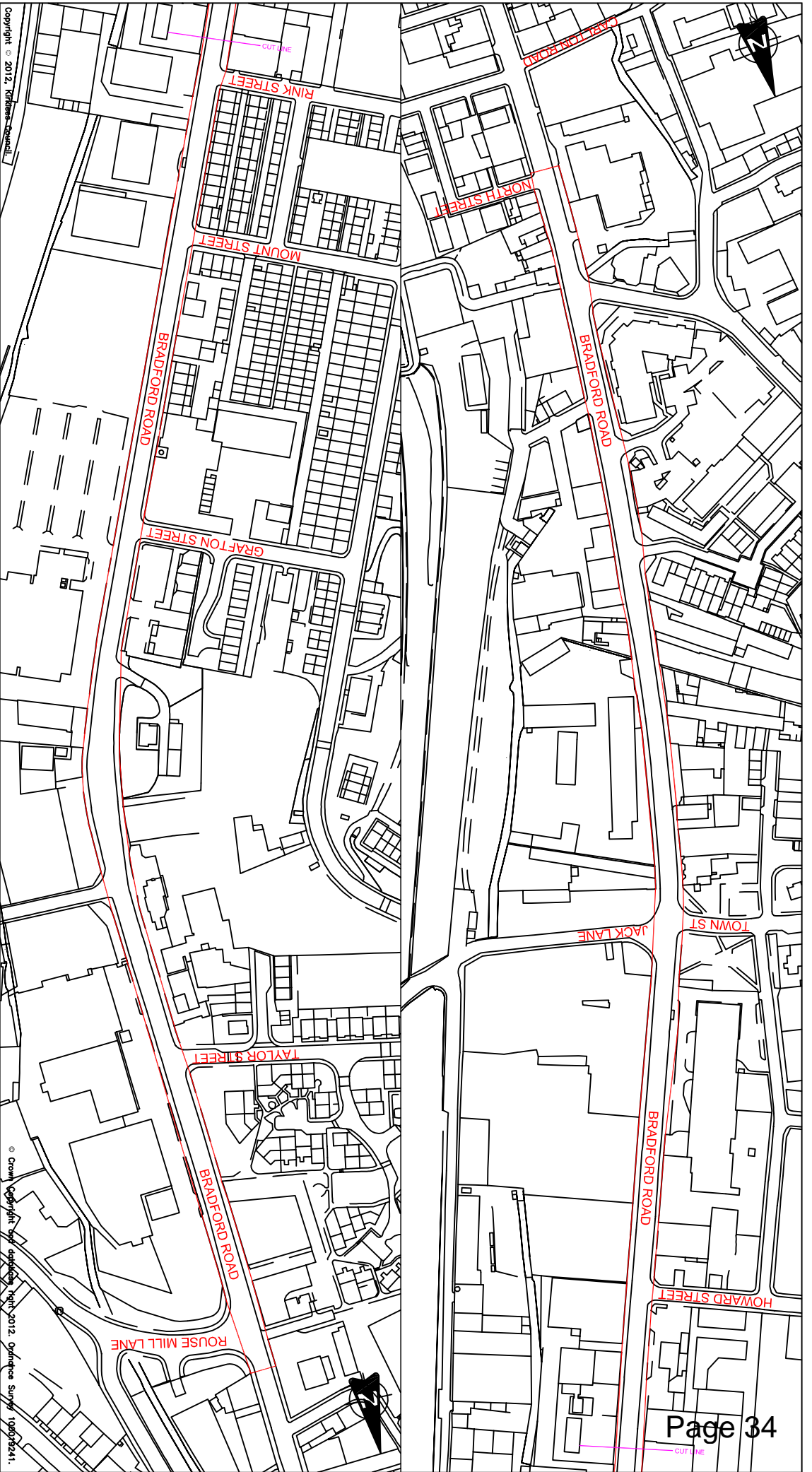
Title
 EXTENTS OF PROPOSED CARRIAGEWAY RESURFACING SCHEME

Scale
 NTS

Drawn DS	Checked DS
-------------	---------------

Section PW	Date Feb 18
---------------	----------------

Drawing No DS/49/99999/CAB



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Directorate of Place
Civic Centre 3, Market Street
Huddersfield, HD1 2TG

Project

A652 BRADFORD ROAD,
BATLEY

Title

PROPOSED EXTENTS OF CARRIAGEWAY RESURFACING SCHEME

Scale
NTS

Drawn DS	Checked DS
-------------	---------------

Section PW	Date FEB 18
---------------	----------------

Drawing No DS/25/23673/CAB



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Directorate of Place
Civic Centre 3, Market Street
Huddersfield, HD1 2TG

Project

A644 HUDDERSFIELD ROAD,
MIRFIELD

Title

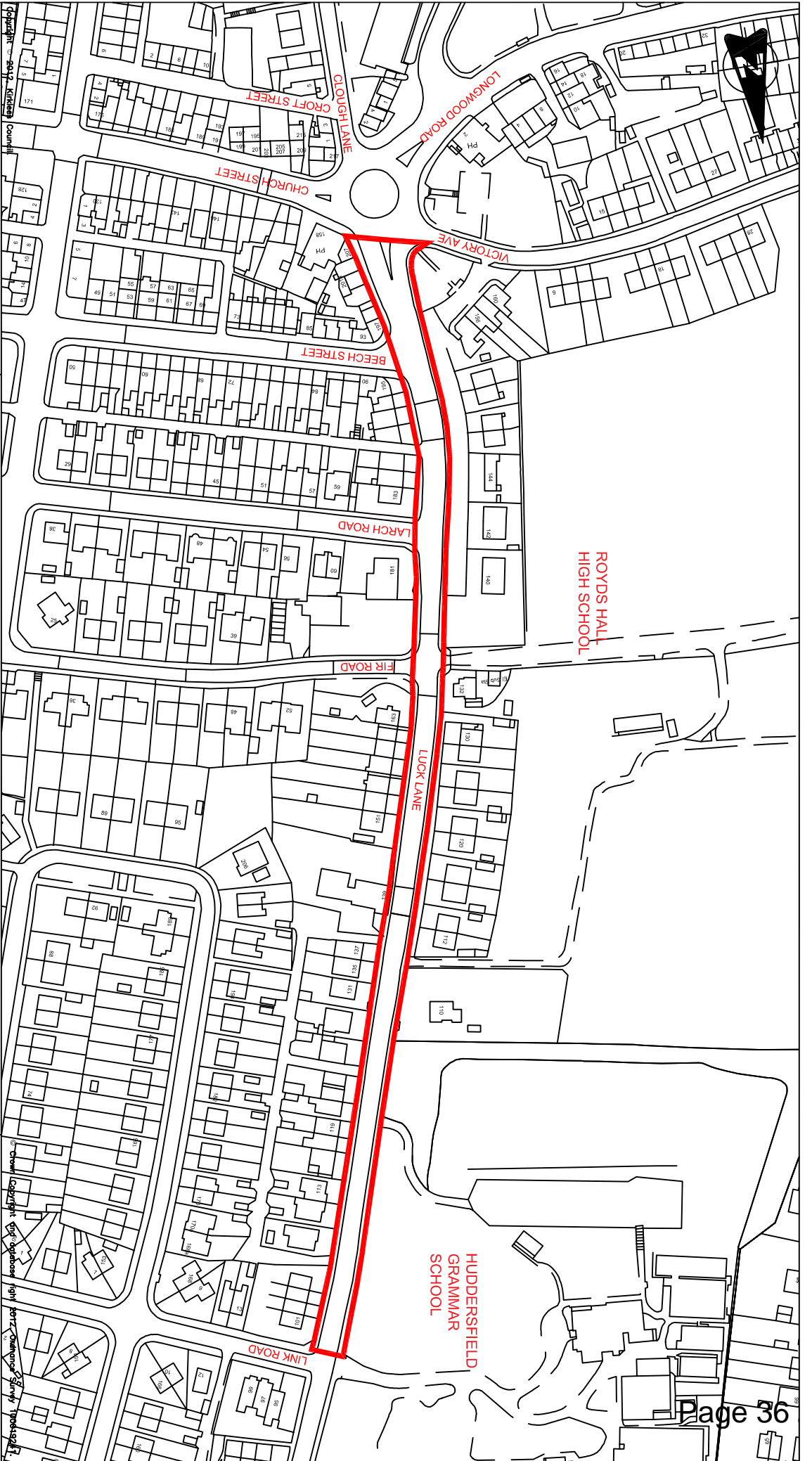
PROPOSED EXTENTS FOR CARRIAGEWAY RESURFACING SCHEME

Scale
NTS

Drawn DS	Checked DS
-------------	---------------

Section PW	Date FEB 18
---------------	----------------

Drawing NoDS/49/48337/CAB



Directorate of Place
 Civic Centre 3, Market Street
 Huddersfield, HD1 2TG

Project
 LUCK LANE,
 PADDOCK

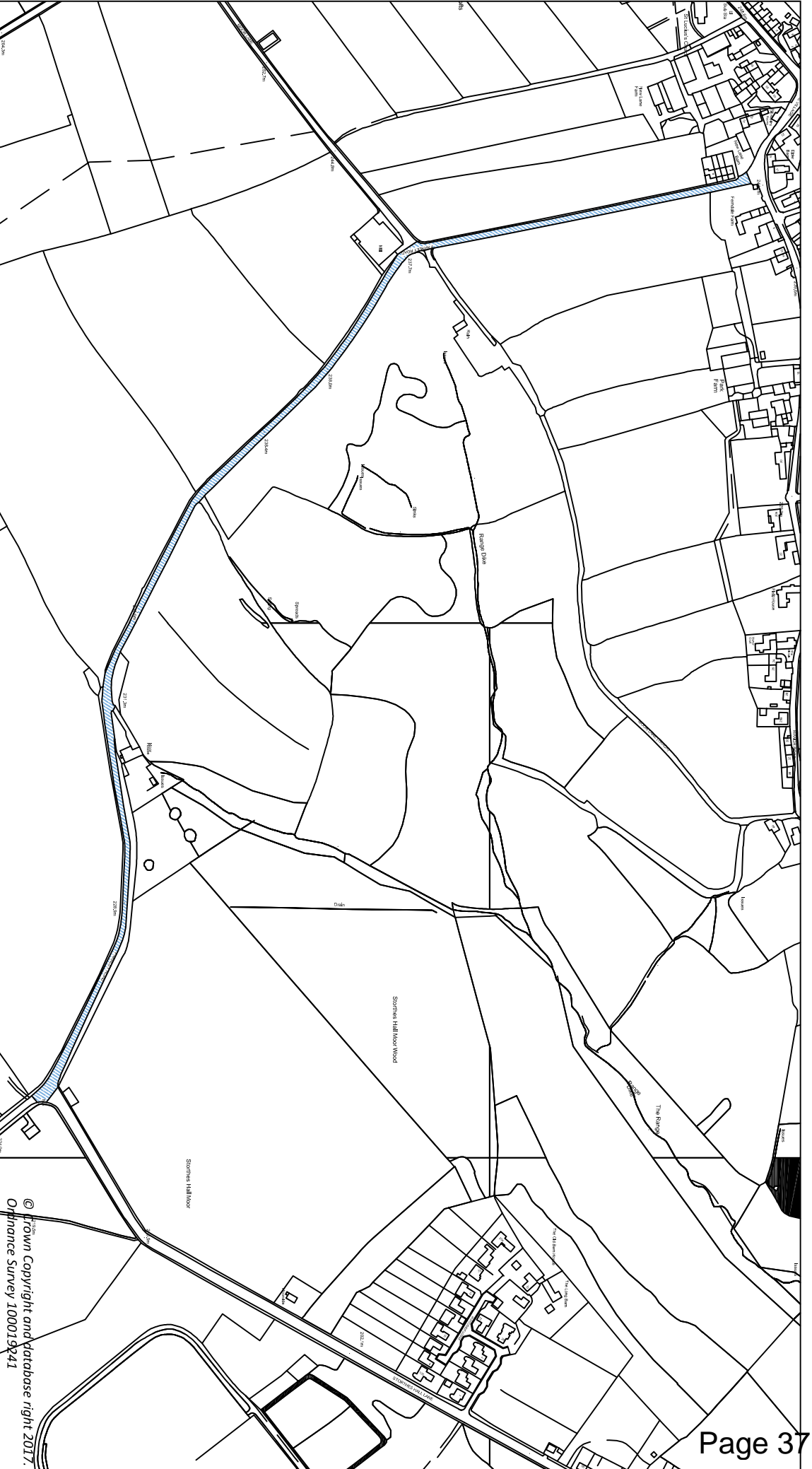
Title
 PROPOSED EXTENTS FOR FOOTWAY AND
 CARRIAGEWAY RECONSTRUCTION SCHEME

Scale
 NTS

Drawn DS	Checked DS
-------------	---------------

Section PW	Date Feb 18
---------------	----------------

Drawing NoDS/25/64492/CAB



Streetscene & Housing
 Flint Street, Fartown
 Huddersfield, HD1 6LG

Project

Farnley Road
 HM25/64480/CAB

Title

Proposed Area of Resurfacing



Scale

NTS

Drawn

OG

Checked

JD

Section

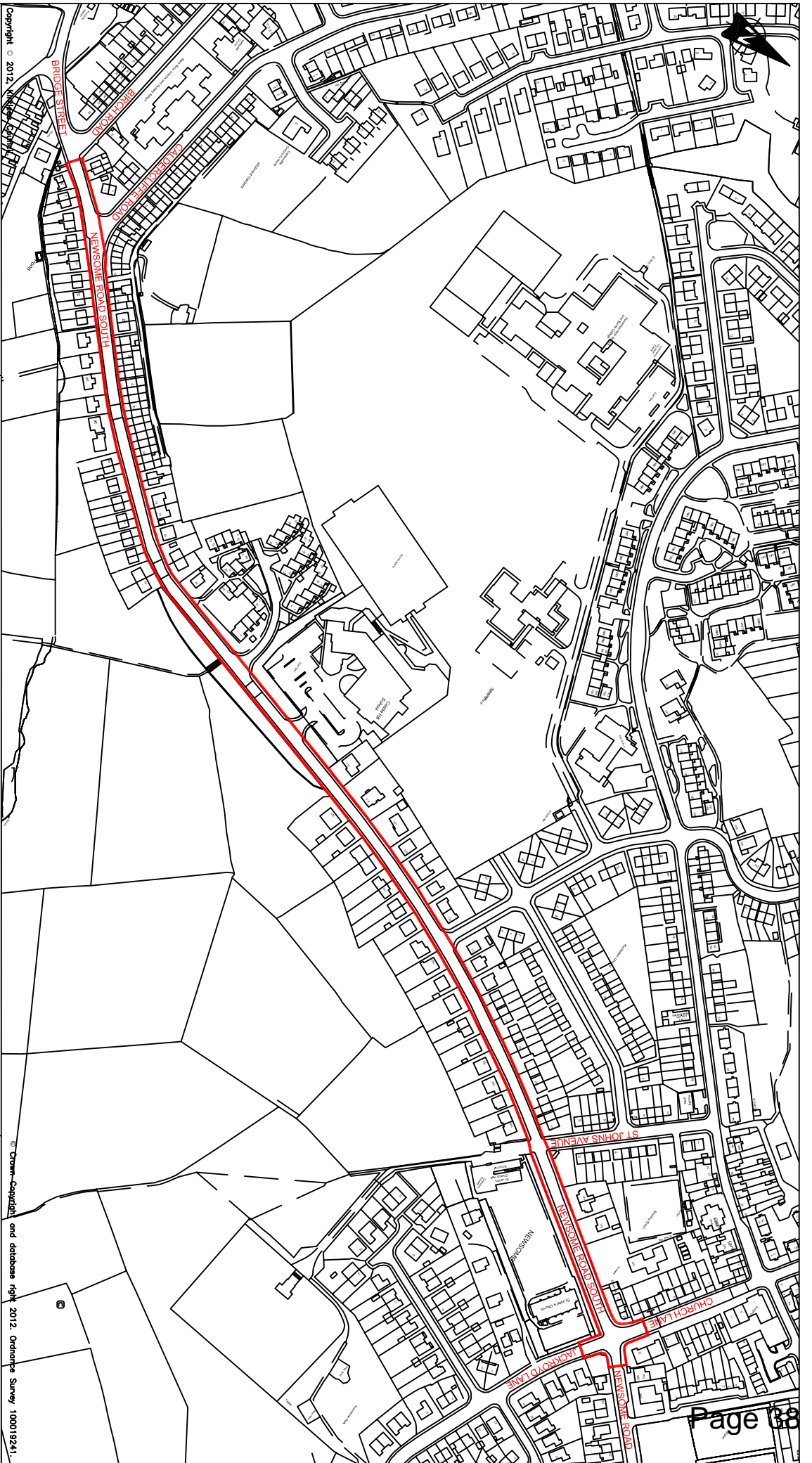
PM

Date

13/02/2018

Drawing No.

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 Ordnance Survey 100019241



Directorate of Place
Civic Centre 3, Market Street
Huddersfield, HD1 2TG

Project

NEWSOME ROAD SOUTH,
NEWSOME

Title

PROPOSED EXTENTS OF CARRIAGEWAY
RESURFACING SCHEME

Scale

NTS

Drawn

DS

Checked

DS

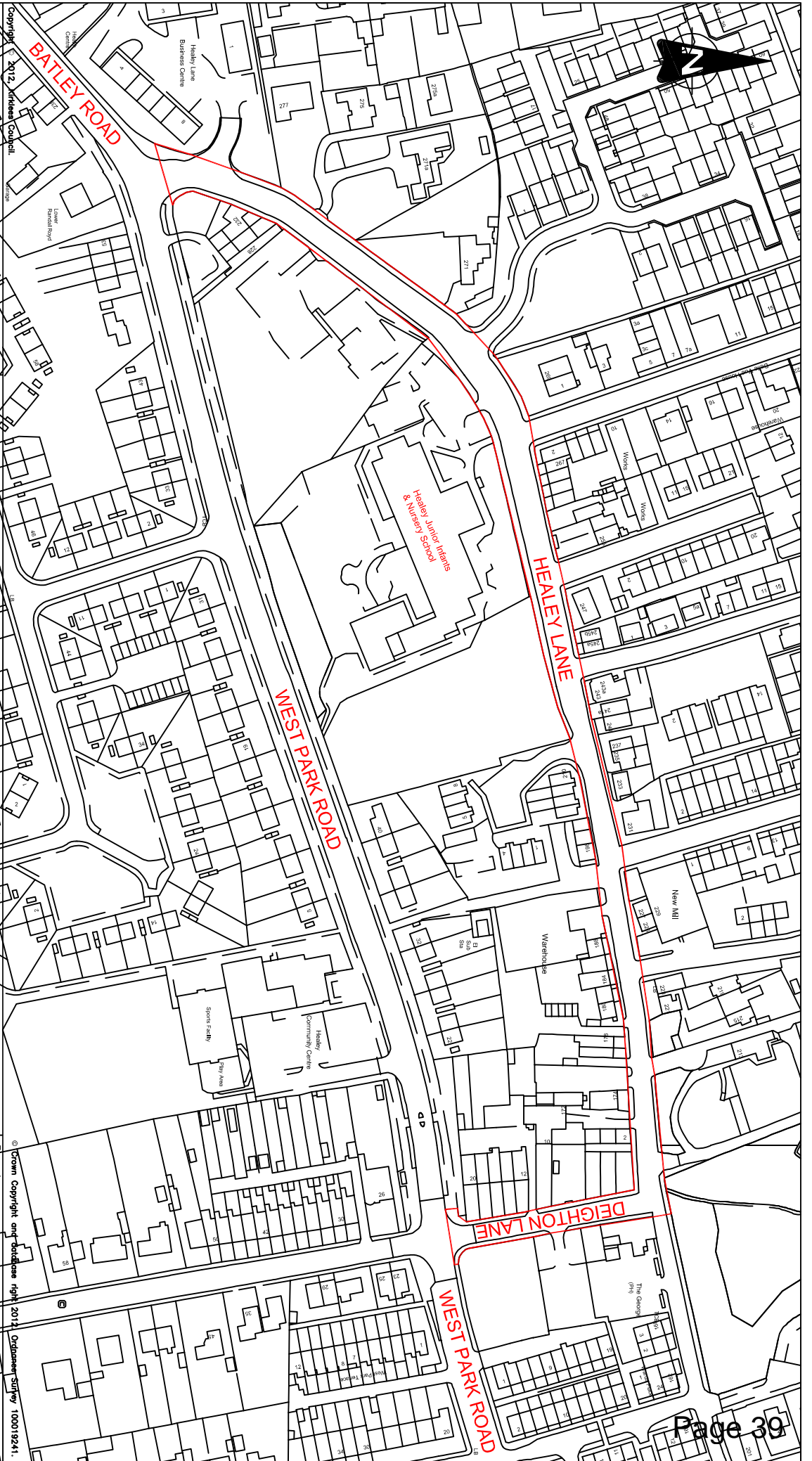
Section

PW

Date

FEB 18

Drawing NoDS/25/64491/CAB



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Directorate of Place
Civic Centre 3, Market Street
Huddersfield, HD1 2TG

Project
HEALEY LANE,
BATLEY

Title
PROPOSED EXTENTS OF CARRIAGEWAY RESURFACING SCHEME

Scale
NTS

Drawn DS	Checked DS
-------------	---------------

Section PW	Date FEB 18
---------------	----------------

Drawing NoDS/25/43259/CAB

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Name of meeting: Cabinet
Date: 20th March 2018

Title of report: Highways Capital Plan 2018/19

Purpose of Report: For Cabinet to consider the detailed 2 year Highways Capital Plan for 2018/19

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Yes
Key Decision - Is it in the <u>Council's Forward Plan (key decisions and private reports)</u>?	Yes
The Decision - Is it eligible for call in by <u>Scrutiny</u>?	Yes
Date signed off by Strategic <u>Director</u>	Karl Battersby - 9.03.18
Is it also signed off by the Service Director Finance, IT, and Transactional?	Debbie Hogg - 7.03.18 <i>Eamonn Croston for and on behalf of</i>
Is it also signed off by the Service Director Legal Governance and Commissioning?	Julie Muscroft - 9.03.18
Cabinet member <u>portfolio</u>	Cllr Musarrat Khan/Cllr Graham Turner - Corporate Portfolio Peter McBride - Economy Portfolio

Electoral wards affected: All
Ward councillors consulted: None

Public or private: Public

1. Summary

The Highways Capital Plan for 2018/19 is a detailed programme of works to be implemented over the next 2 years.

2. Information required to take a decision

2.1 Background

- The Highways Capital Plan is an investment in the highway asset that includes road surfacing, street lighting, structures, road safety, encouraging walking and cycling, drainage, traffic signals, car parks and public transport provision.
- On 14th February 2018 Council approved the 5 Year Capital Investment Plan. The Plan included a sum of £14,762k for Highways Service in 2018/19. The attached detailed Highways Capital Plan (appendix 1) adds individual scheme detail to the approved baseline high level programme for 2018/19 and 2019/20.
- The allocation of capital grants for Highway Maintenance and Integrated Transport is evolving as the new financial year approaches. This report details an overall decrease in grant allocation and a revised Highways Capital Plan total of £13,679k.
- The maintenance programmes for highway assets have been determined in line with the highways asset management strategy which demands a lifecycle planning approach in line with National guidance and good practices.

2.2 Department for Transport (DfT) funding through the Local Transport Plan (LTP)

The DfT grant allocations for Highway Maintenance and Integrated Transport schemes identified through the LTP are administered by the West Yorkshire Combined Authority and as such approval to those sections will also have to be sought through their governance procedures.

DfT Highway Maintenance Allocations

This allocation supports the maintenance of roads, street lighting and structures.

In January 2014 the DfT announced a review of how the six year national funding package of £5.853 billion for highway maintenance was allocated. There are now three elements to the Highway Maintenance allocation.

i. Needs Element

The needs element is a formulaic calculation of grant based on asset inventory count of key highway asset types such as road length, bridges, street lighting and cycleways. This grant was set for years 2016/17 to 2017/18 and was indicative for 2018/19 to 2020/21. (They are indicative for later years pending a review of base asset data). In the absence of any consultation on base asset data, it is assumed the indicative grant will be awarded in 2018/19. The Needs Element accounts for by far the largest

proportion of the funding however it reduces in value over time being replaced by funds that require bids as below. (It was £6,600k in 2013/14).

The needs grant element to Kirklees is:-

Year	Firm Allocation			Indicative Allocation		
	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21
Kirklees	£6,116k	£5,607k	£5,437k	£4,921k	£4,921k	£4,921k

ii. Incentive Element

An incentive element dependent on an Authority's pursuit of efficiencies and it's use of asset management practices.

Every authority has the opportunity to secure additional funding through the incentive element from 2016/17. Highway Authorities have completed a self-assessment of their efficiencies and use of good asset management practices. This assessment will result in placement as a band 1, 2 or 3 Authority and then the adjusted allocations from that part of the fund will follow. The aim is to promote continual improvements in delivery and management efficiency and evidencing this will be part of each Local Authority's self-assessment. An Authority that cannot demonstrate this by 2020 will receive no "incentive element" of the total funding.

% of Incentive Award by Band achieved

Year	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21
Band 1	100%	90%	60%	30%	10%	0%
Band 2	100%	100%	90%	70%	50%	30%
Band 3	100%	100%	100%	100%	100%	100%

£'000 grant award

Year	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21
Band 1	0	305	305	308	103	0
Band 2	0	339	458	718	513	308
Band 3	0	339	509	1025	1025	1025

Kirklees has made a submission which we believe will satisfy band 3 criteria for 2018/19. We anticipate an announcement from DfT at the end of March to confirm this. However the approved Plan assumed band 2 grant award whereas, this revised Plan anticipates the Band 3 grant award and an additional £307k in the Roads Connecting Communities budget.

iii. Challenge Fund

The Challenge Fund, which is a top-slice of the total Maintenance Allocation, has been set up to address ageing infrastructure which may now be nearing the end of its lifecycle, has reached the end of its lifecycle earlier than originally envisaged, or which has deteriorated due to recent severe weather events.

This Challenge Fund enables local highway authorities in England to bid for funding from the government for major maintenance projects that are otherwise difficult to fund through the normal allocations they receive.

A combined West Yorkshire drainage resilience bid to replace or repair drainage gullies in flooding hotspots on West Yorkshire's Key Route Network (WYKRN)) was successful.

The grant awarded to Kirklees is £488k phased over 2 financial years with £338k included in the 2018/19 programme.

DfT Integrated Transport Allocation

In keeping with recent years, the Integrated Transport Allocation for our area is allocated to the West Yorkshire Combined Authority, for delivery against a West Yorkshire programme of schemes.

In 2017/18 this allocation enables investment in Integrated Transport schemes, Network Management (traffic signals) improvements, Cycling and Walking and the Safer Roads programme.

The report to Budget Council in February 2018 estimated an Integrated Transport grant allocation of £1,250k. The final amount has still to be determined through project discussions with WYCA and will be confirmed during 2018.

2.3 Pothole Action Fund

The Pothole Action Fund is a specific grant award by the Department for Transport for permanent pothole repairs or road resurfacing to help prevent potholes from forming. It is determined pro rata of the national award on road length maintained. There is a forward programme to 2020/21 however the annual allocation varies year on year. The DfT National allocation for 2018/19 is a reduction from 2017/18. The 2018/19 allocation to Kirklees is £312k compared with the £452k anticipated in the February Budget Council approval. The allocation is to add to rather than replace planned Council investment in pothole repairs.

2.4 Cycling and Walking

A few years ago the West Yorkshire Combined Authority in partnership with York made a successful funding application to the DfT for City Cycle Ambition Grant (CCAG2).

To spend this grant funding a WY programme of cycling schemes was developed for implementation. One of these schemes was a £1,250k Huddersfield Town Centre scheme which unfortunately got delayed and when other WY schemes came in at a higher than expected cost, the grant was oversubscribed and unfortunately the Huddersfield scheme allocation was withdrawn.

The City Cycle Ambition funding is very likely to be refreshed in future years, consequently more money will be made available. Kirklees officers are very active in this matter to ensure that the deferred Huddersfield scheme is a front runner for any further funding that is made available and the £1,250k funding recently withdrawn is reinstated.

2.5 Flood Management

The Council continued to be successful securing Environment Agency Grant for flood studies and to extend its understanding of local flood risk.

A grant of £1,300k, secured over a 6 year period, will deliver a rolling programme of repairs and improvements to old culverts around the district. £230k of the grant is earmarked for 18/19 and requires £50k of Kirklees Capital match funding from within the existing approved Flood Management programme for 18/19, and for the 4 years thereafter. The grant will reduce flood risk to 750 properties near the culverts and reduce some of the maintenance burden for landowners, including the Council.

2.6 Bus Hot Spots

The West Yorkshire Combined authority has a £600k investment to reduce congestion through a bus hot spots programme. A number of small schemes have been developed. This includes a total investment of £143k in Kirklees with £78k allocated to 2018/19.

2.7 Total Expenditure

The Capital Plan 2018/19 now totals £13,679k

	£'000
Plan approved at council 14 th February	14,762
Band 3 Incentive Fund (to be confirmed)	307
City Cycle Ambition Grant	-1,250
Pothole Fund Grant reduction	<u>-140</u>
Revised Total capital Plan 2018/19	<u>13,679</u>

2.8 Council Funding

Council capital investment in the 2018/19 Highways Capital Plan amounts to £5,425k funded through prudential borrowing.

2.9 Other points to note

- Acceptance by the Council of the pothole grant funding is on the understanding that it is additional to and not a replacement of council or other funding for highways.
- Highways schemes are sometimes delayed to allow works by 3rd parties, notably utility companies, so in some programme areas additional schemes are shown below the cut off line as contingency schemes and introduced to the programme if other schemes are deferred.
- In addition to works within the Highways Capital Plan a number of major transport improvement schemes are being developed for implementation in future years as part of the £1bn. West Yorkshire Plus Transport Fund.

3. Implications for the Council

3.1 Early Intervention and Prevention (EIP)

There will be no impact.

3.2 Economic Resilience (ER)

Maintenance and improvements to the transport network are vital for the development of local businesses and helps develop Kirklees as a quality place where people want to live, work and visit.

3.3 Improving Outcomes for Children

There will be no impact.

3.4 Reducing Demand of Services

The programme is determined through a risk based approach and aims to:-

- halt deterioration
- reduce 3rd party claims,
- reduce the reactive workload,
- minimise cost over time
- maximise value to the economy

3.5 Other

The detailed plan will be managed and monitored by the service in accordance with 3.10 of the Council's Financial Procedure Rules that delegates authority to manage the Plan at Service Director level.

4. Consultees and their opinions

Strategic Finance have been consulted and are in agreement with the contents of this report.

5. Next steps

Highways will continue to manage the delivery of schemes within the Capital Plan by updates throughout the financial year to Cabinet.

6. Officer recommendations and reasons

- a) That Cabinet approve the detailed Capital Plan 2018/19 in the sum of £13,679k as shown in Appendix 1.

7. Cabinet portfolio holder's recommendations

The investment decisions in the Highways Capital Plan, supports the National Asset Management Strategy network. This will mitigate against any loss of funding through the Government's incentive element. The Incentive element is dependent on an Authority's pursuit of 'efficiencies' and its use of asset management practices. In practice this limits the amount of capital that can be allocated to repairing and maintaining some of the worst roads in Kirklees, however, we are left with no choices but to comply with the National Asset Management Strategy. It is disappointing that the Department of Transport's national Pothole Action Fund allocation for 2018/19 has been reduced from 2017/18. We are pleased that the Council has continued to successfully secure the Environment Agency Grant for flood studies and to extend it's understanding of local flood risk, therefore allowing us to roll a programme of repairs and improvements to ensure households and businesses are safe against the risks of flooding.

8. Contact officer

Graham Mallory
Group Engineer - Highways & Operations
Tel: 01484 221000
Email: graham.mallory@kirklees.gov.uk

9. Background Papers and History of Decisions

Papers:
Appendix 1 - Highways Detailed Baseline Capital Plan 2018-19 and 2019-20

10. Service Directors responsible

Joanne Bartholomew, Service Director –
Commercial, Regulatory and Operational Services
Tel: 01484 221000
Email: joanne.bartholomew@kirklees.gov.uk

Paul Kemp, Service Director Economy, Regeneration and Culture
Tel: 01484 221000
Email: paul.kemp@kirklees.gov.uk

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
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HIGHWAYS CAPITAL PLAN 2018/19 and 2019/20

Total Planning Allocation
Borrowing
Self/Service Funded
Grant/Contribution
Receipts

2018/2019		2019/20	
13679	14762	12316	
5425	5425	5700	
0	0	0	
8254	9337	6616	
0	0	0	

ASSET MANAGEMENT

Officer	Project Name / Location	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
Jon Evans	1A - Principal Roads							01/04/17	31/03/19	B				
										G	2,600		2,600	2,600
										T	2,600		2,600	2,600
Jon Evans	1B - Roads Connecting Communities							01/04/17	31/03/19	B				
										G	1,881	307	1,574	1,369
										T	1,881	307	1,574	1,369
Jon Evans	1C - Unclassified Roads							01/04/17	31/03/19	B	1,530	0	1,530	1,530
										G	577	-140	717	717
										T	2,107	-140	2,247	2,247
Farhad Khatibi	1D - Structures							01/04/17	31/03/19	B				
										G	1,200		1,200	1,200
										T	1,200		1,200	1,200
Katherine Hunt	1F Street Lighting Replacement Strategy							01/04/17	31/03/19	B	3,000		3,000	3,000
										G	0		0	0
										T	3,000		3,000	3,000
Graham Mallory	1J - Unadopted Roads							01/04/17	31/03/19	B	50		50	50
										G				
										T	50		50	50

Maintenance Total	T	10,838	167	10,671	10,466
External Funding	T	6,258	167	6,091	5,886
Net Maintenance Total	T	4,580	0	4,580	4,580

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
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HIGHWAYS CAPITAL PLAN 2018/19 and 2019/20

INTEGRATED TRANSPORT												2018/2019			2019/20		
Tim Lawrence	2A - Integrated Public Transport								01/04/17	31/03/19	B	25		25	300		
											G	528		528	0		
											T	553		553	300		
David Caborn	2B - Network Management								01/04/17	31/03/19	B	100		100	100		
											G	300		300			
											T	400		400	100		
Steven Hanley	2C - Cycling and Walking								01/04/17	31/03/19	B	20	0	20	20		
											G		-1,250	1,250			
											T	20	-1,250	1,270	20		
Phil Waddington	2E - Safer Roads								01/04/17	31/03/19	B	150		150	150		
											G	600		600	500		
											T	750		750	650		
	Other																
Paul Hawkins	2J - Town Centre Car Parking								01/04/17	31/03/19	B	100		100	100		
											G						
											T	100		100	100		
Tom Ghee	2K - Flood Management and Drainage Improvements								01/04/17	31/03/19	B	450		450	450		
											G	568		568	230		
											T	1,018		1,018	680		
Tom Ghee	2L - Developer Funded Schemes								01/04/17	31/03/19	B						
											G						
											T						
IT Total											T	2,841	-1,250	4,091	1,850	# #	
External Funding											T	1,996	-1,250	3,246	730	# #	
Net IT Total											T	845	0	845	1,120	# #	
Gross Programme Total												13,679	-1,083	14,762	12,316	# #	
External Funding												8,254	-1,083	9,337	6,616	# #	
Net Programme Total												5,425	0	5,425	5,700	# #	

GRAND TOTAL

External Funding Summary

Asset Management				
LTP Maintenance Needs Grant	4921		4921	4921
LTP Maintenance Incentive Grant (Band 2)	718		718	513
LTP Maintenance Incentive Grant (Band 3)	307	307		
DfT Pothole Grant	312	-140	452	452
Challenge Fund DfT maintenance grant				
National Productivity Investment Fund				
Integrated Transport				
LTP IT Grant ***	1250		1250	500
National Productivity Investment Fund				
CCAG 2 Cycling Grant		-1250	1250	
Road Safety Grant	100		100	
Local Sustainable Transport Fund				
Environment Agency	230		230	230
Developer (Meltham Greenway)				
Insurance				
Developer (CCAG)				
Challenge Fund 2A DfT Maint Grant - drainage	338		338	
Bus Hot Spots	78		78	
Developer Contributions			0	
Total	8254	-1083	9337	6616

The LTP IT grant is administered by West Yorkshire Combined Authority. The forward programme is still to be determined consequently the grant shown in 18/19 is a basic estimate and a worst case scenario. 2017/18 is the first year of the new Single Transport Plan which will determine future spend priorities on transportation across West Yorkshire.

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
-------------------------------------	-------------------------	---------------	------	-------------------------	-----------------------------	---------------	-----------------------	------------------	---------------------	--	---------	--------------------------------------	------------------	---------------------------------------	---------------------------------------

HIGHWAYS CAPITAL PLAN

Total Planning Allocation
Borrowing
Self/Service Funded
Grant/Contribution
Receipts

	13679	14762	12316
Borrowing	5425	5425	5700
Self/Service Funded	0	0	0
Grant/Contribution	8254	9337	6616
Receipts	0	0	0

ASSET MANAGEMENT

1A - Principal Roads															
Programme Manager: Jon Evans															
C.61131	Principal Road Surfacing Dressing Programme	Road Surfacing	Various								T	550			550
C.61132	Minor Maintenance - Pre Surface dressing patching	Minor Repairs / Patching	Various								T	220			220
C.62860	Anti skid sites within surface dressing	Road resurfacing	Various								T	60			60
C.64421	A652 Bradford Road, Batley	Road Resurfacing	K								T	680			
	A62 Leeds Road, Huddersfield	Road Resurfacing	I / B								T				1,120
	A62 Castlegate, Huddersfield	Road Resurfacing	W								T	300			
	A644 Huddersfield Road, Mirfield	Road Resurfacing	V								T	400			
	A62 Queensgate, Huddersfield	Road Resurfacing	W								T				500
	A638 Dewsbury Ring Road	Road Resurfacing	K								T	140			
	Priority footway programme	Footway schemes									T				
C.63274	A642 Wakefield Road, Lepton	Footway scheme	A								T	50			
C.64271	A6107 Bradley Road, Bradley	Footway scheme	B								T	50			
C.64272	A629 Penistone Road, Kirkburton	Footway scheme	S								T	50			
	A635 Barnsley Road, Shepley	Footway scheme	J								T	25			
	footway schemes to be identified										T	75			150
											B				
											G	2,600		2,600	2,600
											T	2,600		2,600	2,600
SUB TOTAL (1A)															
1B - Roads Connecting Communities															
Programme Manager: Jon Evans															
C.61178	B & C Road Surface Dressing Programme	Road Surfacing	Various								T	430			450
C.61179	Minor Maintenance - Pre surface dressing patching	Minor Repairs / Patching	Various								T	250			250
C.64091	B6432 Firth Street, Huddersfield	Road Resurfacing	W								T				300
C.64092	B6111 Scar Lane, Golcar	Road Resurfacing	N								T	141			
	C641 Luck Lane, Paddock	Road Resurfacing	O								T	270			
	C574 Farnley Road, Farnley Tyas	Road Resurfacing	S								T	310			
	C565 Riley Lane, Kirkburton	Road Resurfacing	S								T	230			
	C996 Newsome Road South, Newsome	Road Resurfacing	W								T	250			
	C998 Lidgett Street, Lindley	Road Resurfacing	T								T	100			
	C400 Park Road, Crosland Moor	Road Resurfacing	H								T				240
	Mill Street East, Dewsbury	Road Resurfacing	L								T				300
	B6117 Thornhill Road / Fall Lane, Dewbury	Road Resurfacing	M								T				91
											T				250
C.62833	Priority footway programme	Footway schemes									T				
C.63677	C629 Gledholt Road, Gledholt	Footway schemes	O								T				
	Schemes to be identified										T				
	less planned over expenditure										T	-100			-512
											B				
											G	1,881	307	1,574	1,369
											T	1,881	307	1,574	1,369
SUB TOTAL (1B)															

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
1C - Local Community Roads															
Programme Manager: Jon Evans															
C.64102	Leymoor Road, Golcar	Road Resurfacing	N								T	200			
C.64109	Blue Bell Hill, Taylor Hill	Road Resurfacing	W								T	50			
C.64151	King Street, Huddersfield	Road Resurfacing	W								T	110			
	Church Street, Longwood	Road Resurfacing	N								T	100			
	Springwood Ave, Huddersfield	Road Resurfacing	O								T	175			
	Maple Street, Aspley, Huddersfield	Road Resurfacing	W								T	130			
	Longley Road, Lowerhouses, Huddersfield	Road Resurfacing	W								T	170			
	Stile Common Road, Newsome	Road Resurfacing	W								T	130			
	Cambridge Road / Clare Hill, Huddersfield	Road Resurfacing	W/O								T	150			
	Holmclose, Holmbridge	Road Resurfacing	R								T	60			
	Healey Lane, Batley	Road Resurfacing	D								T	370			
	Crowther Street, Lockwood	Road Resurfacing	W								T	20			
	Blackburn Road, Birstall	Road Resurfacing	E								T	35			
	Ainley Close, Lindley	Road Resurfacing	T								T	18			
	Haigh House Hill, Lindley Moor	Road Resurfacing	T								T	30			
	Radcliffe Road, Milnsbridge	Road Resurfacing	N								T	40			
C.64298	U Road Patching	Pre surface dressing patching									T	104			150
C.64299	U Road Surface Dressing	Surface Dressing									T	208			302
	Pavement repairs	Footway Surfacing	Various								T				250
C.63460	Thornton Lodge Road, Crosland Moor	Footway Surfacing	H								T	50			
C.64111	St Paul's Road, Kirkheaton	Footway Surfacing	I								T	50			
	Cow Heys, Dalton	Footway Surfacing	I								T	50			
	Oakleigh Gardens, Lower Hopton	Footway Surfacing	V								T	50			
	Park Drive, Shelley	Footway Surfacing	S								T	50			
	Schemes to be identified less planned over expenditure	Road Reconstruction	all								T				1,545
											T	-243			
											B	1,530		1,530	1,530
											G	577	-140	717	717
											T	2,107	0	2,107	2,247
SUB TOTAL (1C)															
1D - Structures															
Programme Manager: Farhad Khatibi															
	Minor Retentions										T	25			25
	Asset Management	Cyclical Works	Various								T	400			400
	Retaining Walls Bulk	Walling Works	Various								T	400			400
	Minor Structural Maintenance	Cyclical Works	Various								T	130			130
C.63442	A635 Holmfirth Road	Walling Works	R								T	245			
	Schemes to be identified										T				245
											B				
											G	1,200		1,200	1,200
											T	1,200		1,200	1,200
SUB TOTAL (1D)															

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's	
1F Street Lighting Replacement Strategy																
Programme Manager: Katherine Hunt																
C.63892	Almondbury	Structural upgrades & Install LEDs	A								T	150				
C.63893	Ashbrow	Structural upgrades & Install LEDs	B								T	160				
C.63894	Batley East	Structural upgrades & Install LEDs	C								T	240				
C.63895	Batley West	Structural upgrades & Install LEDs	D								T	50				
C.63896	Birstall & Birkenshaw	Structural upgrades & Install LEDs	E								T	205				
C.63897	Cleckheaton	Structural upgrades & Install LEDs	F								T	225				
C.63898	Colne Valley	Structural upgrades & Install LEDs	G								T	120				
C.63899	Crosland Moor & Netherton	Structural upgrades & Install LEDs	H								T	90				
C.63900	Dalton	Structural upgrades & Install LEDs	I								T	88				
C.63901	Denby Dale	Structural upgrades & Install LEDs	J								T	140				
C.63902	Dewsbury East	Structural upgrades & Install LEDs	K								T	155				
C.63903	Dewsbury South	Structural upgrades & Install LEDs	L								T	19				
C.63904	Dewsbury West	Structural upgrades & Install LEDs	M								T	120				
C.63905	Golcar	Structural upgrades & Install LEDs	N								T	115				
C.63906	Greenhead	Structural upgrades & Install LEDs	O								T	70				
C.63907	Heckmondwike	Structural upgrades & Install LEDs	P								T	62				
C.63908	Holme Valley North	Structural upgrades & Install LEDs	Q								T	100				
C.63909	Holme Valley South	Structural upgrades & Install LEDs	R								T	465				
C.63910	Kirkburton	Structural upgrades & Install LEDs	S								T	290				
C.63911	Lindley	Structural upgrades & Install LEDs	T								T	2				
C.63912	Liversedge & Gomersal	Structural upgrades & Install LEDs	U								T	110				
C.63913	Mirfield	Structural upgrades & Install LEDs	V								T					
C.63914	Newsome	Structural upgrades & Install LEDs	W								T	24				
												B	3,000		3,000	3,000
												G				
SUB TOTAL (1F)												T	3,000		3,000	0
1J - Unadopted Roads																
Programme Manager: Graham Mallory																
	Schemes to be identified	Unadopted road improvement									T	50			50	
												B	50		50	50
												G				
SUB TOTAL (1J)												T	50		50	50
												T	10,838	167		10,466
												T	6,258	167		5,886
												T	4,580	0		4,580

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
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INTEGRATED TRANSPORT

2A- Integrated Public Transport															
Programme Manager: Tim Lawrence															
C.64024	Ecostar Low Carbon														
C.64026	Dewsbury Railway Station		K												
	Bus Hot Spots Programme														
C.64389	Ravensthorpe	Signals Upgrade	M												
C.64390	Dewsbury Railway Station	Lavby	K												
C.64391	Dewsbury Bus Station	South St Access Improvements	K												
	Bus Lane Enforcement	Parking Enforcement	Various												
	Schemes to be identified														
SUB TOTAL (2A)															
2B - Network Management															
Programme Manager: David Caborn															
Network Management															
C.62523	Replacement of Obsolete Traffic Signal Poles	Traffic Signals Maintenance	Various												
C.62634	Air Quality and Bluetooth Sites to be identified	West Yorkshire Improved Data Network	Various												
C.62639	Additional 30 wireless Nodes to be identified	West Yorkshire Improved Data Network	Various												
C.62646	West Yorkshire Combined Information signs Network	WY Variable Message signs	Various												
C.63845	Castlegate Scoot - Revalidation after new lining Scheme	Improve Signals Operation	I												
C.64263	Revalidation of SCOOT at Various Locations														
	Introduce IMFLOW on A629 Wakefield Road, Huddersfield	More efficient traffic signals	I												
	A629 Wakefield Road, Waterloo	Junction - Signals Maintenance	I												
	A644 Dewsbury Ring Road / Dewsbury bus station	Junction - Signals Maintenance	A												
	minor retentions														
	planned overprogramming														
SUB TOTAL (2B)															

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's	
2C Mobility, Walking and and Cycling Initiatives																
Programme Manager: Steven Hanley																
C.60983	Disabled Crossing Facilities	Various	Various								T	5			5	
C.60984	Neighbourhood Paths	Various	Various								T	10			10	
C.60985	Urban Path Improvements	Various	Various								T	5			5	
											B	20	0	20	20	
											G		-1250	1,250		
											T	20	-1250	1,270	20	
SUB TOTAL (2C)																
2E - Safer Roads																
Programme Manager: Phil Waddington																
	Community Traffic Projects										T	150			400	
	Casualty reduction										T	500			250	
C.663015	Road safety grant										T	100				
											B	150		150	150	
											G	600		600	500	
											T	750		750	650	
SUB TOTAL (2E)																

Programme and Lead Service/ Officer	Project Name / Location	Project Works	Ward	Business Case reference	Capital Delivery Board Date	AD Group Date	Cabinet Approval Date	C.O.R. Reference	Expected start date	Expected end date (practical completion)	Funding	Mar 18 Revised 2018/19 Budget £000's	difference 18/19	Feb 18 approved 2018/19 Budget £000's	Mar 18 proposed 2019/20 Budget £000's
OTHER PROGRAMMES															
2J - Town Centre Car Parking															
Programme Manager: Paul Hawkins															
C.61260	Alfred Street - Market Hall, Hudds	Car park maintenance	W								T	100			
C.63974	Upperhead Row, Huddersfield	Car park maintenance	W								T				100
SUB TOTAL (2J)															
2K - Flood Management and Drainage Improvements															
Programme Manager: Tom Ghee															
C.60999	Minor Drainage Works	Bulk Provision -- minor works	Various								T	225			
81820	Contributions to surfacing schemes	Contributions	Various								T	25			
	Flood Management Schemes	Drainage improvement schemes	Various								T	200			
C.63012	Kirklees Culverts Programme	culvert improvements	Various								T	230			
	Challenge Fund 2A WY Key Route Network	Drainage Imp. Schemes	Various								T	338			
SUB TOTAL (2K)															
2L - Developer Funded schemes															
Programme Manager: Rashid Mahmood															
	Lindley Moor Road, Crosland Road	Highway improvements S278	T								T				
EXTERNAL FUNDING TO BE DETERMINED															
SUB TOTAL (2K)															

IT Programme Total	T	2,841	-1,250	4,091	1,850
LTP IT Grant	T	1,996	-1,250	3,246	730
Net IT Programme Total	T	845	0	845	1,120

Gross Programme Total		13,679	-1,083	14,762	12,316
External Funding		8,254	-1,083	9,337	6,616
Net Programme Total		5,425	0	5,425	5,700

Name of meeting: Cabinet

Date: 20th March 2018

Title of report: Risk Management Statement

Purpose of report; To consider the draft updated Risk Management Statement

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Not applicable
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports)?	Not applicable
The Decision - Is it eligible for "call in" by Scrutiny?	Not applicable
Date signed off by Director & name	D Hogg Service Director for Finance, IT & Transactional Services (3.11.17)for CGAC
Is it also signed off by the Service Director for Finance, IT & Transactional Services	As above
Is it also signed off by the Service Director - Legal Governance and Monitoring?	Julie Muscroft (9.3.18)
Cabinet member portfolio	Not applicable

Electoral wards affected: All

Ward councillors consulted: Not applicable

Public

1. Summary

- 1.1 More than 10 years ago the Council developed a Risk Management Strategy. This was modified and updated from time to time, most recently in spring 2010.
- 1.2 Whilst many of the principles of the Strategy have continued to be followed, some of the particular processes described therein have fallen into an element of disuse.
- 1.3 There were always concerns about full and effective use and ownership / recognition of the strategy across the organisation.

- 1.4 Of late, the only formal document that has been produced is a schedule of corporate risks that accompany the annual financial documents. This is in a simpler format than that envisaged by the Strategy.
- 1.5 Work has been carried out to try to change the emphasis of the current arrangements from a process about reporting to one that actively promotes understanding of the risk that the Council faces, and then clearly drives management of risks, based on importance and threat.
- 1.6 The new approach to risk management is embodied in the draft document- Risk Management Statement.
- 1.7 The document is fully re-written, based on practices identified through research and debates amongst senior managers about an approach that would fit the culture and expectations of the Council.
- 1.8 Many of the words, terms and concepts and some of the scoring mechanisms carry forward from the previous arrangements, but the new approach is intended to be clearer in what approach and actions are expected.
- 1.9 Officers will develop supplementary notes to facilitate the effective working of the arrangements.
- 1.10 The intention is to generate reports mainly for management, but which provide Cabinet, as appropriate, with awareness of risk and an assessment of actions to address it.
- 1.11 Corporate Governance & Audit Committee has a role in the oversight of the action by management and Cabinet in making sure that the approach to risk follows the stated strategy, and appears broadly reasonable. The role is not about detailed assessment or direct responsibility.

2. Information required to take a decision

- 2.1 The detail is contained within the attached report.

3. Implications for the Council

- 3.1 Early Intervention and Prevention (EIP)
None directly
- 3.2 Economic Resilience (ER)
None directly
- 3.3 Improving Outcomes for Children
None directly
- 3.4 Reducing demand of services
None directly
- 3.5 Although each of the sub categorisations above suggest no direct implications, achieving strong and effective risk management is a way

of ensuring that the councils resources are controlled, and where risks are taken, this is done so in a way where the opportunities and potential dis-benefits are fully understood.

4. Consultees and their opinions

- 4.1 Strategic and Service Directors/Head of Service have been involved in the development of this Statement. The Corporate Governance & Audit Committee approved this document on the 17th November 2017

5. Next steps

- 5.1 Subject to the comments of Cabinet, this Statement will become an approved working document of the Council.

6. Officer recommendations and reasons

- 6.1 Cabinet are asked to approve the Statement.

7. Cabinet portfolio holder recommendation

- 7.1 To approve the Risk Management Statement

8. Contact officer

Martin Dearnley, Head of Risk (01484 221000; x 73672)

9. Background Papers and History of Decisions

Current Risk Management Strategy

10. Director responsible

Julie Muscroft - Service Director Legal Governance & Commissioning

KIRKLEES COUNCIL

RISK MANAGEMENT

STATEMENT

MARCH 2018

KIRKLEES COUNCIL
RISK MANAGEMENT STATEMENT
INTRODUCTION

Kirklees Council faces unprecedented challenges. Reduced funding means that we need a new approach; delivering less overall, working more effectively with partners and looking at ways of empowering individuals and communities to live independent lives.

Changes create opportunities; but also significant risks and uncertainty. By using risk management principles and practices the Council should be able to deliver outcomes more effectively. This statement is about providing the principles, techniques, advice and support for a successful organisation.

Risk is unavoidable. It is not possible to move forward and develop without taking some (acceptable) risks. Risk management is the “systematic application of principles, approach and processes to the identification, assessment and monitoring of risks.”

Risk management involves balancing the controls in place to provide sufficient protection from harm, whilst not stifling organisational development. The approach is to ensure that key risks are identified in all areas of business, are understood and proactively managed, rather than avoided. This is not to shy away from risk but instead to proactively manage it.

Whilst the council has identified and managing long term corporate strategic risk, it has been less successful in assessing those shorter term threats to the effectiveness to deliver outcomes. This new statement particularly looks to address this area of shortcoming, with emphasis on the management, rather than simply the recognition and reporting of risk.

The structures and processes in place are intended to ensure that activities connected to risks and opportunities are identified, assessed, addressed and reported in a standard way. Effective risk management is an essential feature of achieving and demonstrating sound governance.

Risk management therefore needs to be taken into the heart of the Council, and by key partners.

Chief Executive
March 2018

RISK MANAGEMENT OBJECTIVES

- Adopt a strategic approach to risk management in order to make informed decisions to achieve successful change.
- Be clear on the level of risk the council is prepared to accept on different service delivery activities and priorities.(risk appetite).
- Accept that even with good risk management and best endeavours, things can go wrong. Where this happens, look to learn to prevent it from happening again.
- Develop risk management processes to achieve the Councils core purposes, priorities and outcomes
- Develop leadership capacity and skills in identifying, understanding and managing the risks facing the Council.
- Integrate risk management into how business and services are operated.
- Support a culture of well-measured risk taking, considering the level of risk, reward, impact and cost of control measures.
- Understand and mitigate risk in partnership and outsourced activity.
- Setting risk ownership and accountabilities.

MEETING THESE OBJECTIVES

- Maintain a robust and consistent risk management approach that will:
 - Identify and effectively manage strategic, tactical, operational, programme, partnership and project risk.
 - Focus on those key risks that, because of their likelihood and impact, make them priorities.
- Consider risk as an integral part of business planning, service delivery, key decision making processes, and project and partnership governance.
- Ensure accountabilities, roles and responsibilities for managing risks are clearly defined and communicated.
- Communicate risk information effectively through a clear reporting framework.
- Achieve understanding, knowledge and expertise in risk management through training and the sharing of good practice.

This Risk Management Statement will be reviewed at least annually to take account of changing legislation, government initiatives, best practice and experience gained within the Council.

RISK MANAGEMENT APPROACH

1. Introduction

The purpose of the approach outlined in this document is to:

- Provide standard definitions to support the risk management process.
- Ensure risks are identified and assessed consistently throughout the organisation.
- Clarify roles and responsibilities for managing risk

2. Definitions

Risk can be defined as an event that, should it occur, will have an adverse effect on the Council's objectives and/or reputation.

On occasions the risk can be an "opportunity" rather than an "adversity".

Risk is the combination of the probability of an event (likelihood) and its effect (impact).

Risk management is the systematic application of principles, approach and processes to the identification, assessment and monitoring of risks. By managing risk processes effectively it should be possible to be in a better position to safeguard against potential threats and exploit potential opportunities.

Risk management is applied at all levels of service delivery and include:

Corporate Strategic Risks – Risks that could have an effect on the successful achievement of the Councils' long term core purpose, priorities and outcomes. These are risks that have a council-wide impact and cannot be managed solely at Service level because higher level support or intervention is needed.

Service Risks – Risks that could have an effect on the successful achievement of outcomes or objectives. These risks could have a significant financial, reputational or service delivery impact, which may merit their consideration and management at strategic level.

Contract/Grant Risks – Risks that could have an effect on the successful achievement of the contract's outcomes / objectives in terms of delivery, or value for money. Risks are managed through the contracting management/grants supervision process, including gaining assurances from the provider about their own approaches to risk management. They could on occasions be strategic.

Programme/Project Risks – Risks that could have an effect on the successful achievement of a programme or project outcomes / objectives in terms of service delivery, benefits realisation or engagement with stakeholders. They could on occasions be "strategic".

Partnership Risks – Risks that could have an effect on the successful achievement of the partnership's outcomes / objectives including engagement with key stakeholders. These can be strategic or operational depending on

the size and purpose of the partnership. Of particular importance is awareness of the extent to which risk from the partnership impacts on the council.

The Council has a **Risk Appetite**, this means the willingness to accept risk. There may be reasons when those charged with responsibility for a particular risk choose to make an overt alternative assessment of appetite on a specific basis. This choice will be exercised by Cabinet or Executive Team on the advice of the Risk Panel. (see part 4 below).

The standard risk appetite is illustrated by the diagram below. Typically those risk that when mitigated lie in the green or yellow zone lie within the accepted appetite. Those that are **red must be a priority for immediate management action**. The chart below shows the Corporate Risk Matrix that the Council has adopted.

		Probability				
		Rare	Unlikely	Possible	Probable	Almost certain
Impact		1	2	3	4	5
Very Significant	5	5	10	15	20	25
Major	4	4	8	12	16	20
Moderate	3	3	6	9	12	15
Minor	2	2	4	6	8	10
Insignificant	1	1	2	3	4	5

3. Approach

The intention is to achieve a comprehensive and integrated approach to risk management where:

- a consistent corporate approach is followed such that staff at all levels are clear about what risk management is intended to achieve.
- significant risks are being identified and managed effectively.
- training and guidance on risk management are easily accessible.
- it is seen as an integral part of good corporate governance.

This section details the agreed arrangements that are needed to ensure the effective management of risk across the organisation. The Council's approach to risk management is based on best practice and involves a number of key steps as outlined below.

STAGE	APPROACH	
1	Core Purpose/ Outcomes (of activity/aspect)	Communicate & consult throughout
2	Identify Risks	
3	Assess Gross Risk Level	
4	Identify Existing Actions	
5	Assess Current Net Risk Level	
6	Risk Response & Consider Further Actions	
7	Target Net Risk Level	
8	Review and Report	

Although the processes described apply to all risks there are is a differential process to the generation of risk matrices. There will be a Corporate Strategic Risk Matrix that sets out threats that apply across the whole or many parts of the council. Service Risk Matrices will be produced. Significant risk identified through this process will be added to the Corporate Strategic Risk Matrix. See Annex 5

Stage 1: Core purpose / Outcomes

To identify risks, it is necessary to establish the context by considering

- what we are trying to achieve
- what our proposed outcomes are.

Depending on the area under review, the relevant objectives and outcomes will usually be detailed in existing documents, including the following:

- The 7 Kirklees outcomes and the Corporate Plan
- Service Plans
- Capital Plans
- Project Plans
- Partnership Agreements and Contracts

Stage 2: Identify Risks

There are different types of risks that the Council may face such as; financial loss, physical risks to people or property, failure of service delivery, damage to reputation and regulatory compliance failure.

It is important to diagnose and highlight the real risks.

This needs to be reasonably specific in the form of a description, a cause and a consequence, such as

“failure of xxx because of yyy leads to zzz”, or
“inability to xxx due to yyy results in zzz”

There may be an initial long list of possible risks, which need to be assessed for reality. The next step will help to prioritise these in order of importance. Identified risks are recorded in the Risk Register, along with an accountable “risk owner” who is given responsibility and authority to effectively manage the risk.

Note that an event that has happened is not a “risk” as it is then a reality. The expectation is that the processes will look to identify what might happen and with what consequence. Events that happen may stimulate thoughts about possible similar or related events.

The risk management process requires each risk to be assessed twice – gross and net risk levels.

Stage 3: Assess Gross Risk Level

To ensure resources are focused on the most significant risks, the Council’s approach to risk management is to assess the risks identified in terms of both the potential likelihood and impact so that actions can be prioritised.

In identifying the gross risk ensure that:

- Informed decisions can be made about the consequences of stopping risk actions that are currently in place; and

- Resources are not wasted in over-controlling risks that are not likely to happen or would have little impact if they did occur.
(this is based on a practical assessment of the impact of “no controls” or “no additional controls” beyond those normally applicable in place).

Stage 4: Identify Existing Risk Actions

Existing actions, to help minimise the likelihood and/or impact of the risk occurring, are identified for each risk. These actions are those already in place or completed.

Stage 5: Assess Current Net Risk Level

This stage re-evaluates the risk, taking into consideration the effectiveness of the identified existing actions and the reality if the risk were to occur in the immediate future.

Net risks are prioritised by applying the same criteria and matrix used for assessing the gross risk level (Stage 3). The current net risk is assessed to ensure that:

- identified risks are prioritised in terms of their significance (it is not practical or possible to manage every risk all of the time);
- existing actions are relevant and effectively managing and/or reducing the likelihood or impact of the identified risks.

It is the risk owner’s responsibility to ensure that the agreed net risk level for each risk is an accurate reflection of the likelihood and impact measures.

Step 6: Risk Response and Further Actions

Not all risks can be managed all of the time, so having assessed and prioritised the identified risks and the management actions currently in place, it is necessary to consider if additional, cost effective action needs to be added to manage those that pose the most significant threat. This may be by one or more of the following.(see Annex 3.)

- Avoid - A decision is made not to take a risk.
- Reduce - Further additional mitigating actions are implemented to reduce the risk.
- Transfer - All or part of the risk is transferred through a contract or insurance or to a third party.
- Exploit – in some cases it might be possible when taking action to mitigate risks, to also exploit a resulting opportunity.
- Accept - A decision is taken to accept the risk.

Step 7 – Review and Report

Risk management should be thought of as an ongoing process and as such risks need to be reviewed regularly to ensure that prompt and appropriate action is taken to reduce their likelihood and/or impact.

It is expected that work will focus on those risks that, because of their likelihood and impact, make them priorities.

Service (Directorate) level reviews will take place whenever risk arise and informally on a monthly basis. Each Quarter formal monitoring will take place.

The Executive Team will review the Corporate Strategic Risk Matrix (which includes both corporate and service/projects risks) on a quarterly basis.

Risk issues will be a feature of (strategic) performance reporting.

Significant corporate, service and project risks may feature as a part of the Annual Governance Statement (and action plan).

4. Roles and Responsibilities

To ensure risk management is effectively implemented, all Members and Officers should have a level of understanding of the Council's risk management approach and regard risk management as part of their responsibilities:

All Employees;

- a) Manage day to day risks and opportunities and report risk concerns to their line managers.
- b) Participate fully in risk control activity and learning (eg health and safety), using appropriate facilities (eg training, on line learning)

All Managers;

- a) Manage risks and opportunities effectively and report risk management concerns to their line managers.
- b) Ensure active identification, consideration and management of risk and opportunity, proactively and holistically.
- c) Participate fully in risk workshops and action planning as appropriate.
- d) Attend training and awareness sessions as appropriate.

All Members;

- a) Support and promote an effective risk management culture.
- b) Constructively review and scrutinise the risks involved in delivering the Councils' core purpose, priorities and outcomes.

Some individuals and groups have specific leadership roles or responsibilities and these are identified below:

Cabinet

- a) Oversee officer management of risk in delivering the councils core purpose, priorities and outcomes.
- b) Approve this Risk Management Statement.
- c) Consider and challenge the risks involved in making any 'key decisions'.
- d) On occasions, approve acceptance of risks out with the approved risk appetite, when referred by Executive Team.

Corporate Governance & Audit Committee

- a) Provide independent assurance to the Council on the overall adequacy of the Risk Management Statement including review of any proposed amendments.

Executive Team

- a) Champion an effective Council-wide risk management culture.
- b) Ensure Cabinet/Members receive relevant risk information.

- c) Approve acceptance of risks out with the approved risk appetite, and when appropriate refer to Cabinet.
- d) Are responsible for owning and managing corporate strategic risks.

Strategic Directors

- a) Risk-manage Service Directors in delivering the Council's core purpose, priorities and outcomes.
- b) Constructively review and challenge the risks involved in decision making.

Service Directors

- a) Responsible for the effective leadership and management of risk in their service areas to meet objectives / outcomes in line with the Council's Risk Management Statement.
- b) Maintain, and update, the risk registers within their Service Plan ensuring all key risks are identified, managed and reviewed in line with this corporate Risk Management Statement.
- c) Promptly escalate risks appropriately.
- d) Encourage staff to be open and honest in identifying risks and opportunities.
- e) Ensure the risk management process is an explicit part of all significant projects.
- f) Understand risks in partnership and outsourcing and how these may impact on the Council.
- g) Acknowledge any risk which lie outside of the normal "appetite" which have been identified as "accepted", and ensure continuous review.

Heads of Service, Service Managers and Project Managers

- a) Responsible for the effective management of risk in their area of responsibility in line with the Risk Management Statement.
- b) Identify, assess and appropriately document significant risks.
- c) Clearly identify risk ownership.
- d) Manage risks in line with corporately agreed timescales/policies.
- e) Escalate risks appropriately.

Risk Owners

- a) Ensure that appropriate resources and importance are allocated to the process.
- b) Confirm the existence and effectiveness of existing mitigating actions and ensuring that any further actions are implemented.
- c) Provide assurance (to the service or project manager) that the risks for which they are the risk owner are being effectively managed.

Risk Panel

- a) Review and challenge the content of Service and Project risk registers.
- b) Make recommendations to the Executive Team on corporate strategic risks
- c) Where appropriate escalate Service/Project risks to the Executive Team for possible inclusion on the Corporate Strategic Risk Register.
- d) Investigate areas of potential risk, and make mitigation recommendations.
- e) Provide direction and guidance to ensure that a risk based approach is taken to the development of policies and procedures.
- f) Review recommendations and amendments to the Risk Management Statement.

Head of Risk

- a) Is the guardian of the risk management process, but is not responsible for specific risks or their reporting.
- b) Designs and facilitate the implementation of the Risk Management Statement ensuring it meets the needs of the organisation.
- c) Providing support, expertise and guidance as required.
- d) Collate risk information and prepare reports as necessary.
- e) Provide assurance that risks are being effectively assessed and managed.
- f) Periodically undertake specific audits of the Council's risk management process and provide an independent objective opinion on its operation and effectiveness.
- g) Ensure that training is provided on process and culture

5. Embedding Risk Management

For risk management to be an effective and meaningful management tool, it needs to be an integral part of key management processes and day-to-day working. Risk, and risk management needs to feature in significant business processes, including;

- Corporate Decision Making – significant risks, which are associated with a policy or action to be taken, must be included in appropriate reports.
- Business/Budget Planning – decisions to balance outcomes, outputs and planned expenditure must recognise risks associated.
- Project Management – all significant projects should formally consider the risks to delivering the project outcomes before and throughout the project including benefits realisation and engagement with key stakeholders.
- Partnership Working – partnerships should establish procedures to record and monitor risks and opportunities that may impact the Council's and/or the Partnership's aims and objectives.
- Procurement – Contract Procedure Rules expect that all risks and actions associated with the purchase need to be identified and assessed, kept under review and amended as necessary during the procurement process.
- Contract Management – all significant risks associated with all stages of contract management should be identified and kept under review.
- Information Governance – an annual information risk assessment is under development to assess the level of risk and compliance with regards the use of information.
- Insurance – the Council's Insurance Team manages insurable risks and self-insurance arrangements.(and identifies uninsured and uninsurable risks)
- Health and Safety – the Council has a specific risk assessment policy to be followed in relation to health and safety risks.

6. Culture

The Council will be open in its approach to managing risks and will seek to avoid a blame culture. Lessons from events that lead to loss or reputational damage will be shared as well as lessons from things that go well. Discussion on risk in any context will be conducted in an open and honest manner.

7. Training and Awareness

Having developed a robust approach and established clear roles and responsibilities and reporting lines, it is important to provide Members and officers with the knowledge and skills necessary to enable them to manage risk effectively.

Annex 1 Check List for Risk Identification

Risk Type	Sub Divisions	
Political & Governance	Change in Government policy New political arrangements Accountabilities Managing Change	Member support / approval Initiatives & new ways of working Relationships with partners
Economic	Demographics Performance of local economy Dependency on Contractors	Economic downturn Sustainable economic growth
Regulatory	Legislation Grant regimes Public interest reports	Internal policies/regulations Legal power/ judicial reviews
Financial	Overall resources Inflation general (cpi/rpi) Loss of/reduction in income/funding Supplier defaults Investment decisions System / procedure weaknesses	Budgetary pressures Increase in (specific) costs Financial management Inadequate insurance cover Affordability models Fraud
Reputation	Negative publicity	Increase in complaints
Management & Staffing	Loss of key staff, Training issues Poor communication/consultation Business continuity	Recruitment and retention Capacity issues Emergency preparedness
Assets	Property –operational land, buildings ICT – integrity, security	Property – leased/commercial Information – security, accuracy
Customers/ Citizens	Needs and expectations Safeguarding	Impact on vulnerable groups Poor quality /service reductions
Environmental	Extreme climatic events Green issues, noise, Pollution contamination,	Planning or transportation policies Waste or emissions,
Opportunities	Add value or satisfaction Raising attainment Maximising independence Developing sustainable communities	Improve vfm/reduce inefficiency Improving the lives A safer place to live

Annex 2 Measures of Likelihood and Impact

		Probability				
		Rare	Unlikely	Possible	Probable	Almost certain
Impact		1	2	3	4	5
Very Significant	5	5	10	15	20	25
Major	4	4	8	12	16	20
Moderate	3	3	6	9	12	15
Minor	2	2	4	6	8	10
Insignificant	1	1	2	3	4	5

Likelihood Measures

Probability score	1	2	3	4	5
Descriptor	Rare	Unlikely	Possible	Probable	Almost certain
Frequency <i>How often might it / does it happen</i>	This will probably never happen / recur	Not expected to happen or recur over a 3 year horizon	Might happen or recur within 3 years	Will probably happen / recur but it is not a persisting issue (2-3 years)	Very likely to happen in next year
Likelihood <i>Will it happen or not over the risk timescale</i>	Less than 5% chance (0-5%)	Around 10% chance (5%-15%)	Around 25% chance (15%-40%)	Around 60% chance (40%-80%)	Around 90% chance (80%-100%)

Impact Measures

Impact score	1	2	3	4	5
Descriptor	Insignificant	Minor	Moderate	Major	Highly significant
Health & Safety <i>Impact on the safety and wellbeing of the public and staff</i>	No ill effects.	Short-lived / minor injury or illness. Small number of work days lost.	Moderate injury / ill-effects requiring hospitalisation. Risk of prosecution from enforcement agencies.	Single fatality and/or long-term illness or multiple serious injuries.	Multiple Foreseeable long-term injury, illness or fatality
Environment / community	No effect on local infrastructure, communities or the environment.	Superficial damage to local infrastructure but little disruption caused.	Medium damage to local infrastructure causing some disruption.	Key elements of local infrastructure damaged causing major disruption.	Extensive damage to critical elements of local infrastructure causing prolonged disruption.
Service interruption ¹	Negligible. No impact on services.	Minor inconvenience to service users or staff. Short term disruption. Services quickly restored.	Noticeable service disruption affecting customers Some client dissatisfaction but services restored before any major	Major disruption to service delivery, but no/limited effect on vulnerable groups.	Massive disruption to services. Recovery difficult or even impossible.

			impacts.		
Staff	No impact on staff or service delivery.	Short-term low staffing level temporarily reduces service quality. No impact on staff morale.	Medium-term low staffing level / insufficient experienced staff to deliver quality service. Some minor staff dissatisfaction.	Late delivery of key objective / service due to lack of experienced staff. Some effect on morale.	Non-delivery of key objective / service due to lack of experienced staff. Very low staff morale.
Finance / cost <i>Impact on relevant budget Includes of claims/ fines.</i>	No or low financial cost. £0 - £0.25m	Losses / costs incurred £0.25m- £0.5m	Losses / costs incurred £0.5m- £0.8m	Losses / costs incurred £0.8m-£1.5m	Losses / costs incurred of more than £1.5m
Projects / Programmes <i>(Time / Cost / Quality – for Cost impacts see ‘Finance / cost’ above)</i>	Little or no schedule slippage. No threat to anticipated benefits & outcomes.	Minor delays No threat to anticipated benefits & outcomes.	Delays to project milestone but no threat to anticipated benefits & outcomes.	Significant delay to completion Major threat to achievement of one or more benefits / outcomes.	Significant issues threaten entire project. Fails to deliver benefits / outcomes.
Reputation <i>Adverse publicity</i>	No adverse publicity. Rumours.	Short term negative local media attention.	Significant negative local /regional media attention. Short-term reduction in public confidence.	Sustained negative local and/ or significant regional and national media attention Longer term reduction in public confidence.	Sustained negative national media attention Possible resignation of senior officers and / or elected members. Major loss of public confidence.
Statutory duties / inspections, Legal impact	No or minimal impact or breach of guidance / statutory duty. Minor civil litigation risk	Minor breach of statutory legislation / regulation. Reduced performance rating if unresolved. Civil litigation	Single breach in statutory duty. Challenging external recommendations / improvement not Major civil litigation and/or local public enquiry.	Several breaches in statutory duty. Enforcement action and improvement notices. Major civil litigation and/or national public enquiry Critical report. Low performance rating.	Multiple breaches in statutory duty. Prosecution. Complete systems / service change required. Severely critical report. Government intervention or criminal charges
Interventions	Addressed by operational management	Intervention by Service Manager, Project Manager or equivalent	Intervention by Head of Service or equivalent.	Intervention by Corporate Board or equivalent	Intervention by Members

Annex 3: Risk Appetite Response Categorisation

	Description
Avoid	A decision is made not to take a risk. Where the risks outweigh the possible benefits, avoid the risk by doing things differently e.g. revise strategy, revisit objectives or stop the activity.
Transfer	Transfer all or part of the risk to a third party e.g. contractor, partner, who is better able to manage the risk or through insurance. <i>Responsibility can be transferred, although accountability remains so this still needs to be monitored.</i>
Reduce	Implement further additional action(s) to reduce the risk by <ul style="list-style-type: none"> • Minimising/reducing the likelihood of an event occurring and/or • Reducing the potential impact should the risk occur Further actions are recorded in the risk register and monitored. Once they have been completed, the net risk level re-assessed.
Accept	A decision is taken to accept the risk. Management and/or the risk owner make an informed decision to accept that existing actions sufficiently reduce the likelihood and impact of a risk and there is no added value in doing more. <i>Net red risks are normally outside of the Council's risk appetite.</i>
Exploit	Whilst taking action to mitigate risks, a decision is made to exploit a resulting opportunity.

Annex 4: Reviewing and Reporting Framework

Net Risk Level and Score	Frequency of Risk Reviews (applies to all risk registers)
High (Red) 15-25	There are significant risks, which may have a serious impact on the Council and the achievement of its objectives if not managed. Immediate management action needs to be taken to reduce the level of net risk. Any net red event/project risks will be included, alongside corporate risks, in the Quarterly reports to members. As a minimum review monthly.
Medium (Yellow) 5-15	Although usually accepted, these risks may require some additional mitigating to reduce likelihood if this can be done cost effectively. Reassess to ensure conditions remain the same and existing actions are operating effectively. As a minimum review quarterly
Low (Green) 1-10	These risks are being effectively managed and any further action to reduce the risk would be inefficient in terms of time and resources. Ensure conditions remain the same and existing actions are operating effectively. As a minimum review 6-monthly

Annex 5 The Corporate Strategic Risk Matrix, Significant Risk Matrix, and Service Risk Matrices

Task	Corporate Strategic Risk Register	Event/Project Risk Register	Significant Project Risk Register
Risks identified by:	Executive Team Risk Panel	Service Directors/Heads of Service (and their management teams)	Project Team Key Stakeholders
Risks owned by:	Strategic Directors	Service Directors	Project sponsor
Risks reviewed by: (in line with above framework)	Executive Team	Risk Panel	Project Board / Risk Panel
Risks scrutinised by:	Monthly by Executive Team; Risk Panel Periodic scrutiny of individual registers by Risk Panel Senior officers and Members via Quarterly Corporate Performance Reports		
Escalation of Risks	Risks must be escalated by the Service Director to the Risk Panel before being considered by the Executive Team for inclusion in the Corporate Risk Register.		
Review of Risk Management Statement	Undertaken periodically by the Head of Risk with recommendations and amendments taken to Cabinet and Corporate Governance & Audit Committee for review and approval.		
Treasury Management Risk	This is a particular sub set of risk with its own monitoring arrangements, as set out in Financial Procedure Rule 9. This requires Council to set treasury management policy objectives, with Cabinet to routinely monitoring and Corporate Governance & Audit Committee scrutinizing activity. The Chief Finance Officer is responsible for executing , administering and reporting on arrangements in line with the policy		

Name of meeting: Cabinet

Date: 20 March 2018

Title of report: Outline proposals to support social care in 2018/19

Purpose of report

The finalised financial settlement for 2018/19 announced by Government on 7 February 2018 included an extension of the Adult Social Care Support Grant for a further year with an additional national funding allocation of £150m in 2018/19. This equates to a Kirklees share of **£1.16m** based on the relative funding formula.

In addition, as a result of the successful bid in relation to Leeds City Region Business 100% retained business rates pilot, up to £1.6m of additional resources will be available in 2018/19.

The purpose of this report is to set out indicative areas of investment in 2018/19 that reflect the one-off nature of the funding subject to approval of Council in the next municipal year.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	No
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports?)	Not applicable If yes also give date it was registered
The Decision - Is it eligible for call in by Scrutiny?	Yes
Date signed off by Strategic Director & name	12 th March 2018 – Richard Parry
Is it also signed off by the Service Director - Finance, IT and Transactional Services?	12 th March 2018 – Debbie Hogg (Eamonn Croston)
Is it also signed off by the Service Director - Legal Governance and Commissioning?	12 th March 2018 – Julie Muscroft
Cabinet member portfolio	Cllr Cathy Scott, Cllr Graham Turner and Cllr Viv Kendrick

Electoral wards affected: Individuals in all wards

Ward councillors consulted: None

Public

1. Summary

The pressures facing Adult Social Care locally and nationally are well-rehearsed and arise from demographic growth (greater numbers and levels of complexity of need for both younger people with a disability and older people), the need to sufficiently fund a sustainable care market and the greater levels of activity and pressure arising from the interface with acute hospital care and legislative and case law changes (such as Deprivation of Liberty Safeguards). In addition, Adult Social Care is the largest single budget area in the Council and so needs to deliver reductions in expenditure (savings) alongside managing the pressures outlined above and delivering transformation.

In recent years, whilst there has been a national recognition of the need for a sustainable social care funding solution, this has not yet been achieved, with work on a Green Paper due to take place from summer 2018. Instead, there have been a number of one-off and short term funding announcements with varying conditions and requirements, often associated with supporting the NHS and other external organisations.

Social Care for Children again presents financial challenge locally and nationally and is the second largest area of controllable spend for councils with social care responsibility.

Adult Social Care Support Grant:

In February 2018, there was an extension of the Adult Social Care Support Grant for a further year with an additional national funding allocation of £150m in 2018/19. The Funding allocation is based on relative needs and the Kirklees share is **£1.16m**.

It is expected to be used by Councils to build on their progress so far in supporting sustainable local care markets.

This funding will be distributed as a section 31 grant from the Ministry of Housing, Communities (MHLG) and Local Government. The grant will not be ring-fenced, which means there will be no conditions or reporting requirements attached to it. However, the Secretary of State indicated that he would expect to see councils use it to build on their progress so far in supporting sustainable local care markets. Locally, new home care contracts have been procured with a substantial uplift in the rates payable to home care providers in order to support market sustainability.

The Ministry of Housing, Communities and Local Government has indicated that the Grant Determinations for this funding and the improved Better Care Fund (iBCF) will be provided in April.

Leeds City Region's pilot application for 100% devolved business rates

Kirklees officers, in conjunction with Leeds Council, have and continue to support the arrangements for governing this resource. The additional resources are anticipated to be one off as the pool will only run for one year. The available resources are dependent on the performance of the constituent authorities' business rates collection, using their baseline budgeted income assumptions as a starting point. The financial modelling underpinning the pilot arrangement across the seven member authorities is technically very complex, and went through a number of changes and updates since the original pilot bid submission in October 2017. Based on the most current model, which reflects finalised individual Council baseline budgeted income estimates for 2018/19, (and which had to be submitted to MHLG

by the start of February 2018), officers have further reviewed the potential financial impact for the Council and are reasonably confident that up to £1.6m of additional resources will be available in 2018/19.

As with the existing pool arrangements, the pool will be managed by a Joint Committee consisting of the Leaders of the pooling authorities.

In addition, the financial performance will be monitored and reported throughout the financial year as part of the Quarterly Financial Monitoring information submitted to Cabinet.

This paper proposes a number of areas of investment of the above funds, reflecting the one-off nature of the funding, to better support vulnerable individuals who may be in need of social care support.

2. Information required to take a decision

There are a number of broad areas that it is proposed will be invested in, subject to more detailed work and subsequent approval in the new municipal year.

Investment in technology and equipment that enables individuals to remain as independent as possible

There is an ever increasing range of equipment and technology that can be used to increase the independence of individuals. Initiatives such as single handed care reviews have enabled equipment (hoists etc) to be used to reduce the number of instances where 2 home carers are needed to support moving and handling. Assistive Technology (sensors, GPS devices etc) can be used to enable positive risk taking for people of all ages and provide reassurance to family carers, particularly for people with more complex needs. A period of "double running" is often needed to enable people to gain confidence in new equipment.

Staffing capacity to balance day to day activity and transformation

There is a significant programme of transformation in social care. Much of this is being lead and supported by front line staff who have been enthusiastic about the opportunities to work differently. Inevitably, against a backdrop of increased operational pressure and the time taken to develop and embed new ways of working such as Strength Based Practice, there is a significant risk to maintaining both the pace of transformation and day to day operational delivery of assessments, reviews and safeguarding activity. It is therefore proposed to use some of the money to ensure that there is staffing capacity (particularly front line social work and occupational therapy capacity) to manage these competing pressures and demands over the coming year. This will sit alongside a demand and capacity analysis that models the impact of increasing demand against the opportunities provided by transformation (locality working, changes to social care front door, provider supported reviews etc) to understand the longer term staffing models that are needed (numbers, skill mix, succession planning etc).

Support to develop a more sustainable care market

Increased complexity of care, external pressures such as increasing national living wage and other employment changes, increased regulatory pressure and competing alternative employment opportunities are creating real pressure on social care providers locally and nationally. Locally, the Kirklees Skills Strategy recognises the sector and proposes a number of actions. The Council and the 2 CCGs have an integrated approach to supporting

and managing quality in the independent sector. Engagement with providers has identified a number of actions that they consider would be valuable to them. Further work will be undertaken to improve quality and mitigate risk to provider stability. In addition, the work on reviews and use of equipment will free up capacity with providers.

Specialist accommodation

The importance of appropriate housing and accommodation to maximise independence is well recognised. It is clear that additional supported housing and accommodation needs to be commissioned to meet a number of needs. Initial demand analysis has been undertaken and programme management arrangements are being put in place to translate this into a range of deliverable sites with a range of developers who can develop and manage the appropriate accommodation. Funding will be used to support this programme of work to move at a quicker pace, recognising the long lead time to develop, build and staff the right sort of accommodation.

Managing Demand

The 2018/19 budget makes some assumptions about the level of increased demand that will be experienced by the Council. Demand however is subject to a number of variables and so cannot always be reliably predicted, particularly during a period of transformation which involves a number of demand management actions. Increased demand is a significant source of risk to all councils with social services responsibilities and so, in the event that excess demand pressures materialise during this period, it is proposed to use some funding as risk mitigation. Ultimately, a sustainable national solution is required and it is clear that the series of short term funding announcements is at least partially intended to bridge the gap until a more sustainable national solution is identified.

3. Implications for the Council

3.1 Early Intervention and Prevention (EIP)

This range of activity, particularly in relation to accommodation and equipment, will support Early Intervention and Prevention.

3.2 Economic Resilience (ER)

Increased independent sector resilience will contribute positively to Economic Resilience.

3.3 Improving Outcomes for Children

Assistive Technology and Equipment can be used to positively impact on the independence and future care needs of children with disabilities as can specialist accommodation.

3.4 Reducing demand of services

Much of the social care transformation programme is intended to reduce or contain future demand for services

3.5 Legal/Financial or Human Resources

The funding allocations referred to in this report are one-off resources for 2018-19 and it is intended that they will be allocated into service during 2018/19 as supplementary (temporary) budget allocations, subject to the detailed development and subsequent approval of the broad areas of investment highlighted in this report. As proposals are developed, the Council will have regard to its obligations under the Equality Act 2010 and other relevant legislation and case law and will ensure that relevant consultation

and/or engagement is carried out at the appropriate times as well as any other relevant steps taken.

4. Consultees and their opinions

There has been consultation with social care managers and with relevant portfolio holders.

5. Next steps

More detailed proposals relating to the above broad areas of investment will be developed.

6. Officer recommendations and reasons

That the broad areas for investment outlined above are noted and supported in order that more detailed work is undertaken as appropriate.

7. Cabinet portfolio holders's recommendations

That the broad areas for investment outlined above are noted and supported in order that more detailed work is undertaken as required.

That the Council continue to advocate for a national sustainable solution for adult social care, based on recurrent funding.

8. Contact officer

Amanda Evans – Service Director Adults Social Care Operations
Eamonn Croston – Head of Finance & Accountancy

9. Background Papers and History of Decisions

Government Finalised Financial Settlement 2018/19, 7 February 2018
Papers considered at Budget Council on 14 February 2018.

10. Strategic Director responsible

Richard Parry – Strategic Director Adults and Health

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Name of meeting: Cabinet
Date: 20th March 2018

Title of report: **North Kirklees Growth Zone**

Purpose of report

The North Kirklees Growth Zone strategy, delivery and financial plans are due for consideration by Cabinet in summer 2018, post consideration of the Local Plan.

This report sets out a number of interim actions that relate specifically to Dewsbury town centre and early phases of development at Dewsbury Riverside which are required before summer. It also seeks authorisation to progress some of the opportunities that have arisen as a result of external funding announcements.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Yes
Key Decision	Yes it involves spend of over £250k and affects more than one ward.
The Decision - Is it eligible for "call in" by Scrutiny?	Yes
Date signed off by Strategic Director & name	Karl Battersby - 8.03.18
Is it also signed off by the Service Director for Finance, IT and Transactional Services?	Debbie Hogg - 06.03.18 <i>Eamonn Croston for and on behalf of</i>
Is it also signed off by the Service Director - Legal Governance and Commissioning?	Julie Muscroft - 8.03.18
Cabinet member portfolio	Cllr Peter McBride - Economy - Strategic Planning Regeneration and Transport Cllr Naheed Mather - Economy - Strategic Housing Regeneration and Enforcement

Electoral wards affected: Dewsbury South, Dewsbury East and Dewsbury West

Ward councillors consulted:
 A briefing on NKGZ is being arranged for all Dewsbury Members.

Public or private: Public

1 Summary

Purpose of the report

- 1.1 This report informs Cabinet of progress in developing the North Kirklees Growth Zone (NKGZ) strategy, delivery and financial plan.
- 1.2 It sets out a number of key areas of activity which need to be progressed prior to finalisation of the above, which will be considered by Cabinet post consideration of the Local Plan.
- 1.3 These areas of activity have a specific focus on Dewsbury town centre and on how we can further progress the early stages of development at Dewsbury Riverside.
- 1.4 In particular it sets out detail of:-
 - Overall progress to date;
 - Detail of the submission and next steps in relation to the Dewsbury Heritage Action Zone with authority to progress a Memorandum of Understanding with Historic England;
 - A proposed first phase of the 'Dewsbury Better Spaces' Theme;
 - An update on the 'Dewsbury Big Markets' Theme;
 - Authorisation to progress the next steps in the development of Dewsbury Riverside; and
 - Authority to progress a draft Memorandum of Understanding with Miller Homes. This will form a working framework as to how the overall masterplan and joint working with the Council progresses in the early stages of the project.

2 Information required to take a decision

Background

- 2.1 On the 17th November 2015 the Council approved the initial report setting out the rationale and next steps for the NKGZ. This initiative is in line with the two strategic drivers for the district, the Kirklees Economic Strategy and the Kirklees Health and Well-being Strategy. A series of recommendations designed to bring about long term sustainable change for North Kirklees were agreed, with a clear vision statement underpinned by 4 broad objectives.
- 2.2 The vision recognised the role Dewsbury and its hinterland could play within the wider Northern regions - "To have a thriving living town, tapping into the potential of its people, and maximising its role as a key transport and economic location".

2.3 The 4 broad objectives at the heart of the vision are:-

- The area could be a focus for housing and economic growth;
- The area could be seen as a key strategic employment location within the LCR;
- We may wish to fully exploit the area to ensure it is seen as a key transport node for both road and rail (connecting to HS2, Leeds and the wider north of England); and
- Dewsbury could be seen as the primary economic and service centre, with a town centre that is fully occupied through increased housing and leisure provision, with a consolidated retail and commercial core.

2.4 It is within the context of the overall vision, the above objectives and the emerging Local Plan that work has been progressing.

Progress to date

2.5 In order for Cabinet to consider the final NKGZ strategy and delivery plan post consideration of the Local Plan, specific areas of activity have been progressed. Cabinet approved an interim work-plan on the 18th October 2016 (NKGZ next steps report). Since that report was agreed progress has been made across a number of areas and these are detailed below:-

2.6 At Dewsbury Riverside, outline planning permission has been secured on two sites off Lees Hall Road and Ravensthorpe Road to deliver a total of approximately 240 new homes. Resources of £224K have been secured through the Homes and Communities Agency to help work up the scheme. A Housing Infrastructure bid of £22.5m has been made to Government, which was a LCR joint submission with Leeds City Council. Significant work has been ongoing with Miller Homes and their consultants to support the allocation of land for housing in the Kirklees Local Plan.

2.7 At Chidswell, significant work has also been undertaken with the land owner's representatives to support the allocation of land for mixed use development in the Kirklees Local Plan.

2.8 In relation to micro and macro transport planning, Cabinet has agreed to resource the first project for improving access into Dewsbury, the 'Station Gateway' project (cabinet August 22/8/2017). Resources of £234K have been secured to look at long term strategic highways planning. Work is progressing on the main (A644 / A653) transport corridor scheme.

2.9 In Dewsbury town centre, delivery of the Dewsbury Learning Quarter is in progress, work on the Townscape Heritage Initiative (THI) is on track and a submission for Heritage Action Zone status to Heritage England has been successful. Work has begun on the Dewsbury Big Markets project, with the appointment of consultants 'Market Place Europe' to support the development of a long term vision. Traffic studies to help plan the long term 'Dewsbury Better Spaces' project is underway and

increasing engagement with the private sector to deliver the vision for the town centre is being progressed.

- 2.10 Raising the area's profile, following recognition at the Leeds City Region of the NKGZ programme as a priority strategic initiative, work has continued to embed the programme with a number of public sector bodies. At the City Region level work on local transport fund schemes are progressing, the City Region has been supportive in examining opportunities for a strengthened highways network. Preliminary work has been undertaken to look at improving rail travel. Discussions have taken place as to how the City Region could support the NKGZ programme and in particular the living town concept. Funding meetings have been held with the HCA, who have assisted through revenue support for Dewsbury Riverside. Discussions have been held with partner housing associations with a view to opportunities being progressed post Local Plan adoption. A joint PR contract is in place between Kirklees College and the Council to maximise positive publicity. Engagement with Historic England continues as we seek to maximise opportunities through the Heritage Action Zone (HAZ).
- 2.11 The activity to date is to ensure momentum is built up, confidence raised, partners are brought on board, opportunities for funding streams are maximised, and delivery of Local Plan outcomes can be speedily brought to fruition. We are now at the point of delivery on a number of new projects that should be viewed as short term wins as the programme activity starts to increase.
- 2.12 Other relevant information about the proposed activity for which Cabinet's approval is sought is set out in section 4 of the report.

3 Implications for the Council

Early Intervention and Prevention (EIP)

- 3.1 There are no direct implications from this report, but the wider strategy will incorporate a series of indirect positive contributions towards this theme.

Economic Resilience (ER)

- 3.2 The NKGZ programme is a major strategic initiative that is aligned to the Kirklees Economic Strategy and the Health and Well-being Strategy. It forms a central plank of the ER theme, with outcomes designed to increase growth, provide local employment, training and business opportunities and raising the area's spending power. It will account for an additional GVA of £1.9b (and increasing as new projects emerge) over the life of the programme.

Improving Outcomes for Children

- 3.3 Engagement with Children's Services continues in respect of provision of new education provision on major strategic sites. Work is ongoing with Kirklees College to deliver the new Dewsbury Learning Quarter and opportunities for linking training and apprenticeships into the

programme are continuing. We are working with developers in respect of housing and development design, providing linkages to recreational areas and within Dewsbury exploring the offer for young people as part of the town's transformation.

Reducing demand for services

- 3.4 Whilst the early stages of work on this programme have been driven by the Public Sector we envisage the major investment will come via partners and the private sector. Integral into the programme are support for key Council themes, such as new supported housing, more employment opportunities and a stronger more prosperous and vibrant locality. The programme is not a short term fix for reducing service demand, but more of a long term plan to help make communities more resilient, whilst increasing the opportunities for strengthening income streams for the Council.

Other Implications (e.g. Financial, Legal, HR, IT, planning)

- 3.5 The council has consulted as set out below but it will continue to have in view its Equality Act 2010 duties as well as other obligations to consult and engage as the project develops and will take appropriate steps to consult and engage as the project progresses. Some work on Equality Impact Assessment has already taken place through the Local Plan process but further assessment will be considered as programme moves forward.

4 Consultees and their opinions

- 4.1 Extensive consultations took place with the community and stakeholders from 2007 – 2010 as part of the then strategic development framework production. Progress in delivering the suggested outcomes at that time were hampered by a change in the funding landscape as a result of the economic down-turn.
- 4.2 The engagement process specific to the NKGZ programme has progressively increased as:
- 1) The Local Process has progressed;
 - 2) Background work has been undertaken; and
 - 3) New work streams have been agreed.
- 4.3 Public consultation has previously taken place through the district committee structures, but is now moving towards more direct thematic dialogue. This includes:
- Site specific engagement at Dewsbury Riverside, with community groups and the public. This has been done in conjunction with or through Miller Homes;
 - Big markets project, a specialist support firm has been appointed to work alongside the Council to engage with stakeholders with regard to the future of the markets;
 - Engagement events on transport schemes are planned for late winter/early spring;

- An engagement plan covering the NKGZ strategy has been put in place, so wider engagement on the draft plan can take place post Local Plan adoption;
- The use of a specific North Kirklees Growth Zone web presence is being investigated; and
- Public and private sector partner consultations on theme specific projects, as well as the overall draft vision for the Growth Zone, continue.

5 Next steps

Dewsbury Riverside

- 5.1 The site known as Dewsbury Riverside comprises 158.8Ha of land for residential use. Of this 92Ha is proposed to be developed with the Local Plan period 2018 – 2033. The majority of land holdings are under the control of Miller Homes and the Council. Parts of the site have existing Unitary Development Plan allocations for housing and as provisional open land.
- 5.2 On the 18th October 2017 Cabinet agreed to series of recommendations authorising officers to work with Miller Homes to bring forward a sequenced master-plan, enter into negotiations for phased joint development, appoint specialist support consultants, and utilise Council land assets to bring forward early development. Prior to any disposals further reports would be presented to cabinet for consideration.
- 5.3 Outline planning permission was granted on 12 April 2017 for residential development at land off Lees Hall Road and Ravensthorpe Road. Since then, pre application discussions at Lees Hall Road (controlled by Miller Homes) have taken place, with a view to a reserved matters submission in February 2018 and a subsequent early phase of development. A further development partner, Yorkshire Housing, working with Miller Homes intends to progress this scheme.
- 5.4 The focus now is on the 2nd and 3rd phases of development. The 2nd phase is proposed as a standalone residential scheme on land with the benefit of outline planning permission off Ravensthorpe Road (see appendix D). Whilst the majority of this site is in the ownership of the Council, access to the site is via land under the control of Miller Homes. It will therefore require negotiation with Miller Homes and it is proposed that we enter into a direct commercial discussion with them in order to bring the site forward.
- 5.5 The Council needs to ensure that in entering into such an agreement it achieves best consideration from any site disposal. In this case land with the benefit of outline planning permission at Ravensthorpe Road forms part of a larger area of Council owned land.. Access from Ravensthorpe Road involves land controlled by Miller Homes. It is therefore necessary for the parties to work together to bring forward development. Development of the land in question will also need to be in accordance with the aims and objectives of the wider masterplan as development that does not do so could have a detrimental impact on

future land value. It is therefore proposed that the Council enters into sole disposal negotiations with Miller Homes, but that the outcome of these negotiations and the ultimate justification for sole disposal is subject to a further report supported by specialist advice. The extent of the land holding is detailed in appendix D

- 5.6 Phase 3 of the development, the community hub area requires the early commencement of design work and engagement with stakeholder groups to open up a further access point to the site and in so doing create the conditions to develop a new community hub (see appendix E).
- 5.7 The potential location of the new community hub has been discussed at length with Miller Homes following a series of joint workshops facilitated by the Homes and Communities Agency major projects team. It is considered important that the development at Dewsbury Riverside integrates with the existing local community and provides a wide range of new local facilities, including provision of a replacement faith/community building, health centre, nursery, retail, potential extra care facility and provision of land for a new primary school. Discussions on each aspect are ongoing with relevant Services as well as potential providers.
- 5.8 The new community hub and access would require the relocation of the community facility and mosque at Lees Hall Road and positive preliminary dialogue is taking place. Similarly the existing Ravensthorpe Road allotments would need relocating and a support package putting into place to ensure new facilities were to the satisfaction of existing allotment holders. At this stage the focus of the work is to progress more detailed design in conjunction with Miller Homes, continue the dialogue with stakeholder groups and undertake pre application consultation with the Local Planning Authority in advance of the formal submission of planning applications on Council land to enable early development to take place. The final proposals and the fit with joint work with Miller Homes would be subject of a further report.

Memorandum of Understanding

- 5.9 To give greater clarity to our future working arrangements (pre contract finalisation) it is proposed that the Council should negotiate a Memorandum of Understanding (MoU) with Miller Homes.
- 5.10 The MoU is intended to set out the current intention of the parties with regard to Dewsbury Riverside, but it is not intended to impose any legal obligations upon either party.
- 5.11 It would seek to:
- promote the allocation of Dewsbury Riverside for Housing in the Local Plan;
 - draw up a Masterplan that reflects the “Shared Vision” if Dewsbury Riverside is allocated for Housing; and

- negotiate on a subject to contract basis the terms of an agreement(s) for the delivery of Dewsbury Riverside in accordance with the 'Shared Vision' if Dewsbury Riverside is allocated for Housing
- 5.12 Attached as appendix A is the draft MoU to be negotiated with Miller Homes and it is proposed that Service Director Housing and Service Director for Economy, Regeneration & Culture, in consultation with the relevant Portfolio Holder(s) document following negotiations with Miller Homes, subject to them being to the satisfaction of the Service Director Legal, Governance and Commissioning

Town Centre

- 5.13 The next phases of work to support the transformation of Dewsbury Town Centre are grouped into a range of themes, these are:-

- Big Markets Theme
- Better Spaces Theme
- Improving Accessibility Theme
- The Living Town Theme
- Dewsbury Learning Quarter Theme

The Big Markets Theme

- 5.14 The first phase of work has been to appoint the support consultants "Market Place Europe". Engagement with elected members, officers, traders, businesses and the local community to understand the current issues, constraints and opportunities for the market has commenced and will continue through to early spring. Consultations on the size, future form and offer for the market at Dewsbury will then follow as part of the first phase of work. The next phase will be to assess the ideas and opinions generated and come up with a short, medium and long-term transformation plan for Dewsbury Market, including its fit with the other projects and programmes currently underway, including the development of the Dewsbury Learning Quarter incorporating Pioneer House.

Better Spaces Theme - Pioneer House Public Realm

- 5.15 The "Better Spaces Theme" will build on the previous public realm works that have taken place in Dewsbury. The development of the Better Spaces theme will be informed by ongoing work to assess footfall and traffic flow through the town and this will help inform the ultimate design hierarchy for movement through the town, in particular the relationship between private/public vehicular transport and pedestrians.
- 5.16 One area of short term work we recommend being progressed is to consider improvements to the public realm in front of Pioneer House. This scheme would run concurrent with Townscape Heritage Improvement scheme to the Kingsway & Queensway Arcades, which link Pioneer House to the market area.

- 5.17 It is envisaged that works will be required to repair the existing footway to the front of Pioneer House, but rather than just undertake essential repairs, it is proposed to bring forward an enhanced heritage scheme that seeks to:
- Provide a more fitting public realm scheme for one of Dewsbury's most iconic buildings;
 - Widen the existing pedestrian area, thus accommodating increased footfall and minimising pedestrian / vehicular conflicts; and
 - Implement a design solution that is flexible for further amendment without major disruption to the current scheme should the final movement proposals recommend pedestrianisation of the Pioneer House frontage area.
- 5.18 The proposed draft design for this scheme is detailed in appendix B) of this report.
- 5.19 The estimated cost is £425k of which £135k (subject to tender and grant application) has been negotiated as a contribution from the Dewsbury Townscape Heritage Initiative (THI). This report seeks authorisation to commit a further £290k to deliver the scheme.

Living Town Theme/Heritage Action Zone

- 5.20 In the Next Steps report (18th October 2017) short term actions for the town centre included the progressing of opportunities to continue to protect the areas heritage and exploring how early wins on the Living Town concept could be delivered.
- 5.21 One potential source of funding to support the Living Town theme is through a Heritage Action Zone (HAZ). A HAZ programme provides a range of Historic England (HE) resources and expertise which aims to regenerate historic areas.
- 5.22 The 2017/18 HAZ national bid round theme was to incorporate an element of residential delivery. There was no upper limit to the bid in the guidance, but match funding would be required. A challenging submission date of the 7th August 2017 was met, and following this submission the proposals from Kirklees for HAZ in Dewsbury were announced as being successful in December 2017. There now follows a period of negotiation with Historic England on the detail of the scheme. This will determine the outcomes, how Historic England can be involved in the scheme to ensure its success and its funding make up. These negotiations will run until March 2018, with a view to commencing the HAZ in April with the implementation of parts of the scheme in late 2018.

- 5.23 In order to hit the ground running it is proposed that the Council support the scheme and:-
- That the Council commits £2m of resources from the capital programme covering the five year planning period 2018/19 to 22/23; and
 - That the Council resource allocation would act as a match for Heritage England capital funding (expected to be in the region of £550K capital plus in kind specialist officer support) and lever in further substantial private sector investment, roughly estimated as between £2.5-£5m.
- 5.24 It is envisaged that the HAZ joint funding pot would be used as a catalyst to attract further public sector funding and discussions have commenced with both the Leeds City Region and Homes England. If successful this would in turn increase private sector match.
- 5.25 The underlying objectives that would be applicable to this potential mix of public funding would be:
- To preserve and enhance the area's heritage through the re-use of buildings for housing;
 - To support the final living town strategy which would be published post Local Plan adoption;
 - To use public funding as a catalyst to maximise private sector investment through adoption of a "gap funding model";
 - To only support schemes that positively contribute to the Living Town concept, primarily focused in the Daisy Hill area as detailed in appendix 3; and
 - To consider, as a reserve scheme and subject to additional funding being secured, at risk buildings of merit as part of the programme within the town centre area.
- 5.26 In order to "hit the ground running" on this scheme early design options for the Living Town concept are being progressed, the final proposals would need to be undertaken on a phased basis to a coherent plan. This would ensure maximum impact is achieved, areas of dereliction or under use are regenerated in a coordinated way and key heritage buildings are protected.
- 5.27 At this stage we are seeking support for the HAZ scheme for Dewsbury, allocation of resources of £2m, in principle allocation of staffing resources to manage the programme and agreement of the objectives for implementation as detailed above. The final detail of the delivery plan and the gap funding model is the subject of ongoing negotiations with Heritage England and will be the subject of a report for delegated approval to Service Director Housing and the Service Director for Economy, Regeneration & Culture Service in consultation with relevant portfolio holder(s).

6 Officer recommendations and reasons

Dewsbury Riverside

- 6.1 That the Service Director Housing and the Service Director for Economy, Regeneration & Culture, be authorised to enter into negotiation with Miller Homes with a view to disposal of the Council land asset as detailed in appendix D. The final terms of that negotiation and any disposal of assets being subject of a further report.
- 6.2 That the Service Director Housing and the Service Director for Economy, Regeneration & Culture, be authorised to enter into negotiation with Miller Homes in respect of the Memorandum of Understanding as detailed in appendix A of this report. Final acceptance and amendments of a non-fundamental nature being delegated to the Service Director Housing and the Service Director for Economy, Regeneration & Culture Service in consultation with relevant portfolio holder(s) subject to them being to the satisfaction of the Service Director Legal, Governance and Commissioning.

Dewsbury Town Centre

- 6.3 That resources of £290k be approved to support the THI contribution to implement the Dewsbury Better Spaces Public Realm scheme at Pioneer House.
- 6.4 That the Service Director Housing and the Service Director for Economy, Regeneration & Culture be authorised to progress the Dewsbury Better Spaces Public Realm scheme for Pioneer House as detailed in section 5.17.
- 6.5 That Cabinet agree to support a Heritage Action Zone for Dewsbury and provide match funding of £2m as detailed in sections 5.20-5.27.
- 6.6 That the Service Director Housing and Service Director for Economy, Regeneration & Culture, be authorised to finalise the detail of the HAZ Delivery Plan with Heritage England and to negotiate a Memorandum of Understanding with Historic England for delivery of the HAZ Programme, that final acceptance of the Delivery plan and MoU be delegated to the Service Director Housing and the Service Director for Economy, Regeneration & Culture Service in consultation with relevant portfolio holder(s).

7 Cabinet portfolio holder recommendation

- 7.1 Cllr Peter McBride and Cllr Naheed Mather are supportive of the officer recommendations and reasons set out in the report.

8. Contact officer

Stephen Jagger
Housing Commissioning Manager
stephen.jagger@kirklees.gov.uk
(01484) 221000

9. Background Papers and History of Decisions

Kirklees Local Plan
Kirklees Economic and Health and Well Being strategies
North Kirklees Growth Zone Report cab - November 2015
North Kirklees Growth Zone Next Steps Report cab - October 2016
Heritage Action Zone Guidance 2017

10. Service Director responsible

Naz Parkar - Service Director Housing
naz.parkar@kirklees.gov.uk
(01484) 221000

Paul Kemp - Service Director Economy, Regeneration Culture
paul.kemp@kirklees.gov.uk
(01484) 221000

Appendices

- A - Dewsbury Riverside - Memorandum of understanding
- B - Better Spaces Project Phase one - Pioneer House frontage scheme
- C - HAZ primary intervention Zones
- D - Dewsbury Riverside phase 2 (Ravensthorpe Road)
- E - Dewsbury Riverside Community Hub area

Dewsbury Riverside

Memorandum of Understanding

DRAFT

between

Kirklees Council

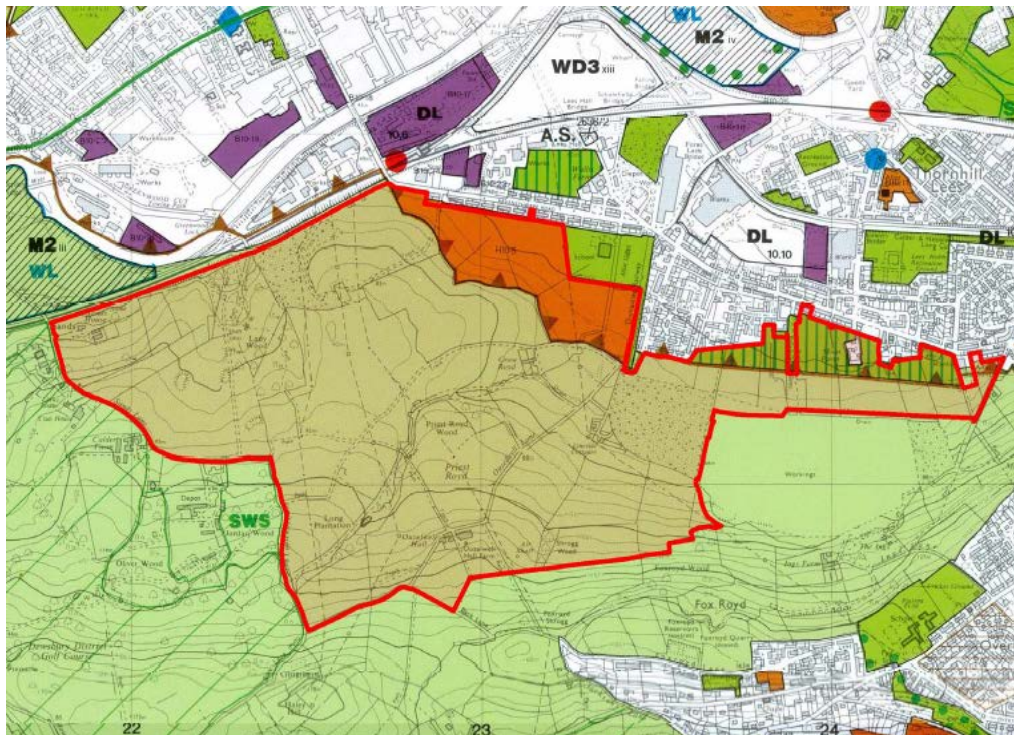
and

Miller Homes, [registered company details to be inserted]

1. INTRODUCTION

- 1.1 This agreement is between Kirklees Council and Miller Homes. The agreement details the intentions of the parties to jointly progress the delivery of Dewsbury Riverside through the development of an agreed masterplan and delivery strategy.
- 1.2 The Dewsbury Riverside project aims to deliver circa 4000 homes set in an exceptional, distinctive and high quality environment. The site extends to 161.37Ha and has potential for up to 4,000 new homes, 2,310 within the period 2018 to 2033. The development forms a key component of the North Kirklees Growth Zone and supports the ambitious plans for growth as set out in the Council's emerging Local Plan. Figure 1 identifies the extent of Dewsbury Riverside.

Figure 1: Dewsbury Riverside



2.0 PURPOSE

- 2.1 To promote land for the Dewsbury Riverside urban extension as being appropriate for allocation as housing in the proposed Kirklees Local Plan.
- 2.2 To agree arrangements for collaboration in the production of a masterplan and delivery strategy for the Dewsbury Riverside sustainable urban extension.
- 2.3 To record the intentions of both parties to negotiate on a subject to contract basis legally binding arrangements in order to facilitate the delivery of the shared vision for Dewsbury Riverside.
- 2.4 Kirklees Council and Miller Homes recognise that delivering a truly high quality place will require collaborative working and have agreed to work together to enable delivery. This Memorandum of Understanding sets out how each party will contribute to delivering the vision for Dewsbury Riverside.
- 2.5 This agreement does not prejudice the role of Kirklees Council in its statutory functions or as an accountable body including but not limited to Planning Authority and Highways Authority, nor its legal obligations in respect of the disposal of its land interests or procurement of any works associated therewith.
- 2.6 It is recognised that this Memorandum of Understanding confirms the parties' agreement to work in collaboration to progress the delivery of this project. It does not commit the parties to financial commitments other than:
 - (a) The costs incurred in the promotion for the land at Dewsbury Riverside to be allocated for housing;
 - (b) The production of a masterplan if the land at Dewsbury Riverside is allocated for housing in the new Kirklees Local Plan; and
 - (c) The costs incurred in negotiating on a subject to contract basis legally binding arrangements in order to facilitate the delivery of the shared vision for Dewsbury Riverside.

3. Legal status

- 3.1 This agreement should be viewed as a mechanism to promote within the context of the Kirklees Local Plan the site known as Dewsbury Riverside and bring forward a masterplan that encompasses agreed delivery objectives. This agreement is not intended to be a legally binding document and it is not intended to create legal relations between the parties. Nor does it impose any obligations upon either party to enter into binding legal agreements with the other.
- 3.2 Should the site known as Dewsbury Riverside be adopted within the Kirklees Local Plan, then both parties will negotiate on a subject to contract basis a detailed legal framework to facilitate delivery in accordance with both parties shared vision for Dewsbury Riverside.

- 3.3 The following principles could form the conceptual framework for the “delivery stage” of Dewsbury Riverside and could be used to help determine the most appropriate legal structure. This legal structure would be subject to negotiation, legal and taxation advice.

Primary:

- The extent of the provision of land by the parties for construction of new homes for sale/rent and associated infrastructure.
- The ownership of such land and how land interests are pooled to ensure the site is delivered in a comprehensive manner unfettered by individual land owner priorities.
- The provision and delivery of the appropriate non housing development.
- The provision and delivery of appropriate support infrastructure.
- How pooling arrangements for land will allow for the sharing of proceeds from land sales on an equivalent and equalised basis to the value of each parties interests at the appropriate commencement date.
- Sharing the costs of site promotion, preparation and site specific strategic infrastructure on the basis of the value of each parties land interests, with the opportunity to equalise those costs through each parties’ share of land receipts where appropriate.
- Agreeing a framework for third party land disposals.

Secondary:

- The fit with the wider strategic objectives to deliver the vision for North Kirklees
- The promotion of opportunities for local business and local citizens

4. THE SHARED VISION

- 4.1 The following Vision for Dewsbury Riverside has been identified and is re-produced below. This will evolve as part of the process of preparing the Masterplan:

The Vision

Enable and support the bringing forward of a viable and deliverable sustainable urban extension with the potential for 4,000 new homes, 2,310 within the period 2018 to 2033. The scheme will be delivered within the context of a quality development, with a blend of house types and styles with the right housing numbers for the existing UDP allocated site(s) and the draft Local Plan allocated site, that relates well to adjoining areas and contributes to the wider vision of the North Kirklees Growth Zone.

- As place-makes, to ensure a sufficiently viable scheme to deliver wider benefits for central Dewsbury.
- To jointly explore opportunities to align the development with wider transport objectives.
- Jointly enable and support business creation, growth and

local employment.

- Secure the delivery of affordable housing, to meet identified need.
- Work towards delivery of a new local centre that integrates with and helps meet the needs of the existing and new community.
- Deliver a scheme that helps narrow the areas health inequalities.
- Work to deliver a CO2 efficient scheme.
- Provide high quality IT connectivity.
- Green infrastructure that links to the wider countryside and encourages wildlife movement throughout the development whilst creating a robust and defensible urban edge and green belt boundary.
- Negotiate to include opportunities to deliver innovation in terms of products and delivery.
- Explore opportunities for planning freedoms and the use of Local development orders.

5.0 Joint Working Arrangements

5.1 The delivery partners agree to work collaboratively and in a transparent manner with sharing of data and intelligence (in so far as it is lawful to do so) to progress the shared vision for the development and delivery of Dewsbury Riverside into a masterplan.

5.2 The delivery partners will negotiate on a subject to contract basis the legal and financial framework for the delivery of Dewsbury Riverside that will incorporate and deliver the shared vision.

5.3 The parties agree to work together to establish arrangements to ensure items 5.4 are successfully delivered.

5.4 This agreement commits the parties to:

- Prepare the evidence required to support the site proposals through the examination process of the Kirklees Local Plan.
- Prepare a comprehensive masterplan including development, infrastructure (physical, green, social), layout, access, scale, landscaping, design and other relevant factors. The format should be capable of adoption as a Supplementary Planning Document by the Council should this be the Councils agreed position. The masterplan will be in the format of the attached schedule (at Appendix 2).
- To agree resourcing and financing implications of the above elements of work.
- To agree a project plan and programme that takes full account of the requirements and milestones associated with the above outcomes.
- To identify how wider socio and economic objectives to support local business and develop local training and employment

opportunities could be incorporated into the delivery of Dewsbury Riverside.

5.5 In signing this agreement Kirklees Council commits to:

- Coordinate an internal Council project team to support the development of the masterplan.
- To explore opportunities to support the delivery of the site in particular the strategic infrastructure costs.
- To consider the flexible use of its land and resources in a way that can support the draft vision and objectives in respect of the delivery process.
- To consider use of its statutory powers to assemble land for site access to be achieved in line with the final agreed masterplan.

5.6 In signing this agreement Miller Homes commit to:

- Commission technical studies to inform and reinforce the evidence base in support of the Local Plan and masterplan.
- To share the results of these studies with the Project Team.
- To prepare a masterplan and delivery framework for the site in collaboration with the Project Team.
- To prepare and submit planning applications as appropriate.
- To work with other stakeholders to deliver the development.

6. Timeframes and agreed actions

6.1 Figure 3 shows a simplified task list with key dates and indicative responsibilities to be agreed. In signing this MOU, it is deemed that both parties agree to deliver the following tasks in the broad timeframe set out below. The table below groups activities into broad headings, however an expanded version is shown in Appendix 3.

Task	Start	Completion
Evidence base review and gap analysis		
Highways and Transport		
Production of plans and documentation		
Progress towards adoptable SPD		
Local Plan Examination		
Delivery and viability		
Finalisation and adoption of SPD		
Early wins – details..		

Appendix 1: Local Plan Submitted Detail

To be inserted

Appendix 2: Masterplan and Development Framework Document Scope

Stages	Actions
Vision and objectives	Refinement of objectives into spatial vision for the site. Consultation as required.
Site preparation and remediation requirements	Site investigations to clarify extent of ground conditions and remediation required. Topographical survey to establish impact of gradient on development platforms
Development	Assessment of development potential to determine quantum, mix and programme for development on site.
Highways	Confirm off and on site highways requirements and costs and also internal road layout
Green infrastructure	Agree strategy for green infrastructure to include quantity, distribution, type and timing in relation to site's delivery and delivery of a CO2 efficient scheme
Drainage	Technical study required to determine drainage strategy, on and off site requirements and mitigation.
Utilities	Investigations with utility providers to establish implications of growth on need for upgrades and appropriate cost estimates. Incorporating high quality IT infrastructure
Social infrastructure	Determination of requirements for education, health and other community facilities. Clarification of what is required, at what point in the delivery programme and how it will be funded.
Viability assessment	Modelling of costs and incomes to enable optimum development and phasing strategy and to inform approach to delivery strategy, S106 arrangements and other planning requirements
Phasing strategy	Determine optimum phasing strategy to include sequencing of release of individual development partners
Delivery strategy and delivery vehicle	Heads of terms to be agreed for proposed delivery structure and strategy to include land, planning and funding.
Training and employment	Opportunities for local training and employment
Project plan	Detailed project plan to programme components of site's delivery

Appendix 3: Joint project plan and programme





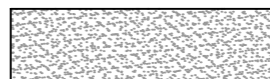


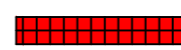



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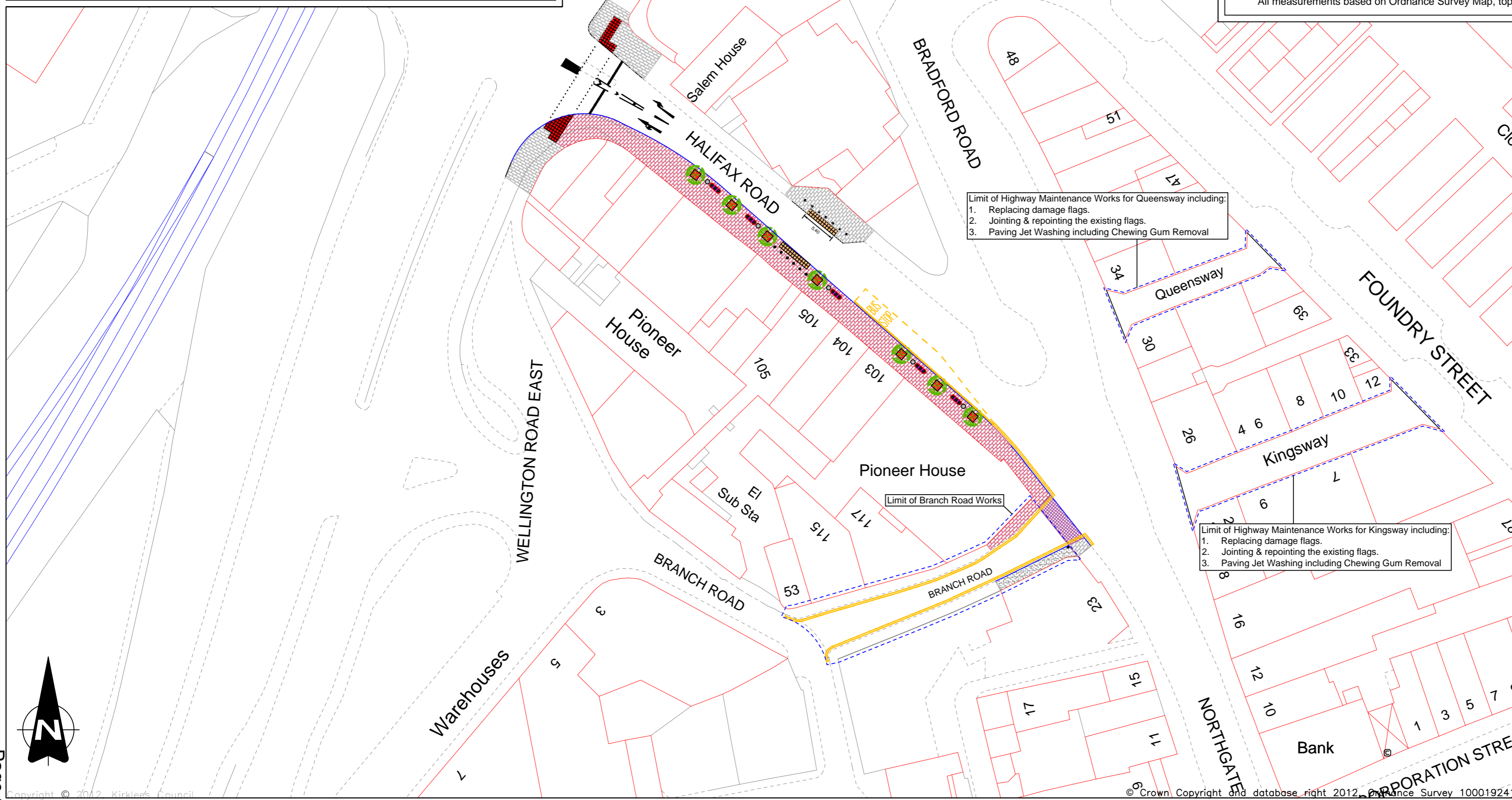


Examples of Proposed Materials Palette

KEY

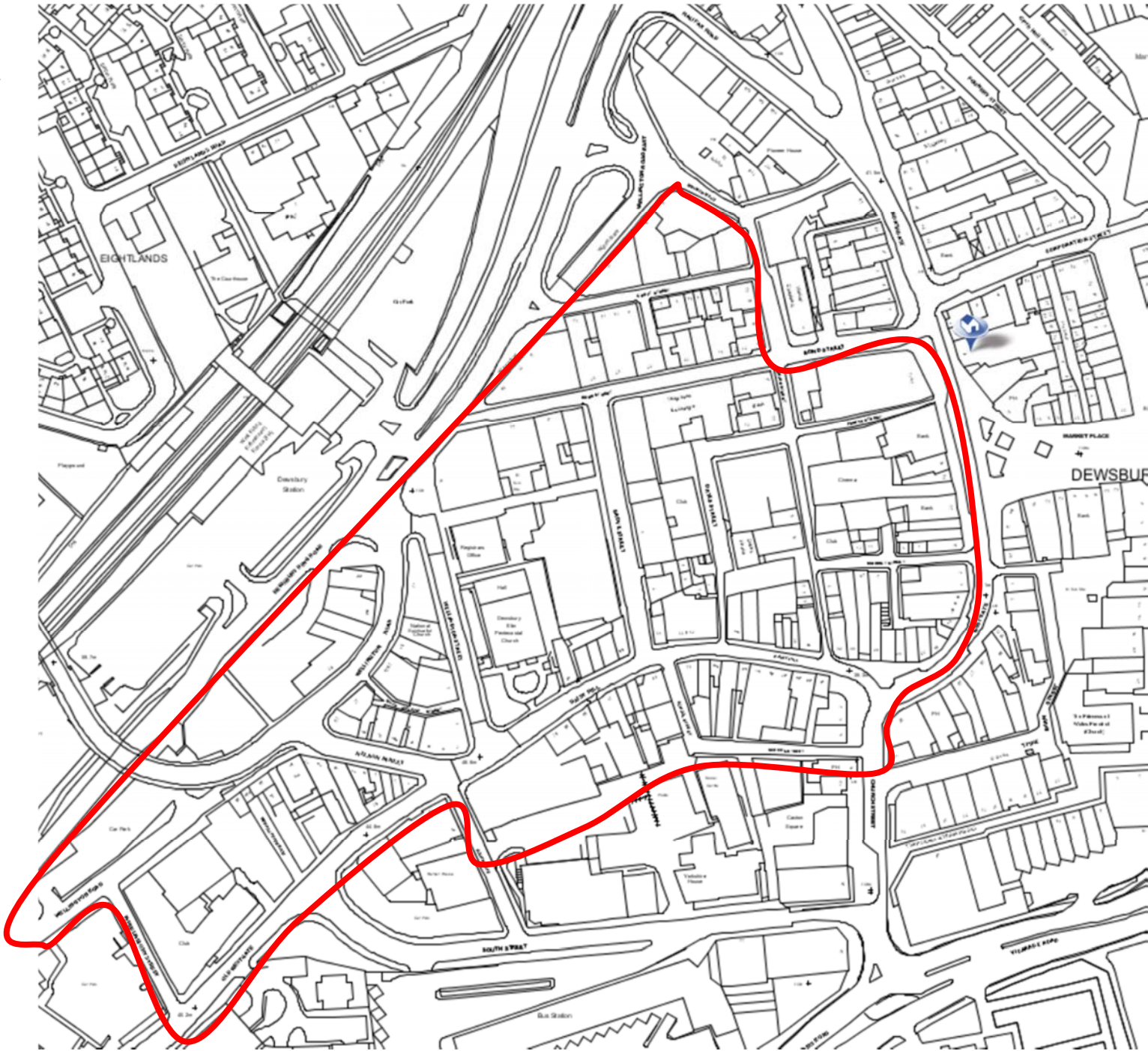
-  Proposed Yorkstone Kerbs
-  Proposed Pre Cast Concrete Kerbs
-  Proposed High Quality Paved Area (Yorkstone Flag - Greenmoor Rustic Paving or Equivalent)
-  Proposed Paved Area (Pre Cast Concrete Flag Paving)
-  Proposed Flexible Footway
-  Proposed Paved Area (Pre Cast Concrete Block Paving) Tegula - Traditional or Equivalent
-  Proposed Trees in Hard Landscape Area (1.2m Tree Grille - Heritage Style)
-  Proposed Tactile Paving (Red Colour)
-  Proposed Tactile Paving (Buff Colour)
-  Proposed Bench - Heritage Style
-  Proposed Litter Bin - Heritage Style
- Proposed Fixed Bollard - Heritage Style

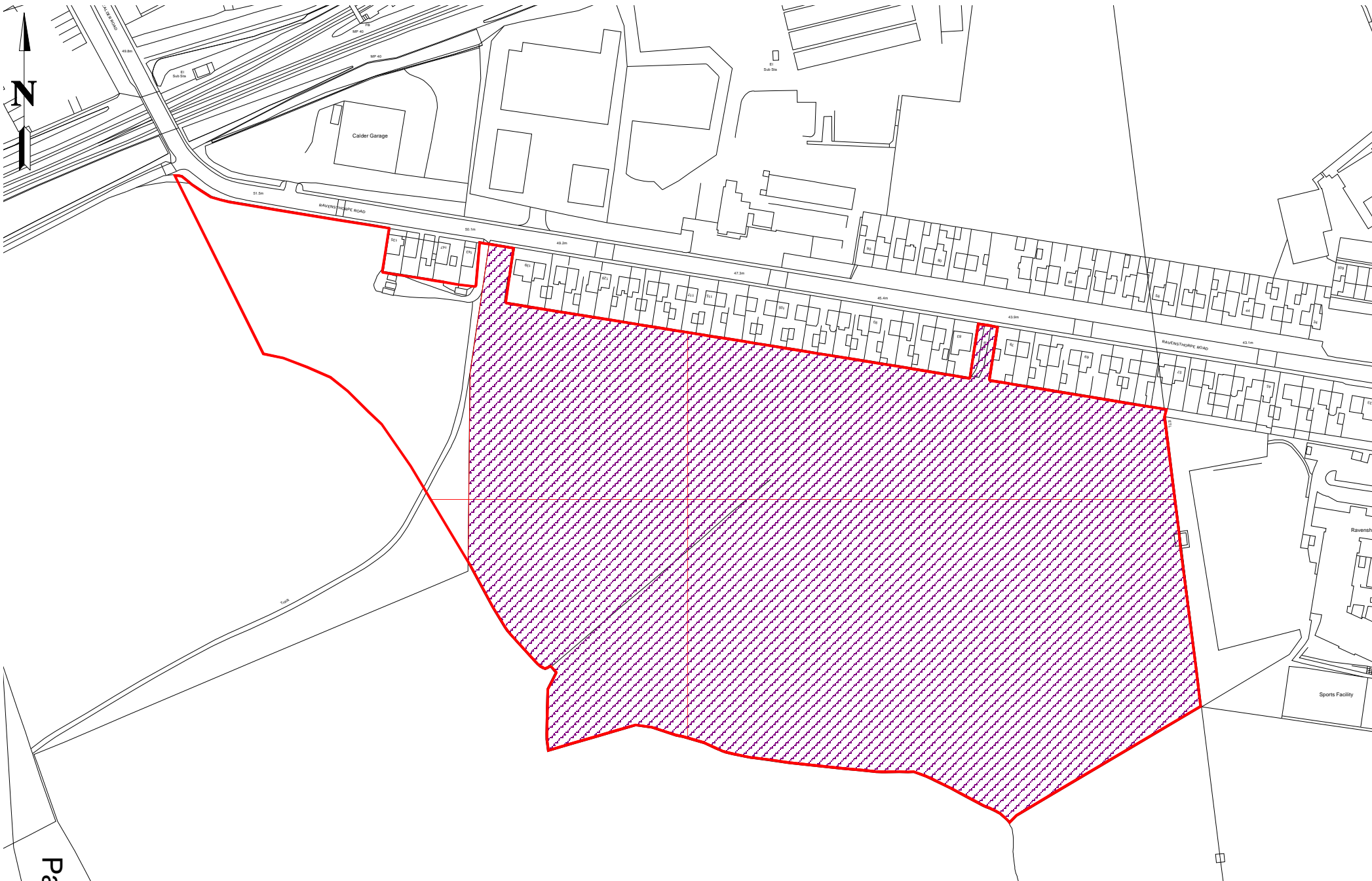
Note:
All measurements based on Ordnance Survey Map, topographic survey or site measurements required for construction details.



REF.	DATE	REVISIONS
 Directorate of Place Civic Centre 3, Market Street Huddersfield, HD1 2TG		
SECTION		
DRAWN	PROJECT NO.	CHECKED
HM		
SCALE	PROJECT NO.	DATE
1:500		11/10/2017
PROJECT		
Dewsbury Town Centre / Ring Road Improvements		
TITLE		
Pioneer House /Halifax Road Improvements Without The Galleries/Option 8		
DRAWING No.		
13112/1600/DF-8A		
CAD No.		

**Heritage Action
Zone—Dewsbury
Boundary Area
2018**



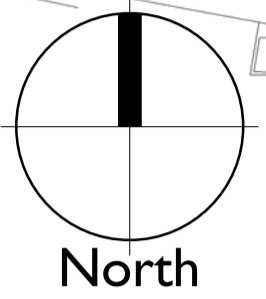
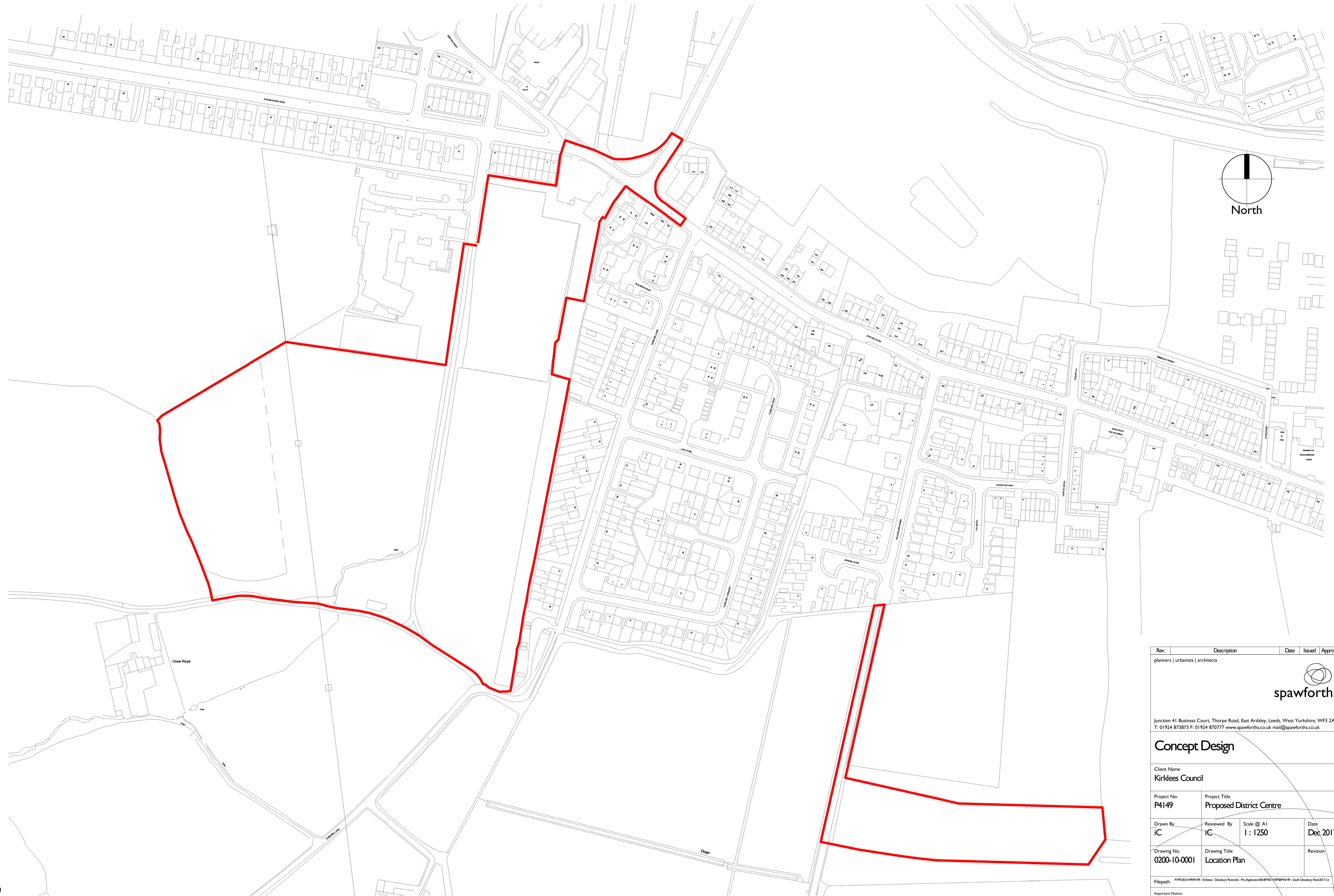


STARTEGIC ASSETS

Plan No: 18-0110
 Scale: 2500
 Required by:

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Rev.	Description	Date	Issued	Approved
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planners | urbanists | architects



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Concept Design

Client Name
Kirklees Council

Project No.
P4149

Project Title
Proposed District Centre

Drawn By iC	Reviewed By iC	Scale @ A1 1 : 1250	Date Dec 2017
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Drawing No. 0200-10-0001	Drawing Title Location Plan	Revision
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Filepath P:\4100-41\04149 - Kirklees - Dewsbury Riverside - Pre-Application\02-0001\WP\04149 - South Dewsbury Res\2017.rvt

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Name of meeting: Cabinet

Date: 20 March 2018

Title of report: Provision of loan facility in respect of the Snow Sports Centre scheme which forms part of the wider HD One scheme.

Purpose of report:

To seek approval to amended terms for the above loan facility previously approved by Cabinet on 20th September 2016

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Yes
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports) ?	Yes
The Decision - Is it eligible for call in by Scrutiny?	Yes
Date signed off by Strategic Director & name	Karl Battersby - 7.03.18
Is it also signed off by the Service Director - Finance, IT and Transactional Services?	Debbie Hogg - 6.03.18 <i>Eamonn Croston for and on behalf of</i>
Is it also signed off by the Service Director - Legal Governance and Commissioning?	Julie Muscroft - 12.03.18
Cabinet member portfolio	Corporate

Electoral wards affected: N/A

Ward councillors consulted: N/A

Public or private: Public report with private appendix

This report is recommended for consideration in private session because the information contained in it is considered to be exempt information under Part 1 of Schedule 12A to the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) Order 2006. The report is recommended for consideration in private because it contains commercially sensitive information about third parties. The public interest in maintaining confidentiality of the information outweighs the public benefit of disclosing the information for reasons of open governance.

1. **Summary**

Cabinet at its meeting on September 20th 2016 (a copy of the relevant report is attached as Appendix 1) agreed in principle to offer a secured debt facility to Kirklees Stadium Development Ltd (KSDL) to allow KSDL to invest to acquire a majority equity stake in the Special Purpose Vehicle (SPV) company which will be set up to develop and manage the operations of the Snow Sports Centre (SSC) which will form the main visitor attraction being created as part of the wider HD One development on land adjacent to the John Smith's Stadium site in Huddersfield.

As negotiations between the various parties involved in the setting up of the SPV have progressed over the period since late 2016 it has become apparent that a more advantageous structure for the SPV would be for the Council loan to be provided direct to the SSC SPV with the provision of appropriate security for the loan from KSDL during the construction and early operational phase of the SSC. Once the SSC is trading successfully the assets of the SPV would be valued for security purposes with a view to transferring the security for the Council loan from KSDL to the SPV. If at the point the valuation advice is received, it is felt that there is not sufficient security value in the assets of the SSC SPV, then the Council security required would remain in whole or in part on the assets of KSDL.

As this is a different structure from that which was reported to Cabinet in September 2016 Cabinet approval is being sought to amend the previously approved recommendations to reflect the revised structure.

2. **Information required to take a decision**

The detailed information required for Cabinet to form a view on the request is set out in the Private Appendix to this report.

3. **Implications for the Council**

3.1 **Early Intervention and Prevention (EIP)**

N/A

3.2 **Economic Resilience (ER)**

The SSC is the core attraction of the wider HD One development which will create a regional leisure destination (average one hour travel time) destination for Kirklees. The HD One development as a whole will create substantial Business Rate income and employment opportunities.

3.3 **Improving Outcomes for Children**

N/A

3.4 **Reducing demand of services**

N/A

3.5 Legal/Financial or Human Resources

The Council has the powers to provide the Loan Facility using the General Power of Competence under Section 1 of the Localism Act 2011. Provision is made in the approved Capital Investment Plan for the Loan Facility to be provided. The Loan will be given at a rate of interest that reflects the Council's costs of borrowing and a suitable margin to cover risk. The loan will initially be secured on the assets of KSDL in the construction and early trading phase and will be transferred in part or whole to the assets of the SSC SPV only as and when valuation advice indicates that this would be appropriate and that the assets of the SSC SPV constitute sufficient security for the balance of the Loan Facility outstanding at that time.

4. **Consultees and their opinions**

N/A

5. **Next steps**

Detailed due diligence on the proposal will be carried out by officers and a suitable Loan Facility Agreement negotiated and put in place.

6. **Officer recommendations and reasons**

It is recommended that Cabinet;

- a. Agree to support, in principle, an offer of secured loan funding to the Snow Sports Centre SPV that will develop the snow sports facility at the John Smith's Stadium site.
- b. Authorise the Strategic Director Economy & Infrastructure, supported by the Councils S151 Officer and the Service Director Legal, Governance and Commissioning, to enter into detailed due diligence on the loan proposal and progress negotiations on appropriate legal documentation to offer a secured loan.
- c. Delegate authority to the Chief Executive in consultation with the relevant Portfolio Holders to agree the final terms of the loan offer.
- d. Delegate to the Service Director Legal, Governance and Commissioning the authority to negotiate and enter into any documentation required in connection with the proposed loan.

The reasons for these recommendations are that, subject to due diligence and subsequent advice and negotiation, these proposals are the safest and securest way of proceeding to make the proposed loan whilst minimising the risk to the Council in doing so. Facilitating the loan will ensure construction of the SSC which is the core attraction of the wider HD One. The HD One development as a whole will create substantial Business Rate income and employment opportunities but would not be viable without this core attraction.

7. **Cabinet portfolio holder's recommendations**

Cabinet Portfolio Holders:-

- a. Support, in principle, an offer of secured loan funding to the Snow Sports Centre SPV that will develop the snow sports facility at the John Smith's Stadium site.
- b. Agree to authorise the Strategic Director Economy & Infrastructure, supported by the Councils S151 Officer and the Service Director Legal, Governance and Commissioning, to enter into detailed due diligence on the loan proposal and progress negotiations on appropriate legal documentation to offer a secured loan.
- c. Agree to delegate authority to the Chief Executive in consultation with the relevant Portfolio Holders to agree the final terms of the loan offer.
- d. Agree to delegate to the Service Director Legal, Governance and Commissioning the authority to negotiate and enter into any documentation required in connection with the proposed loan.

8. **Contact officer**

Paul Kemp
Service Director Economy, Regeneration and Culture

9. **Background Papers and History of Decisions**

Cabinet Report Provision of loan facility to Kirklees Stadium Development Ltd (KSDL) for investment purposes. Cabinet 20th September 2016.

10. **Service Director responsible**

Paul Kemp
Service Director - Economy, Regeneration & Culture
(01484) 221000
paul.kemp@kirklees.gov.uk



Name of meeting: Cabinet
Date: 20th September 2016

Title of report: Provision of loan facility to Kirklees Stadium Development Ltd (KSDL) for investment purposes.

Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Yes
Is it in the Council's Forward Plan ?	Yes
Is it eligible for "call in" by Scrutiny ?	Yes
Date signed off by <u>Director</u> & name	Jacqui Gedman 12.9.16
Is it signed off by the Director of Resources?	David Smith 8.9.16
Is it signed off by the Assistant Director - Legal, Governance & Monitoring?	Julie Muscroft 9.9.16
Cabinet member portfolio	Councillor Graham Turner - Asset Strategy, Resources and Creative Kirklees(Arts)

Electoral [wards](#) affected: N/A Impact is beyond the immediate ward
 Ward councillors consulted: Cabinet
 Public or private: Public Report with Private Appendix

1. Purpose of report

1.1 To consider a proposal from Kirklees Stadium Development Ltd (KSDL) for the Council to provide secured debt funding to KSDL which would allow KSDL to take a majority equity stake in the outdoor Snow Sports Centre (SSC) which is the centrepiece visitor attraction of the wider HD One Development planned for the John Smith's Stadium site in Huddersfield. If Cabinet is minded to support the request in principle that officers be authorised to carry out appropriate due diligence on the proposal and detailed negotiation on the relevant documentation and agreements required and that the Chief Executive, in consultation with the relevant Portfolio Holder, be given delegated authority to agree the detailed terms of any loan to KSDL and also agree on behalf of the Council the terms by which KSDL makes its equity investment in the Special Purpose Vehicle that will develop the SSC. In addition the Assistant Director Legal, Governance and Monitoring be given the delegated authority to enter into any documentation required in connection with the proposed loan.

2. Key points

- 2.1 The detailed information required for Cabinet to form a view on the request from KSDL is set out in the Private Appendix to this report.

3. Implications

3.1 Financial

In terms of funding the loan advance to KSDL it is proposed that this would be funded from the Risks and Pressures budget within the approved Capital Plan. The terms of the loan would be such that the costs of the Council borrowing and an appropriate margin will be met by KSDL.

3.2 Legal

The Council has the powers to provide a loan facility to KSDL using the General Power of Governance under Section 1 of the Localism Act 2011.

4. Officer recommendations and reasons

It is recommended that Cabinet:

- a. Agree to support in principle, an offer of secured debt to KSDL to allow KSDL to invest to acquire a majority equity stake in the Snow Sports Centre Special Purpose Vehicle (SPV) that will develop such a facility at the John Smith's Stadium site, Huddersfield.
- b. Authorise the Assistant Director Place (Investment and Regeneration) supported by the Assistant Director, Financial Management, Risk, IT and Performance and the Assistant Director, Legal, Governance & Monitoring, to enter into detailed due diligence on the documentation required to progress a) above and to progress negotiations on a loan to provide secured debt.
- c. Delegate authority to the Chief Executive, in consultation with the relevant Portfolio Holder, to agree the final terms of the loan with KSDL and give consent on behalf of the Council to the terms by which KSDL makes its equity investment into the Special Purpose Vehicle for the Snow Sports Centre.
- d. Delegate to the Assistant Director (Legal, Governance & Monitoring), the authority to enter into any documentation required in connection with the loan to KSDL.

5. Portfolio Holders Recommendation

The Portfolio Holder, Cllr Graham Turner, supports the officer recommendations as they will assist in securing the investment for the overall HD One scheme.

6. Contact Officer

Paul Kemp
Assistant Director – Place (Investment and Regeneration)
Tel: 01484 221000
Email: paul.kemp@kirklees.gov.uk

7. Assistant Directors responsible

Julie Muscroft
Assistant Director - Legal, Governance & Monitoring
Tel: 01484 221000
Email: julie.muscroft@kirklees.gov.uk

Paul Kemp
Assistant Director - Place and KSDL Company Secretary
Tel: 01484 221000
Email: paul.kemp@kirklees.gov.uk

Debbie Hogg
Assistant Director - Financial Management, Risk, IT and Performance
Tel 01484 221000
Email: debbie.hogg@kirklees.gov.uk

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Name of meeting: Cabinet
Date: 20th March 2018
Title of report: Dewsbury Townscape Heritage Initiative Grant Application DTHI-010 28-30 Northgate

Purpose of report

To seek approval for a Dewsbury Townscape Heritage Initiative (THI) grant towards improving 28-30 Northgate, Dewsbury.

To seek delegated authority for the Strategic Director, Economy and Infrastructure to authorise payment of the grant upon receipt of a completed application and all necessary supporting information from the applicant.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	No
Key Decision - Is it in the Council's Forward Plan (key decisions and private reports?)	Yes (Private Appendix 2)
The Decision - Is it eligible for call in by Scrutiny?	Yes
Date signed off by <u>Strategic Director</u> & name Is it also signed off by the Service Director for Finance IT and Transactional Services? Is it also signed off by the Service Director for Legal Governance & Commissioning?	Karl Battersby - 7.03.18 Debbie Hogg - 06.03.18 <i>Eamonn Croston for and on behalf of</i> Julie Muscroft - 8.03.18
Cabinet member portfolio	Cllr Peter McBride - Economy (Strategic Planning, Regeneration and Transport) Cllr Naheed Mather - Economy (Strategic Housing, Regeneration and Enforcement)

Electoral wards affected: Dewsbury East

Ward councillors consulted: Cllrs E. Firth, P. Kane and C. Scott

Public or private: Public (Appendix 2 in private)

Appendix 2 of this report is in Private, as the information it contains, relates to the financial or business affairs of persons (or the Authority holding that information); and specifically, information relating to the applicant's financial status and contractors' tender prices. It is considered that disclosure of the information would adversely affect the companies concerned. The public interest in maintaining the exemption, which would protect the interests of the Council and the company, outweighs the public interest in disclosing the information and providing greater openness in the Council's decision making.

1. Summary

Dewsbury Townscape Heritage Initiative (THI) is a five year, Heritage Lottery Fund (HLF) regeneration programme in partnership with Kirklees Council which aims to:

- preserve and enhance certain key buildings located within the Conservation Area, through grant assistance;
- stimulate economic activity through creating a quality environment;
- raise awareness of Dewsbury's heritage through training and education.

Kirklees Council was awarded £2m by the HLF, matched by a further £1.7m from Kirklees Council to make a total fund of £3.7m initially over five years. The Dewsbury THI was formally launched in June 2014 and was extended to run until end of December 2019.

The owner of 28-30 Northgate has put forward a scheme of restoration and rehabilitation for the property, which is eligible for grant funding. This is subject to Cabinet approval of the grant.

The proposed scheme is consistent with work undertaken to other properties in Northgate, delivering a uniformity of high quality traditional frontages, which considerably enhances the streetscape, improves perceptions therefore adding to the economic confidence in the town.

Appendix 2 which is to be considered in private contains details of tenders to carry out the proposed works.

2. Information required to take a decision

Property owners and tenants (with a minimum of a 10 year lease) can apply for grant aid funding for works on their properties which can include:

- i. Exterior repairs to properties, including structural works;
- ii. The restoration and reinstatement of architectural features, such as traditional shop fronts;
- iii. Bringing empty space within buildings back into use, such as unused space above shops or derelict parts of buildings.

THI grant rates are as follows:

- where a property is in use, the grant may cover up to 75% of the cost of eligible repair and structural works, and 90% for reinstatement of architectural features
- for vacant properties and floor space, the grant could cover an average of 50% of the cost of eligible works

The project at 28-30 Northgate is based on a 'Repairs & Reinstatement Grant' for the restoration and rehabilitation of the whole exterior of the property, to include:

- replacing existing modern shop front with a traditional timber shop fronts
- refurbishment of upper floor windows
- reinstatement of architectural features and restoration of stonework
- full re-roof and repair of flashings in traditional materials
- reinstatement of traditional awnings
- external repairs, including replacement of defective gutters and fall pipes
- Installation of pigeon deterrents
- external decoration.

The scheme received full planning permission on the 22nd January 2018 (2017/62/93984/E).

This will be the 6th grant awarded under the THI Scheme, 4 have successfully been completed to date.

An outline of the grant application can be found in Appendix 1 of this report. Appendix 2 (in private) outlines the financial aspects of the grant application.

3. Implications for the Council

Early Intervention and Prevention (EIP)

No implications

Economic Resilience (ER)

The THI project contributes to two of the three key themes of Economic Resilience:

- Economic Competitiveness and profitable business
- High quality places, environment and infrastructure.

The refurbishment of 28-30 Northgate will contribute to the attractiveness and competitiveness of the business by improving the appearance of the premises, thus being more welcoming to customers and improving the perception of the business. There is also a wider benefit to this work in terms of it being consistent with other grant aided improvements that have been undertaken in Northgate. The scheme will enhance the streetscape and raise the quality of the town centre environment.

Improving Outcomes for Children

No implications

Reducing demand of services

No implications

Other (eg Legal/Financial or Human Resources)

Financial

The THI was initially a five year programme, extended until end of December 2019. The Council is committed to providing £1.7m match funding to the project.

The Council is committed to paying the grant to the applicant in full, which the Council will then claim 54% back from the HLF. Claims are made to the HLF each quarter, at the end of the quarter.

The THI programme was given approval to commence third party grant payments, as approved by Cabinet on 23rd September 2014. Under that decision, grants in excess of £50,000 must be individually approved by Cabinet - hence this report.

The application process has received approval from the Council's Audit, Procurement and Legal teams, as well as from the HLF.

Legal

Legal implications relate to the Council agreeing to the conditions applied by the HLF for release of grant funding. A grant agreement has been drawn up by the Council's legal team, which incorporates both the conditions of the Council and the HLF. The conditions are consistent with similar previous schemes and focus on the eligibility, 'clawback' situations, and keeping the property in good repair.

'Clawback' is applied to ensure that no profit is made as a result of the grant, should the property be sold. Applicants are made aware of the risk of clawback which is a part of the grant agreement, and the Council subsequently takes a legal charge on the property.

Legal have confirmed that the application can be submitted as it is in compliance with the European Union 'State Aid' General Block Exemption Rule (GBER), of Article 53.

The applicant will enter into an agreement with the Council for the grant; the agreement for the works is between the applicant and contractor. The applicant is then responsible for paying the contractor and a 10% retention is also held until final completion of the project.

4. Consultees and their opinions

Ward councillors Eric Firth and Cathy Scott confirmed their support for the application, with Cllr Cathy Scott also adding: "This is welcome news for Dewsbury, yet another building in Dewsbury town centre being supported by the Townscape Heritage initiative to transform our town centre".

5. Next steps

Following Cabinet approval, a grant offer will be made to the applicant and a grant agreement will be drawn up by the Council's Legal Services.

The Applicant must then appoint their contractor for a start on site within three months of acceptance of the grant offer. It is anticipated that works will start in June for completion in September of 2018.

6. Officer recommendations and reasons

It is recommended that Cabinet approve the award of a THI grant in accordance with the amounts specified in Appendix 2; and that delegated authority is given to the Strategic Director - Economy and Infrastructure, to authorise actual payment of the grant, upon receipt of a completed application form and all necessary supporting information and in accordance with the terms of the grant agreement.

It is recommended that delegated authority is given to the Service Director - Legal Governance & Commissioning, to enter into and seal all grant agreements legal charges and ancillary documents relating to the grant.

7. Cabinet portfolio holder's recommendations

Councillor Peter McBride supports the proposal as an integral part of Dewsbury town centre regeneration. Cllr Naheed Mather also added: "I'm particularly keen to see this regeneration bringing back to life the former glory of the architectural heritage of Dewsbury Town Centre".

Both Cllrs. would ask that Cabinet approve the award of a THI grant in accordance with the amounts specified in Appendix 2; and that delegated authority be given to the Strategic Director - Economy and Infrastructure to authorise actual payment of the grant, upon receipt of a completed application form and all necessary supporting information.

8. Contact officer

John Lambe
Dewsbury THI Officer
john.lambe@kirklees.gov.uk
Tel: 01484 221000

9. Background Papers and History of Decisions

Appendix 1 (public): 28-30 Northgate Grant Overview Form
Appendix 2 (private): 28-30 Northgate Grant Overview Form - Financial Summary

Cabinet Decision 23rd September 2014 - Dewsbury Townscape Heritage Initiative:
Council permission to offer third party grants:
<https://democracy.kirklees.gov.uk/Data/Cabinet/201409231600/Agenda/Cabinet20140923DecisionSummary.pdf>

10. Service Director responsible

Paul Kemp
Service Director - Economy, Regeneration & Culture
paul.kemp@kirklees.gov.uk
Tel: 01484 221000

APPENDIX 1 (Public)**Dewsbury Townscape Heritage Initiative
Grant Application 28-30 Northgate****Grant Overview Form****Property - Grant Ref: DTHI-010**

28-30 Northgate, Dewsbury, WF13 1DX.

Applicant (s): SAIF Investments Ltd.

Contact: Mr Iyas Nurgat - Email: ilyasn@live.com

Architect

Daryl Le-Vine (Architect)

Martin Walsh Architectural, 99 -103 Leeds Road, Dewsbury, WF12 7BU.

Works

The project is based on a 'Repairs & Reinstatement Grant' for the restoration and rehabilitation of the whole exterior of the property, to include:

- replacing existing modern shop front with a traditional timber shop fronts
- refurbishment of upper floor windows
- reinstatement of architectural features and restoration of stonework
- full re-roof and repair of flashings in traditional materials
- reinstatement of traditional awnings
- external repairs, including replacement of defective gutters and fall pipes
- Installation of pigeon deterrents
- external decoration

Planning Approval

Planning permission approved 22nd January 2018 - App. No: 2017/62/93984/E –

<https://goo.gl/bME4A8>

Building Regs - : Ref: 2018/EF/00109/E approved 24th February 2018

Contractors invited to tender

RDF Building Ltd.

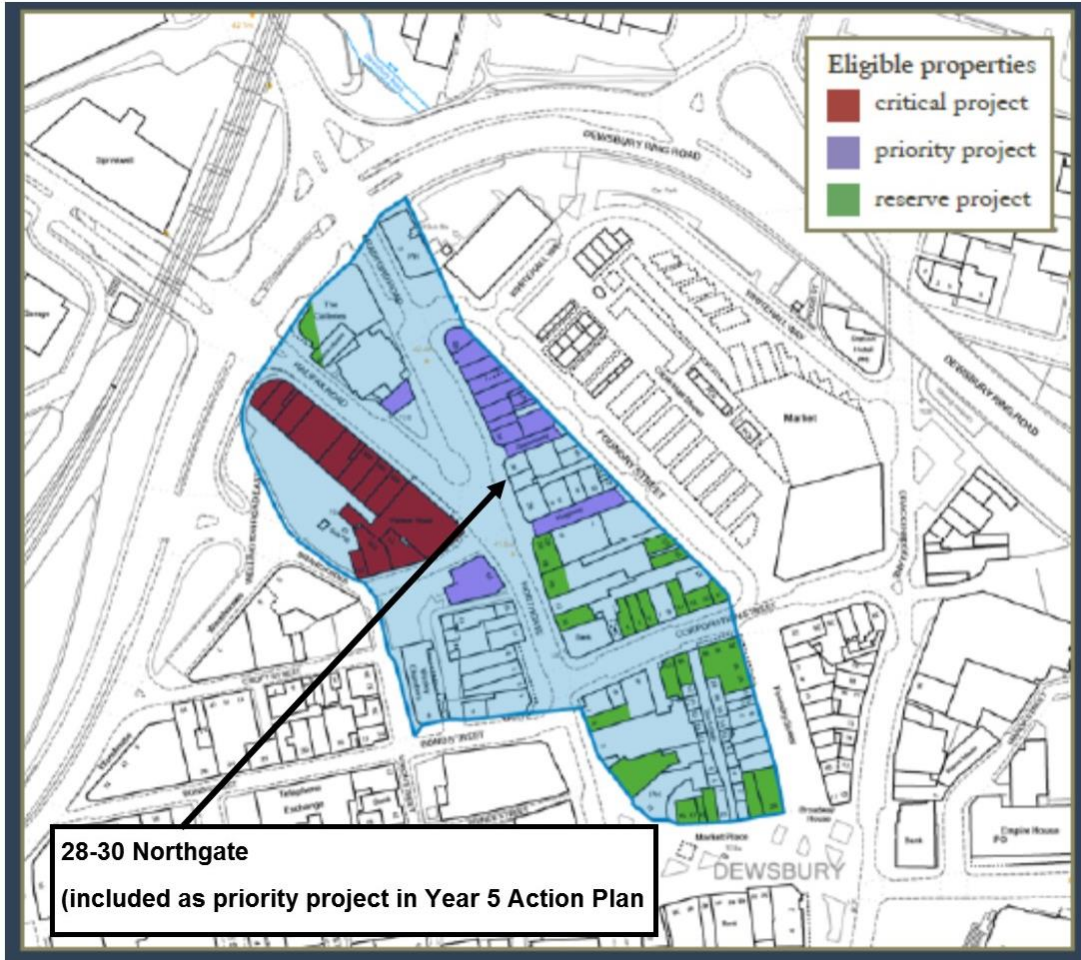
Roebuck and Holmes

Walter West Builders

Officer Recommendation

To proceed with appointment of Roebuck and Holmes based on being lowest price and ability to undertake the works, with an award of a THI Grant of to the applicant (subject to full grant application and HLF approval) towards total gross project costs.

Property Location



Property:



By virtue of paragraph(s) 3 of Part 1 of Schedule 12A of the Local Government Act 1972.

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By virtue of paragraph(s) 3 of Part 1 of Schedule 12A of the Local Government Act 1972.

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